THURSDAY, SEPTEMBER 20, 2012

Noon – 1:00 p.m. Lunch Presentation: “Enterprise Risk Management”
Winslow Hall Conference Room
All Trustees and Executive Officers

1:00 – 2:30 p.m. Audit, Finance and Planning Committee
Winslow Hall Conference Room
Jim Owens, Chair
Davenport, Lanier, Sall, Tolson, Walsh

1:00 – 2:30 p.m. University Advancement Committee
Chancellor’s Conference Room (12 Holladay)
Ben Jenkins, Chair
Clark, Cozort, Mattocks, Ramsey, Ward

2:30 – 2:45 p.m. Break

2:45 – 4:15 p.m. Buildings and Property Committee
Primrose Hall Conference Room
Gayle Lanier, Chair
Clark, Cozort, Davenport, Ramsey, Sall

2:45 – 4:15 p.m. University Affairs Committee
Winslow Hall Conference Room
Susan Ward, Acting Chair
Jenkins, Mattocks, Owens, Walsh
FRIDAY, SEPTEMBER 21, 2012
DOROTHY AND ROY PARK ALUMNI CENTER
Parking Will Be Available in Dorothy and Roy Park Alumni Center Parking Lot

8:30 – 9:15 a.m. Chancellor’s Briefing
“The Value of Public Research Universities”
Hood Board Room
W. Randolph Woodson, Chancellor

FULL BOARD MEETING
DOROTHY AND ROY PARK ALUMNI CENTER BOARD ROOM

9:00 a.m.* Call to Order – Reading of the State Government Ethics Act
   Barbara Mulkey
   Chair of the Board

   Roll Call

   Approval of Minutes
   √ — July 11, 2012, Meeting of the Full Board
   √ — July 11, 2012, Closed Session of Full Board

   Chair’s Report – Barbara Mulkey
   — Ceremonial Oath for New Trustee
   — Update of Activities and Topics of Interest to the Board
   √ — Delegation of Authority for Athletics to Chancellor as Required by Atlantic Coast Conference
   √ — Resolution for Exclusion of Certain Directors or Officers
   — Endowment Board Report

   Chancellor’s Report – W. Randolph Woodson
   — Update of Activities and Topics of Interest to the Board

COMMITTEE REPORTS:
   Audit, Finance and Planning Committee
   Jim Owens, Chair
   On the Agenda: Committee, Board Approvals; and Informational Items
   — Review of Committee Responsibilities
   — Audit, Finance and Planning Committee Authority
   √ — Approval of Special Obligation Bonds Resolution
   — NC State Budget/Legislative Update
   — Internal Audit Update
   — Investment Fund Update
   — Fall 2012 Enrollment Report
Buildings and Property Committee

Gayle Lanier, Chair

On the Agenda: Committee, Board Approvals; Informational Reports

Property Matters

Disposition by Lease: ±1594 square feet (sf) of office and laboratory space 5.2.B.1 in Partners Building II, Suite 2100, to Eastman Chemical Company to house the firm’s Eastman Center for Excellence. Eastman has entered into a Memorandum of Understanding (MOU) with the University. The MOU provides a minimum of $10 million in funding over six years for strategic research, discovery projects and other collaboration with NC State. Initial year’s total annual rent is $53,112.08. Rent escalates annually. Initial term of three years with one renewal of three years.

Disposition by Lease: ±950 sf of office space in Research Building II, 1009 Capability Drive on Centennial Campus, to Premitec, Inc. for a term of one year with 4 one-year renewals at an initial rate of $22.40 per sf. Rent will be adjusted based on the Consumer Price Index and operating costs will be adjusted to actual expenses.

Disposition by Lease: ±1085 sf of laboratory and office support space in Plants for Human Health Institute Building at the NC Research Campus in Kannapolis, NC, to General Mills, Inc. for a term of two years with 2 one-year renewals at an initial rate of $43.52 per sf plus operating costs that will be adjusted to actual expenses.

Disposition by Severance: Demolition of The Council Building, 701 Barbour Drive, a two-story brick converted hospital building with basement in the Spring Hill Precinct. The 58,000 square foot (sf) structure was built in 1939 and was last occupied by North Carolina Department of Health and Human Services (DHHS) as offices.

Disposition by Severance: Demolition of 607 Barbour Drive, a one-story frame house in the Spring Hill Precinct. The 1,298 sf structure was built in 1910 and was last occupied by DHHS as offices.

Designer and CM at Risk Selections

Cates Avenue Chilled Water Trunk Main – Central Campus

Corporate Research I – 3rd Floor Fit up – Centennial Campus

Partners I, Research II, and Research III HVAC Study and Retro-commissioning – Centennial Campus

Approval of Designer Selections Less Than $500,000

Phytotron Energy Savings Self Performance Contracting – CM at Risk – North Campus

Acceptance of Completed Buildings and Projects

Site Review and Approval

Broughton Hall Addition & Renovation – North Campus
Buildings and Property Committee (continued)
— Plan Review and Approval
  — Broughton Hall Addition & Renovation – North Campus
  — Gregg Museum of Art & Design Relocation – North Campus
— Approval of Plans and Specifications of Formal Projects less than $2 Million
— Informational Reports
  — Capital Projects Update
  — 2012 Campus Mobility Report
  — Status of Projects in Planning

University Advancement Committee
Ben Jenkins, Chair
On the Agenda: Board, Committee Approvals; Informational Reports
— Capital Projects
— Fund Raising Reports June 30, 2012 and August 31, 2012
— University Advancement Goals and Committee Work Plan
— Interim Campaign Readiness Report
  — Closed Session: Request for Naming of University Facilities

University Affairs Committee
Susan Ward, Acting Chair
On the Agenda: Board, Committee Approvals; Informational Reports
— SACS Accreditation Review
  — Revision to Policy 04.20.05: Illegal Drug Policy
  — December 2012 Commencement Speaker
  — Student Body President Report
  — Provost Update
Closed Session: Personnel Matters

Faculty Senate Report
Hans Kellner, Chair

Staff Senate Report
Susan Colby, Chair

Items of Interest to Members of the Board

Motion to go into Closed Session

CLOSED SESSION
Reconvene in OPEN SESSION for Any Additional Items to Come Before the Board

11:00 a.m.* Adjourn
The North Carolina State University Board of Trustees met in an open session via teleconference in Holladay Hall on Wednesday, July 11, 2012. Chair Barbara Mulkey presided.

Members present: Barbara H. Mulkey, Chair  
Jimmy D. Clark  
Lawrence Davenport  
Benjamin P. Jenkins III  
Gayle S. Lanier  
Robert L. Mattocks II  
James W. Owens  
Randall C. Ramsey  
E. Norris Tolson  
Susan P. Ward  
Andy Walsh, ex officio

Chair Mulkey called the meeting to order at 11:00 a.m. She reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the board at this meeting. Being none, she called upon Assistant Secretary PJ Teal for the roll call.

ROLL CALL  
Assistant Secretary PJ Teal called roll and certified that a quorum was present.

MINUTES  
Mr. Davenport made the motion, seconded by Mr. Mattocks, to approve both open and closed session minutes of the April 20, 2012 meeting of the full board. The motion carried.

CHAIR’S REPORT-BARBARA H. MULKEY  
Chair Mulkey began her report by thanking the Trustees for their hard work this past year. Trustees served on search committees for three senior leadership positions. Ms. Mulkey stated that she, Susan Ward and Andy Walsh participated in the awarding of the Holladay Medal at the first annual Celebration of Faculty Excellence. The Holladay Medal is the highest honor bestowed on faculty by the trustees and was awarded to Dr. Jagdish “Jay” Narayan, John C. Fan Distinguished Chair Professor of materials science and engineering.

She reported that the 15th annual ARTS NC State gala was a huge success. Seven of our chancellors served as honorary chairs. Tom and Judy Stafford received the 2012 Bowers Medal for their extraordinary support of the arts over the past four decades.
Chair Mulkey stated that we celebrated the graduation of our 125th anniversary class this past May with more than 5,200 graduates. Philip Rivers delivered the commencement address, and honorary degrees were awarded to Bob Jordan and David Murdock. We awarded a total of 8,022 degrees in the 2011-12 academic year.

**CHANCELLOR’S REPORT-W. RANDOLPH WOODSON**

Chancellor Woodson welcomed back Gayle Lanier to the board. He also thanked Phil Freelon who had to step down from the board due to a U.S. presidential appointment. Mr. Freelon will rotate onto the Board of Visitors. Chancellor Woodson also congratulated Barbara Mulkey for being chosen to Triangle Business Journal’s inaugural “The Triangle’s Most Influential Business Leaders” list. Debbie Yow and Chancellor Woodson were also on the list.

Chancellor Woodson also reported that NC State’s athletics program is 37th in the most recent Director’s Cup ranking—an improvement of 52 spots in two years. This is an unprecedented improvement, and he thanked Debbie Yow and our coaches for their hard work in this regard.

Dr. Joe DeSimone, William R. Kenan, Jr. Professor of Chemical Engineering, was recently elected to the National Academy of Sciences (NAS). He is our third faculty member to be elected into the NAS in the past three years.

Chancellor Woodson stated that in a ranking by Business Research Guide, NC State was named the 10th best college or university to work for. Sina Bahram, a blind Ph.D. student in computer science has been recognized by President Obama as 1 of 14 “Champions of Change” for his development of a prototype system called “Touch It, Key It, Speak It” that makes Google Maps usable for blind people. He also reported that Camp Corral, a camp for children of wounded or fallen service members that started at Millstone 4-H Camp, has grown to nine camps in eight states with nearly 1,800 children attending.

Chancellor Woodson gave an update on searches. We have hired Michael Mullen as dean of the new Division of Academic and Student Affairs, Richard Linton as dean of the College of Agriculture and Life Sciences, and Mary Watzin as the dean of the College of Natural Resources.

He reported that he just returned from a trip to Israel with Project Interchange, a seminar for university chancellors and presidents. He will return to Asia in the fall, visiting Korea and Taiwan. There will be a retreat on August 27 with executive officers and deans that focuses on change management.

Chancellor Woodson gave a brief budget update. University employees will receive a 1.2% raise. In addition, we received full salary flexibility, as requested by the university system which will help us address equity issues. We received a budget reduction of $582,000 that will provide funding to three schools in the UNC system. NC State also received $1 million in operating funds for the Hunt Library and will receive approximately $4 million in enrollment growth funding.
Chancellor Woodson closed his remarks by providing some end-of-year data. Development exceeded their goal of $110 million by $1.4 million. The annual fund raised almost $2 million, an increase of 35% over last year. Sponsored research funding increased by 8%, and federal and industry funding increased as well. He thanked our faculty and staff for their hard work in this regard.

**ELECTION OF OFFICERS FOR 2012-2013**

Chair of the Nominating Committee Jim Owens presented the following slate of officers recommended for 2012-2013:

- Chair: Barbara Mulkey
- 1st vice chair: Norris Tolson
- 2nd vice chair: Ben Jenkins
- Secretary: Jack Cozort
- Asst. Secretary: PJ Teal

Dr. Owens made the motion to accept the slate of officers as presented. Ms. Ward seconded the motion. The motion carried unanimously.

With no other business in open session, the board went into closed session at 11:40 a.m. to consider the qualifications, competence, performance, conditions of appointment of a public officer or employee or prospective public officer or employee and to consult with our attorneys to preserve the attorney-client privilege, under NC General Statute, section 143-318.11 (a)(3)(6).

At 11:55 a.m., the board came out of closed session. With no further business to conduct, Chair Mulkey adjourned the meeting at 12:00 p.m.

Respectfully submitted,

__________________                _____________________
Assistant Secretary    Secretary

Approved:

__________________
Chair of the Board
In accordance with the State Government Ethics Act, it is the duty of every [Board] member to avoid both conflicts of interest and appearances of conflict.

Does any [Board] member have any known conflict of interest or appearance of conflict with respect to any matters coming before the [Board] today?

If so, please identify the conflict or appearance of conflict and refrain from any undue participation in the particular matter involved.

---

1 N.C.G.S. §138A-15 (e): “At the beginning of any meeting of a board, the chair shall remind all members of their duty to avoid conflicts of interest and appearances of conflict under [Chapter 138A].” There is no set language required by the Act. Specific language can and should be tailored to fit the needs of each covered board as necessary.

2 “A public servant shall take appropriate steps, under the particular circumstances and considering the type of proceeding involved, to remove himself or herself to the extent necessary, to protect the public interest and comply with this Chapter, from any proceeding in which the public servant’s impartiality might reasonably be questioned due to the public servant’s familial, personal, or financial relationship with a participant in the proceeding.” See N.C.G.S. §138A-36 (c). If necessary, the Chairman or individual member involved should consult with his ethics liaison, legal counsel, or the State Ethics Commission to help determine the appropriate response in a given situation.
Atlantic Coast Conference

Governing Board Certification Form
Academic Year 2012-13

As Chairman of the Governing Board at North Carolina State University, I attest that:

1) Responsibility for the administration of the athletics program has been delegated to the Chief Executive Officer of the Institution.

2) The Chief Executive Officer has the mandate and support of the board to operate a program of integrity in full compliance with NCAA, ACC and all other relevant rules and regulations.

3) The Chief Executive Officer, in consultation with the Faculty Athletics Representative and the Director of Athletics, determines how the institutional vote shall be cast on issues of athletic policy presented to the NCAA and the ACC.

Date Presented to the Governing Board: ________________________

Signed: ____________________________________________________
(Chairman of the Governing Board)

Signed: ____________________________________________________
(CEO of Member Institution)

Please return completed form before October 19, 2012 to:

Commissioner John D. Swofford
Atlantic Coast Conference
4512 Weybridge Lane
Greensboro, NC 27407
NORTH CAROLINA STATE UNIVERSITY

Resolution for Exclusion of Certain Directors or Officers of North Carolina State University

I, Jack L. Cozort, do hereby certify that I am the Secretary of the Board of Trustees of North Carolina State University, a state agency organized and existing under the laws of the State of North Carolina, and that the following is a true and correct copy of the resolution adopted by the Board of Trustees of the university at a meeting held on September 21, 2012 at the Dorothy and Roy Park Alumni Center in Raleigh at which time a quorum was present.

WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chancellor of North Carolina State University, the Vice Chancellor for Research, Innovation, and Economic Development and the Facility Security Officer of North Carolina State University meet the personnel clearance requirements established for a contractor’s facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees of North Carolina State University and other officers, provided that this action is recorded in the corporate minutes.

NOW THEREFORE BE IT DECLARED that the Chancellor, the Vice Chancellor for Research, Innovation, and Economic Development, and the Facility Security Officer described above do at the present time possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chancellor, the Vice Chancellor for Research, Innovation, and Economic Development, and Facility Security Officer of North Carolina State University, such individual shall immediately make application for the required security clearance.

NOW, THEREFORE, BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other University officers, shall not require, shall not have, and can be effectively excluded from access to CLASSIFIED information disclosed to the institution and shall not affect adversely institutional policies or practices in the performance of classified contracts for the Department of Defense or the Government contracting activities (User Agencies) of the National Industrial Security Program.

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Barbara Hill Mulkey</td>
<td>Chair &amp; Member, Board of Trustees</td>
</tr>
<tr>
<td>Jimmy Dean Clark</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Jack Lowell Cozort</td>
<td>Secretary and Member, Board of Trustees</td>
</tr>
<tr>
<td>Sidney Lawrence Davenport</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Benjamin Plato Jenkins III</td>
<td>Second Vice Chair and Member, Board of Trustees</td>
</tr>
<tr>
<td>Name</td>
<td>Title</td>
</tr>
<tr>
<td>-------------------------------------------</td>
<td>-----------------------------------------------------</td>
</tr>
<tr>
<td>Gayle Seawell Lanier</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Robert Lynwood Mattocks II</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>James Wilson Owens</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Randall Clark Ramsey</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>John Phillip Sall</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Edward Norris Tolson</td>
<td>First Vice Chair and Member, Board of Trustees</td>
</tr>
<tr>
<td>Susan Parrott Ward</td>
<td>Member, Board of Trustees</td>
</tr>
<tr>
<td>Robert Andrew Walsh</td>
<td>Student Body President, Board of Trustees</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Warwick Andrew Arden</td>
<td>Provost and Executive Vice Chancellor</td>
</tr>
<tr>
<td>Jeffery Paul Braden</td>
<td>Dean, College of Humanities and Social Sciences</td>
</tr>
<tr>
<td>Robert Dale Brown</td>
<td>Dean, College of Natural Resources</td>
</tr>
<tr>
<td>Mattie Jayne Fleener</td>
<td>Dean, College of Education</td>
</tr>
<tr>
<td>Albert Blanton Godfrey</td>
<td>Dean, College of Textiles</td>
</tr>
<tr>
<td>Eileen Sue Goldgeier</td>
<td>Vice Chancellor and General Counsel</td>
</tr>
<tr>
<td>Marc Ira Hoit</td>
<td>Vice Chancellor for Information Technology</td>
</tr>
<tr>
<td>Kevin Dwan Howell</td>
<td>Assistant to the Chancellor for External Affairs</td>
</tr>
<tr>
<td>Nevin Eugene Kessler</td>
<td>Vice Chancellor for Development and Alumni Affairs</td>
</tr>
<tr>
<td>Duane Kent Larick</td>
<td>Senior Vice Provost for Strategic Initiatives</td>
</tr>
<tr>
<td>Charles Duain Leffler</td>
<td>Vice Chancellor for Finance and Business</td>
</tr>
<tr>
<td>Richard Howard Linton</td>
<td>Dean, College of Agriculture and Life Sciences</td>
</tr>
<tr>
<td>David Paul Lunn</td>
<td>Dean, College of Veterinary Medicine</td>
</tr>
<tr>
<td>Marvin John Malecha</td>
<td>Dean, College of Design</td>
</tr>
<tr>
<td>Louis Anthony Martin-Vega</td>
<td>Dean, College of Engineering</td>
</tr>
<tr>
<td>Michael David Mullen</td>
<td>Vice Chancellor, Dean for Academic and Student Affairs</td>
</tr>
<tr>
<td>Rebeca Chancon Rufty</td>
<td>Interim Dean, Graduate School</td>
</tr>
<tr>
<td>Daniel Lester Solomon</td>
<td>Dean, College of Physical and Mathematical Sciences</td>
</tr>
<tr>
<td>Patricia J. Teal</td>
<td>Secretary of the University and Assistant to the Chancellor</td>
</tr>
<tr>
<td>Mary Catherine Watzin</td>
<td>Dean, College of Natural Resources (effective 10/15/2012)</td>
</tr>
<tr>
<td>Ira Richard Weiss</td>
<td>Dean, College of Management</td>
</tr>
<tr>
<td>Deborah Ann Yow</td>
<td>Director of Intercollegiate Athletics</td>
</tr>
</tbody>
</table>

**WITNESS WHEREOF** I have hereunto set my hand and affixed the seal of North Carolina State University on this 21st day of September, 2012

__________________________
Jack L. Cozort, Secretary
North Carolina State University
Board of Trustees
Wolfpack Welcome Week Features Street Festival and Record-Setting Blood Drive
NC State capped off Wolfpack Welcome Week this year with Packapalooza, an all-day festival on Hillsborough Street. Green, Arts, Wolfpack, Sports, International and Public Safety Zones showcased NC State’s impact on campus and the world. Throughout the day, students, faculty and community members had opportunities to learn about NC State’s traditions and see the phase two unveiling of the Coaches’ Corner. All of campus was involved, with many departments and organizations leading activities throughout the day. The event also featured bands and student performances. Students, faculty and staff gave back to the community earlier in the week, donating nearly 1,250 pints of blood to the American Red Cross and setting a new university record. The blood drive was one component of Service NC State, an annual event hosted by the Center for Student Leadership, Ethics and Public Service. The second component, a meal packaging event with Stop Hunger Now, will take place on November 17.

NC State’s Freshmen Class Sets University Records
NC State’s 4,316 new freshmen come from an impressive pool of applicants. More than 20,000 young people sought admission as freshmen, the most in university history. The average SAT score for this year’s enrollees is 1219, which represents a 28 point increase over last fall and is the first time a group of Wolfpack freshmen has averaged better than a 1200. Their averaged weighted high school grade-point average was 4.37, and 78 percent were in the top 20 percent of their graduating high school class, with 51 percent ranking in the top 10 percent. Most held significant leadership roles in high school, and many have volunteered or participated in service to their school and community. The 2012 freshmen come from 99 North Carolina counties, 41 states and 20 foreign countries.

National Institute of Health Grants NC State Program $3.6 Million
An NC State program aiming to increase diversity in the biomedical and behavioral sciences is getting a big boost to provide further support for under-represented students seeking to enter the Ph.D. workforce. After investing $2.1 million in NC State’s Initiative for Maximizing Student Diversity (IMSD) program over the past four years, the National Institute of Health recently agreed to invest another $3.6 million in the program over the next five years. IMSD supports under-represented students with professional development opportunities, paid research positions in labs, faculty and graduate student mentors and travel money to attend academic conferences.

Campus Research Community Launches Cutting-Edge Companies
NC State’s Fast 15, an initiative to help researchers take cutting-edge research to the marketplace, is gaining momentum, as evidenced by the success of ImagineOptix, a company developing revolutionary projection technology for phones and other uses. The company is commercializing technology developed by Dr. Michael Escuti in the College of Engineering, who earned White House honors last year. NC State established the Fast 15, a group of startup companies launched by the campus research community in 2011, to help achieve an ambitious goal: doubling the number of startup companies initiated by the campus research community. The goal is to create stronger, more viable early-stage companies, poised for future success.
Engineering Professor Awarded NASA Research Grant
Chih-Hao Chang, an assistant professor from the Department of Mechanical and Aerospace Engineering, is one of 10 faculty members to receive an Early Career Faculty Award from NASA. Chang will receive $200,000 per year for up to three years to conduct research in areas aligned with NASA’s space technology roadmaps and any priorities identified by the National Research Council. This may include extending and sustaining human activities beyond low Earth orbit, exploring the evolution of the solar system and expanding how we understand Earth and the universe. Michael Gazarik, the director of NASA’s space technology program, described this year’s Early Career Faculty Award winners as an “outstanding group of early career faculty researchers, representing some of the most talented new faculty from the best institutions of higher learning in America.”

NC State Students Take Best Picture Award in Hollywood
After winning the NC State Campus MovieFest, three NC State students traveled to the Campus MovieFest in Hollywood, California this June, where they won Best Picture and Best Director for their short film “The Strong One.” The film features an original poem penned by the film’s writer Tim Reavis, an NC State grad and English major. The cinematography and score were done by Josh Bielick, a recent graduate from the Poole College of Management, and the award-winning director was Nicholas Sailer, a senior in industrial design. The Hollywood Campus MovieFest is the world’s largest student film festival. “The Strong One” was also featured in France at the renowned Cannes Film Festival.

Wolfpack Surge in Director’s Cup Standings
In the 2012 Director’s Cup rankings the Wolfpack stand at 37th, our highest mark in six years and a jump of 52 spots in just two years. The Director’s Cup is a comprehensive measurement of success across all varsity sports recognized in collegiate athletics. The two-year jump is the largest by an ACC school in the history of the Directors’ Cup. For 2011-12, thirteen Wolfpack teams earned Directors’ Cup points and six finished in the Top-25 of the Cup standings: baseball (9th), men’s basketball (9th), men’s cross country (10th), gymnastics (13th), women’s golf (13th) and women’s cross country (23rd). NC State is one of only four BCS schools to win a bowl game, finish in the top 20 in men’s basketball and advance to a Super Regional in baseball in 2011-2012.

NC State Athletes and Coaches Shine at 2012 London Olympics
The Wolfpack was well-represented at the Summer Olympic Games in London. Swimmer Cullen Jones, a 2006 graduate from NC State’s English department, won a gold and two silver medals as part of the United States men’s swimming team in London. Two current NC State athletics officials were also in London for the Olympics. Assistant Athletic Director for Strength and Conditioning Bob Alejo was the strength and conditioning coach for Americans Phil Dalhausser and Todd Rogers, the defending gold medalists in men’s beach volleyball. Jamill Kelly, an assistant coach for the NC State wrestling team, was part of the support staff for the U.S. national wrestling team in London, Kelly’s fourth Olympics. In 2004, he competed and won a silver medal. Finally, former NC State basketball player Nate McMillian was an assistant coach with the gold medal-winning U.S. men’s basketball team. McMillan, a Raleigh native, helped lead the Wolfpack men’s basketball team to the Elite Eight in 1985 and 1986.
AGENDA

CALL TO ORDER
Reading of Conflicts of Interest Inquiry

1. CONSENT AGENDA
   * Approval of Minutes from April 19, 2012 meeting

Committee members will act on a motion to approve minutes as presented.

***END OF CONSENT AGENDA ITEMS***

2. RESPONSIBILITIES OF THE COMMITTEE
   * Audit, Finance and Planning Committee
     Delegated Responsibilities and Proposed Agenda Items
     Finance and Business
     Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business
     and University Treasurer

3. REQUESTED ACTION
   * Approval of Special Obligation Bonds Resolution

Committee members will act on a motion to recommend the approval of the Resolution to enable the issuance of special obligation bonds for the projects described.

Denotes full Board approval required
4. INFORMATIONAL REPORTS

* NC State Budget/Legislative Update
  Finance and Business
  Charles D. Leffler, Vice Chancellor for Finance and Business
  Chancellor’s Office
  Kevin Howell, Assistant to the Chancellor for External Affairs

* Internal Audit Update
  Internal Audit
  Cecile Hinson, Director, Internal Audit

* Investment Fund Update
  Treasurer’s Office
  Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business
  and University Treasurer

* Fall 2012 Enrollment Report
  University Planning and Analysis
  Karen Helm, Director

ADJOURN
Agenda Item / Issue: 4.1A

Approval of Minutes from April 19, 2012 meeting

Requested / Required Action:

Committee Approval

Suggested Motion:

Approve minutes as presented.
Meeting of Audit, Finance and Planning Committee
North Carolina State University
Board of Trustees
April 19, 2012

Chair Owens opened the meeting at 1:17 p.m. in the Winslow Hall Conference Room. Committee members present for the meeting were:

Mr. James Owens, Chair
Mr. John Sall
Ms. Chandler Thompson
Mr. Norris Tolson
Mr. Randall Ramsey
Ms. Susan Ward

Others present were:

Chancellor W. Randy Woodson
Dr. Warwick Arden, Provost and Executive Vice Chancellor
Ms. Mary Crumpler, Financial Audit Supervisor, Office of State Auditor
Ms. Liana Fryer, Senior Research Analyst, Research, Innovation and Economic Development
Ms. Eileen Goldgeier, General Counsel, Office of General Counsel
Ms. Tabitha Groelle, University Program Specialist, Treasurer’s Office
Ms. Kathryn Hart, Associate Vice Chancellor for Finance and Business and University Treasurer
Ms. Karen Helm, Director, University Planning and Analysis
Ms. Cecile Hinson, Director of Internal Audit
Dr. Marc Hoit, Vice Chancellor, Office of Information Technology
Mr. Kevin Howell, Assistant to the Chancellor, External Affairs
Ms. Lori Johnson, Director, Strategic Debt Management
Mr. Stephen Keto, Associate Vice Chancellor for Finance and Resource Management
Mr. Charles Leffler, Vice Chancellor for Finance and Business
Ms. Kimberly J. Miller, Assistant Controller, Controller’s Office
Mr. Mike Poterala, Deputy General Counsel, Office of General Counsel
Mr. Ted Price, Financial Audit Manager, Office of State Auditor
Mr. Matt Ronning, Associate Vice Chancellor for Research, Innovation and Economic Development
Dr. Thomas Stafford, Vice Chancellor for Student Affairs
Ms. Beth A. Wood, State Auditor, Office of State Auditor

Chair Owens reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the Committee at this meeting. Being none, the meeting continued.

Mr. Tolson made a motion to approve the minutes from the February 16, 2012 meeting as presented. Mr. Sall seconded. They were approved unanimously.
The State Auditor, Beth Wood, and her team, were present and commented on the University’s financial audit. The University was complimented on its clean audit opinion and healthy financial position as of June 30, 2011. The auditors’ comments highlighted the significance of no reportable findings being provided as well as the efforts of institutional staff in these accomplishments.

Ms. Cecile Hinson presented the Internal Audit Annual Audit Plan for Fiscal Year 2013. The plan is derived from the Division's Continuous Risk Assessment Process which identifies areas of high risk across the University throughout the year. The Continuous Risk Assessment Process facilitates both the development of the Audit Plan and subsequent adjustments to it. In addition, the process is utilized in relation to each audit and consulting engagement and is also critical in the identification and analysis of emerging areas of risks. Mr. Sall made a motion to accept the Plan as presented, Ms. Thompson seconded. The Committee unanimously approved the FY 2013 Audit Plan.

Ms. Cecile Hinson also provided the Committee an update on the Internal Audit activities during the past two months. Her update included a detailed status of: (1) corrective actions being implemented to address audit issues reported in the Audit of Non-Instructional Summer Salary Phase 1; (2) progress in completing follow-up and close-out activities on prior audit reports; (3) current audits being conducted on campus by the Office of the State Auditor; and, (4) completion of several major consulting engagements.

Provost Arden highlighted the 2020 Enrollment Plan. The plan outlines the primary strategies for fulfilling NC State’s mission to serve the people of North Carolina as a research-extensive university. The Plan endorses an enrollment growth strategy targeting 37,000 students by 2020, stabilizing undergraduate enrollment and increasing graduate enrollment. The strategies focus on improving quality of the educational experience. This includes increasing transfer enrollment as an important component of providing access and improving diversity as well as aligning enrollment and resources.

Provost Arden, Mr. Charles Leffler and Mr. Kevin Howell provided information regarding the budget and legislative priorities. Mr. Leffler provided a broad overview of the North Carolina General Fund revenues, indicating that they are above target by approximately $145 million, due in part increased tax collections. In FY12 the NC state budget included a repairs and renovations budget of $11.1 Million. There is no expectation that an operating reversion will occur.

Mr. Howell discussed the UNC System budgetary priorities and how legislative support is crucial for the University and that the State proposed budget is focused on education. This support would help educate North Carolinians and serve as an economic engine for the State. Funding from the State will help provide state residents affordable access to quality higher
education. Approximately 60% of in-state undergraduates depend on some form of need-based financial aid. At NC State 46% of students receive some form of need-based financial aid.

UNC System priorities include a request by NC State of $5.3 Million for Hunt Library to support maintenance, operation and staff. Additional priorities include restoring the $9.1 million additional flexibility cut for FY13 and more flexibility to recruit and retain faculty and staff. The Provost pointed out that retention is still an issue and that other states are ahead of us and rely less on state funding.

NC State priorities also include funding to add faculty across interdisciplinary areas in the College of Engineering, and funding to hire researchers and equipment at NC Research Campus in Kannapolis.

Mr. Matt Ronning discussed the role of NC State’s Centers & Institutes and the underlying mission for Centers and Institutes to bridge disciplinary and institutional boundaries. There are currently 39 Centers and 12 Institutes, with three in planning. For Fiscal Year 2010-2011, the Centers & Institutes expenditures totaled $74 million, 26% from state appropriations and departmental funds, 49% from grants and awards and 25% from service center activities.

Mr. Ronning highlighted the FREEDM and BTEC Centers as well as the Institute for Advanced Analytics. He also noted the economic impact Centers and Institutes have in quality of workforce, technology developments and investments.

An update on the University debt portfolio was provided by Lori Johnson, Director of Strategic Debt Management. She discussed the mix of outstanding debt, highlighted recent activities impacting the debt portfolio, and the ten-year capital borrowing plan. She also commented on the University’s recently confirmed stable credit ratings.

With no further business, Mr. Sall made a motion to adjourn, Ms. Ward seconded, the Committee adjourned at 2:40 p.m.

Submitted by ___________________________________
Secretary to the Committee

Approved _____________________________________
Chair of the Committee
Agenda Item / Issue: 4.2A

Audit, Finance and Planning Committee Delegated Responsibilities and Proposed Agenda Items

Requested / Required Action:

None required.

Suggested Motion:

None required.

Responsible University Unit:

Finance and Business

University Presenter/Contact:

Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business and University Treasurer
Appendix 1

NC State Board of Trustees’ Delegations of Authority, Assignments and Guidelines

I. Audit, Finance and Planning Committee Delegated Authority and Assignments

a. Audit

i. Provide oversight of the internal audit function.

ii. Review and approve the annual internal audit plan at the beginning of the audit cycle.

iii. Receive quarterly activity reports from the internal auditor.

iv. Receive direct verbal and/or written reports from the university’s internal auditor regarding out of the ordinary reviews and findings that may involve senior level university employees, trustees or affiliates.

v. Review a comparison of the annual internal audit plan to the actual internal audits performed.

vi. Provide oversight of the annual financial statement audit.

vii. Assure that the university is performing self-assessments of operating risks and evaluations of internal controls on a regular basis.

viii. Meet with representatives of the State Auditor’s Office to review the annual state auditor’s report and the university’s corrective action, if any.

*Items i through viii above are evidenced by:*

- Annual presentation of audit plan by Director of Internal Audit for approval
- Twice yearly updates regarding progress against the audit plan
- Special reports on audit issues or findings
• Quarterly reports include discussion of significant audit issues or findings. Significant findings are be brought to Board’s attention in a timely manner.
• Internal Auditor performs continuous risk assessment process year-round which results in twice yearly updated audit plan presented to Committee.
• Internal Auditor reports annually to the Committee the results of the University’s Assessment of Internal Controls over Financial Reporting letter and summary report which are provided to the State Controller. Further, the Chancellor certifies the institutions self-assessment activities and results in his annual compliance letter to the President.
• Annual presentation of audited financial statement and management letter

ix. Review audit reports of University-associated entities.

   Evidenced by:
   • Annual report to AF&P Committee

b. Budget Development, Execution and Administration Delegated Authority and Assignment

i. Advise the Chancellor with respect to the development of budget estimates for the university, and with respect to the execution and administration of the budget as approved by the General Assembly and the Board of Governors.

   Evidenced by:
   • Updates on legislative budget situation
   • Information about overall university budget and periodic budget status updates
   • Advisory role regarding specific budget issues

ii. Upon the recommendation of the chancellor, review and recommend to the Board of Trustees the approval of campus initiated tuition increases and the approval of student fees (proposed new fees as well as adjustments to existing fees).

   Evidenced by:
   • Approval request for campus initiated tuition increases and student fees

c. Planning

i. Review and recommend to the Board of Trustees for approval changes in the university’s mission statement.
i. Advise the chancellor on the development of plans to carry out the university’s mission.

**Evidenced by:**
- Special requests by Chancellor for review of specific plan development

iii. Review and approve the establishment and continuation of Centers and Institutes as required by UNC Policy 400.5[R].

**Evidenced by:**
- Routine approval of establishment of Centers and Institutes
- Periodic approval for continuation of Centers and Institutes

iv. Review reports from the Board of Visitors.

**Evidenced by:**
- Request for presentations of reports impacting committee. Full Board of Trustees receives Board of Visitor reports

**d. Policy Development**

i. Recommend to the Board of Trustees for approval policies regarding the preservation, maintenance and management of institutional trust funds.

**Evidenced by:**
- Quarterly updates on investment performance of NC State Investment Fund, Inc.
- Centennial Campus updates
- Advisory role regarding debt management
- Approval of special obligation bond issuance
- Periodic reports on auxiliary operations

ii. Recommend to the Board of Trustees for approval policies regarding the collection of tuition, fees and other monies to be collected from students, as approved by the Board of Governors.

**Evidenced by:**
- Approval of tuition collection procedures – ex. approved student (Tuition Management Systems) monthly payment plan
- Periodic review of billing and collection efforts
iii. Recommend to the Board of Trustees for approval policies regarding acceptance of obligations in lieu of cash from students in payment of tuition and fees; and requirements for collateral security.

*Evidenced by:*
- **Advisory role regarding financial aid status**

iv. Upon recommendation of the chancellor, recommend to the Board of Trustees for approval policies related to the maintenance of campus security.

*Evidenced by:*
- **Reports to AF&P Committee as needed**
NC State BOT – Audit Finance and Planning Committee Proposed Agenda Items:

SEPTEMBER 2012
Review Committee Responsibilities (Annual)
- AFPC and Internal Audit Charter
- Review Draft Agenda Items for the Year
*Minutes Approval
*Approval of Bonds/Debt
*New Centers & Institutes Approval (if any)
Internal Audit Report
Investment Performance Update
Enrollment Management Report (September)
Review Degree Programs (if any)

NOVEMBER 2012
*Minutes Approval
*Approval of Bonds/Debt
*New Centers & Institutes Approval (if any)
Annual Enterprise Risk Management Update
Planning Update/Strategic/Realignment
Internal Audit Report
Centennial Campus Planning Update
Tech Transfer Activities and Revenue
Review Degree Programs (if any)

FEBRUARY 2013
*Minutes Approval
* Annual Financial Report/Audit (State Auditors attend)
* Approve tuition increases and/or student fees (if any)
* New Centers & Institutes Approval (if any)
Budget/Legislative Priorities
NC State Investment Fund Update (annual report)
Internal Audit Report
Review Degree Programs (if any)

APRIL 2013
*Minutes Approval
* Annual Internal Audit Plan for FY 2014 and Update
* New Centers & Institutes Approval (if any)
Budget/Legislative Update
University Debt Update (April-Annual Review)
Review Associated Entities (Annual Review)
Review of Centers and Institutes (Per PRR every two years-2014)
Review Degree Programs (if any)

OTHER POSSIBLE AGENDA ITEMS
Campus Enterprises Briefing
Technology Transfer Briefing
IT Security Briefing
Discussion of Student Affordability
Sponsored Research Overview
Agenda Item / Issue: 4.3A

Approval of Special Obligation Bonds Resolution

Requested / Required Action:

Committee Recommendation for Approval

Suggested Motion:

Recommend the approval of the Resolution to enable the issuance of special obligation bonds for the projects described.

Responsible University Unit:

Finance and Business

University Presenter/Contact:

Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business and University Treasurer
RESOLUTION OF THE BOARD OF TRUSTEES OF NORTH CAROLINA STATE UNIVERSITY AT RALEIGH
APPROVING THE ISSUANCE OF SPECIAL OBLIGATION BONDS

WHEREAS, under Article 3, Section 116D General Statutes of North Carolina (the “Act”) the Board of Governors (the “Board of Governors”) of the University of North Carolina (the “University”) may issue special obligation bonds and bond anticipation notes, in anticipation of the issuance of special obligation bonds, payable from obligated resources to pay the costs of acquiring, constructing or providing a special obligation project at one of the constituent institutions of the University or refunding any obligations previously issued by the Board of Governors;

WHEREAS, North Carolina State University at Raleigh may request the Board of Governors to issue one or more series of bonds (the “Bonds”) the proceeds of which will be applied to improvements related to the Phytotron Energy Savings Performance Contract approved by the North Carolina General Assembly in Chapter 164 of the 2012 North Carolina Session Laws (the “Special Obligation Project”);

WHEREAS Section 116D-26(b) of the Act requires the Board of Trustees of North Carolina State University at Raleigh to approve the issuance of Bonds for the Special Obligation Project;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of North Carolina State University at Raleigh as follows:

Section 1. Approval of Issuance of Bonds. The issuance of Bonds for the Special Obligation Project is approved.

Section 2. Effective Date. This Resolution is effective immediately.

ADOPTED AND APPROVED this ____ day of September, 2012.

THE BOARD OF TRUSTEES OF NORTH CAROLINA STATE UNIVERSITY AT RALEIGH

By: __________________________________________
Assistant Secretary
STATE OF NORTH CAROLINA  
COUNTY OF WAKE

I, _________________________, the duly elected Assistant Secretary of the Board of Trustees of North Carolina State University at Raleigh, DO HEREBY CERTIFY that (1) the foregoing is a full, true and correct copy of the Resolution adopted by the Board of Trustees of North Carolina State University at Raleigh at its regular meeting of September __, 2012 and appearing in the minutes of such meeting, (2) notice of the meeting of the Board of Trustees of North Carolina State University at Raleigh held on September __, 2012 was sent to each member of the Board, and (3) a quorum was present at the meeting on September __, 2012 at which time the foregoing Resolution was adopted.

WITNESS, my hand and the seal of North Carolina State University at Raleigh this ___ day of September, 2012.

[Seal]

________________________________
Assistant Secretary
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Committee: Audit, Finance and Planning Committee

Meeting Date: September 20, 2012

Agenda Item / Issue: 4.4A
NC State Budget/Legislative Update

Requested / Required Action:
None required.

Suggested Motion:
None required.

Responsible University Unit:
Finance and Business
Chancellor’s Office

University Presenter/Contact:
Charles D. Leffler, Vice Chancellor for Finance and Business
Kevin Howell, Assistant to the Chancellor for External Affairs
2012 Legislative and Budget Update

**Legislative Policy Agenda**

To improve operational efficiencies and effectiveness, the legislative policy agenda included:

- Increased the Board of Governors’ authority to authorize constituent institutions and UNC-GA to acquire or dispose of real property by lease, if the lease is for a term of not more than 10 years.
- Authorized UNC campuses to administer receipts from all student fees approved by the Board of Governors in Institutional Trust Fund accounts (affects the Education and Technology Fee and Application Fees).
- Authorized participation in the Optional Retirement Program for all employees.

**Legislative Budget Highlights**

- **Need-Based Aid**: Provided a total of $147.7 million for need-based financial aid system-wide.
- **Hunt Library**: Provided $3.2 million to NC State in Building and Reserve funds for Maintenance and Operation of the new Hunt Library, and $1 million for additional library program staff.
- **Faculty Recruitment and Retention**: Provided $3 million system-wide to make competing offers to faculty lured by other universities.
- **Management Flexibility Reduction**: Eliminated a scheduled increase of $9.2 million to the $414 million in cuts that chancellors system-wide made last year; also required reallocation of $1 million each to 3 small institutions, which resulted in a $582,365 reduction to NC State.
- **Enrollment Change**: Formula funding was appropriated at a level to fully fund requests for institutions meeting budgeted enrollment targets, and reduce budgets for institutions not meeting targets; Board of Governors allocated funds to cover a portion of the reduced budgets by reallocating the enrollment change appropriation, which reduced NC State’s enrollment change appropriation by about $3 million.
- **Out-of-State Academic Scholarships**: No change; the House had sought to eliminate $6 million in state support for Morehead-Cain, Park and other full academic scholarships.
- **Salary Flexibility**: Full flexibility restored, as requested by the university.
- **Salary Increase**: 1.2% for all permanent university employees; additional 1.8% authorization provided to vice chancellors and deans for additional increases to no more than 1/3 of their EPA faculty and staff.
- **Bonus Days**: Five days of bonus leave for all permanent state employees to be used by June 30, 2013.
- **R & R Allocation**: Maintained the 54% (UNC System) and 46% (OSBM) allocation for repairs and renovations; funds from 2010-11 and 2011-12 released ($7,978,300 for NC State).
Agenda Item / Issue: 4.4B

Internal Audit Update

Requested / Required Action:

None required.

Suggested Motion:

None required.

Responsible University Unit:

Internal Audit

University Presenter/Contact:

Cecile Hinson, Director
## North Carolina State University
### Audit Plan Summary
#### Year Ending June 30, 2012

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Non-Instructional Summer Salary Audit - Phase 1</strong></td>
<td>No</td>
<td>Complete</td>
<td>The objective of the audit was to review the non-instructional summer salary process from a University, College/Unit, and individual researcher level and evaluate compliance to the process as documented in Federal and University regulations and guidance. Phase 1 tested a sample of non-instructional summer salary payments, related processes, and compliance to applicable requirements. Significant issues were noted relating to conflicting guidance at the University level, non-compliance with Federal regulations, lack of internal controls, lack of a standard process, and the inefficiency and ineffectiveness of the monthly form/methodology used to certify summer effort. Report issued 01/27/2012.</td>
</tr>
<tr>
<td><strong>Non-Instructional Summer Salary Audit - Phase 2</strong></td>
<td>No</td>
<td>In Process</td>
<td>The objective of the audit was to review the non-instructional summer salary process from a University, College/Unit, and individual researcher level and evaluate compliance to the process as documented in Federal and University regulations and guidance. Phase 2 testing includes reviewing aggregate Summer 2011 non-instructional summer salary payment data for compliance to applicable requirements and for accuracy. Testing also included a comparison of Summer 2011 TEARS reports and Certification data. Report in draft and under Chancellor review. No issues were reported as all issues noted were resolved prior to draft report.</td>
</tr>
<tr>
<td><strong>Scholarships and Financial Aid</strong></td>
<td>No</td>
<td>In Process</td>
<td>Compliance review of Financial Aid processes; audit steps from Federal OMB A-133 audit procedure; in-progress at FY 2011 end. In July 2011, the audit was placed on hold due to Summer Salary Audit taking all resources and Financial Aid being audited by NC State Education Assistance Authority. Audit will be completed in Fall FY13.</td>
</tr>
<tr>
<td><strong>Procurement of Construction and Design Services</strong></td>
<td>Yes</td>
<td>Deferred (on FY13 Plan)</td>
<td>The objective of the audit is to assess the University's process for construction and design services contracts/agreements to ensure compliance with State statutes and University requirements. Due to lack of resources and higher priority work, this audit has been deferred and rolled forward via our risk assessment process to be included on our FY13 audit plan.</td>
</tr>
<tr>
<td><strong>Contracts and Grants Expenditures</strong></td>
<td>Yes</td>
<td>Cancelled</td>
<td>The objective of the audit was to conduct a University-wide audit of contract and grant accounts for unallowable expenditures. This audit was cancelled and replaced by the Non-Instructional Summer Salary Audits Phases 1 &amp; 2 (see in this section).</td>
</tr>
</tbody>
</table>
## North Carolina State University
### Audit Plan Summary
#### Year Ending June 30, 2012

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Financial Audits - include audits having a direct relation to financial information at the institution</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Friends of NC State Baseball, L.L.C. (&quot;Rally Club&quot;) Financial Statements</td>
<td>Yes</td>
<td>Cancelled</td>
<td>Annual audit of the Rally Club's financial statements, the related internal controls over financial processes, and the preparation of their 2011 Income and Expense Summary. The Rally Club audit was cancelled due to it's no longer being an associated entity.</td>
</tr>
<tr>
<td><strong>Internal Controls Audits - include audits of internal control systems and processes</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Controls over the Usage of Restricted Gifts</td>
<td>Yes</td>
<td>Deferred (on FY13 Plan)</td>
<td>The objective of the audit is to evaluate the adequacy and effectiveness of controls over foundation accounts. Due to lack of resources and higher priority work, this audit has been deferred and rolled forward via our risk assessment process to be included on our FY13 audit plan.</td>
</tr>
<tr>
<td>Controls over Purchase Cards</td>
<td>Yes</td>
<td>Deferred</td>
<td>The objective of the audit is to review both the University-level and Departmental-level purchase card processes including issuance, training, reconciliation, documentation requirements and compliance with University policy and funding source requirements. Audit deferred due to proactive initiative by Purchasing Department to contract with D-Warner Group to provide a monthly data analysis report of all Purchase card transactions specifically focused to enhance controls, ensure compliance, and assist in detection of inappropriate transactions. Through our continuous risk assessment process, Internal Audit will monitor the implementation of this program and its effectiveness as a consulting engagement (see Special Assignments section below).</td>
</tr>
<tr>
<td><strong>Information Technology Audits - include audits of information technology systems including general controls, application controls, and disaster recovery</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Audit of the Financial System</td>
<td>No (in process at end of FY11)</td>
<td>Complete</td>
<td>The objective of the audit was to evaluate the Financial System application to ensure that appropriate controls are implemented within the application infrastructure. Report issued in October 2011. Issues were reported relating to inappropriate access to production environment and incomplete monitoring processes. Follow-up complete; audit closed 7/2/12</td>
</tr>
<tr>
<td>University Network Firewall Protection Service Audit</td>
<td>Yes</td>
<td>In Process</td>
<td>The objective of the audit is to review University firewall services to ensure appropriate protection of critical computer systems and sensitive data, adherence to University requirements, and external regulatory and compliance mandates.</td>
</tr>
</tbody>
</table>
## Audit Plan Summary

**Year Ending June 30, 2012**

### Engagement Type/Title

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>WolfTech Active Directory: Security and Operational Controls</td>
<td>Yes</td>
<td>Deferred (on FY13 Plan)</td>
<td>The objective is to audit the WolfTech Active Directory service (the official University information technology directory service) to verify adequate security and effective operational controls. Due to lack of resources and higher priority work, this audit has been deferred and rolled forward via our risk assessment process to be included on our FY13 audit plan.</td>
</tr>
<tr>
<td>College of Humanities and Social Sciences Information Technology General Controls</td>
<td>Yes</td>
<td>Deferred</td>
<td>The objective of this audit is to evaluate the effectiveness of governance over information technology and assess related processes and procedures that apply to the overall information technology operations. Audit deferred due to lack of audit resources and has been rolled back into our risk assessment process to be considered for a future audit plan.</td>
</tr>
<tr>
<td>Human Resources Information System</td>
<td>Yes</td>
<td>Deferred</td>
<td>The objective is to assess data integrity and general controls within the Human Resources Information System. This audit has been deferred due to the system undergoing a major upgrade (scheduled to &quot;go live&quot; in October 2012). The upgrade is requiring significant time from the same HR staff who would be needed to support the audit. Internal Audit will continue to monitor the system as part of our regular risk assessment process and potentially reintroduce the audit on a future audit plan if appropriate.</td>
</tr>
</tbody>
</table>
| Service Centers Processes                                 | Yes                         | Deferred                        | The objective of the audit is to evaluate the adequacy, efficiency, and effectiveness of University processes related to Service Centers. This audit will include assessment of:  
- General controls  
- Compliance with NCGS 116-36.1  
- Processes for establishing user fees/rates and monitoring of rates  
- Recordkeeping processes over usage and maintenance logs  
- Integrity of fund management  
Due to lack of resources and higher priority work, this audit has been cancelled and rolled back into our risk assessment process for consideration on a future audit plan. |
<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences Rental Lease Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Internally reported investigation regarding allegations of potential violations of University requirements related to a residential rental contract and potential misuse of state funds at the College of Agriculture and Life Sciences. One of two allegations was substantiated and was already being addressed by management. Report issued 3/9/2012. Audit closed.</td>
</tr>
<tr>
<td>McKimmon Conference and Training Center Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Internally reported investigation regarding employee theft of cash and potential misuse of assets. Investigation activities performed in collaboration with Employee Relations and University Police Department. Allegation appears to be substantiated; University Police Department pursuing with District Attorney (case #11P0001243). Report issued 10/28/11. Audit closed.</td>
</tr>
<tr>
<td>Horticulture Department - Misuse of State Assets Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Internally reported investigation regarding a graduate student’s misuse of grant funds and non-compliance with Purchasing regulations. Collaborative investigation with University Police. Allegations substantiated; issue reported regarding insufficient internal controls over purchasing and reconciliation processes. Embezzlement investigation being conducted by University Police (case #11P0001153). Report issued 05/07/2012.</td>
</tr>
<tr>
<td>Security Applications and Technologies Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Internally reported allegation of misuse of state assets. Employee used University Purchase Card to purchase refrigerators and coffee makers for employee use in their office suites. Allegations verified; inappropriate expenses amounted to $1051. 1 Issue related to non-compliance with State and University purchasing policies. Report issued 05/08/2012.</td>
</tr>
<tr>
<td>Facilities Housekeeping Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Office of State Auditor delegated Hot Line call: investigate allegations of inconsistency in overtime practices and possible management discrimination. Allegations were unsubstantiated. Issues were noted regarding timesheet completion and incorrect instructions provide by supervisor; issues resulted in potential inaccuracies in overtime processing. Appropriate corrective action was taken by Facilities Operations management. Report issued 7/28/11. Audit Closed.</td>
</tr>
</tbody>
</table>
## Audit Plan Summary

### Year Ending June 30, 2012

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>University Housing - Warehouse and Upholstery Shop Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Office of State Auditor delegated Hot Line call: investigate allegations related to retaliation, performance management, working conditions, and working environment; repeat of previous allegations. 2 of 16 allegations were substantiated; corrective actions had already been implemented. Internal Audit reviewed corrective actions and found them to be satisfactory. Report issued 8/11/11. Audit closed.</td>
</tr>
<tr>
<td>Cumberland County Cooperative Extension Service 4-H Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Investigation of internally reported allegations of funds mismanagement involving 4-H accounts. Issues reported included: misuse of resources for personal benefit, poor fiscal stewardship and inadequate internal control environment over the administration of Cumberland 4H funds, security weaknesses within the 4H computing environment, and inadequate controls and lack of documentation for the 4H Club Chartering process. Report issued 9/22/11.</td>
</tr>
<tr>
<td>Chemistry Invoices Investigation</td>
<td>No</td>
<td>Complete</td>
<td>Internally reported investigation regarding employee working secondary employment during University work hours and potential misuse of assets. Allegation of secondary employment unsubstantiated. Allegation of misuse of state assets was substantiated; misuse consisted of using NCSU computer and fax to conduct personal business; financial impact was very minimal. Other issues related to non-compliance with NCSU and State secondary employment and purchasing policies and procedures; and inconsistencies in University secondary employment policies, regulations, and guidance. Report issued 7/21/11.</td>
</tr>
</tbody>
</table>

**Audit Findings Follow-Up - follow-up activity related to audit findings resulting both from external audits and completed internal audits**

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Friends of NC State Baseball, L.L.C. (&quot;Rally Club&quot;) Financial Statements</td>
<td>n/a</td>
<td>Complete</td>
<td>Annual audit of the Rally Club’s financial statements, the related internal controls over financial processes, and the preparation of their 2010 Income and Expense Summary. Report issued 3/30/11. Follow-up complete; audit closed 6/14/12</td>
</tr>
<tr>
<td>University Dining</td>
<td>n/a</td>
<td>Complete</td>
<td>Review of Departmental business and finance activities including IT systems in use. Report issued 6/14/10. Financial (reconciliation, billing, non-salary income) and IT issues were noted. Follow-up is 50% complete. Additional follow-up activities pending Internal Audit resources in FY2013.</td>
</tr>
<tr>
<td>Engagement Type/Title</td>
<td>Included in the Audit Plan?</td>
<td>Audit Status at 6/30/12</td>
<td>Details and Updates (as of 8/27/12)</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------------------</td>
<td>-----------------------------</td>
<td>-----------------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Compliance with Senior Academic and Administrative Officers (SAAO) Tier I Retreat Rights Policy</td>
<td>n/a</td>
<td>Complete</td>
<td>Chancellor request FY2010: audit of retreat rights agreements for SAAO Tier 1 employees; significant issues relating to non-compliance, lack of process, and policy inconsistencies. Report issued 08/21/09. Follow-up complete; audit closed 6/29/12</td>
</tr>
<tr>
<td>North Carolina State University Alumni Association, Inc.</td>
<td>n/a</td>
<td>Complete</td>
<td>Follow-up issues in prior audit report. Chancellor request; audit of finance and business operations, internal controls, compliance; internal control weaknesses throughout all processes, and minor misuse of state property. Report issued 6/15/10. Follow-up complete; audit closed 6/27/12</td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences Distance Education Investigation</td>
<td>n/a</td>
<td>In Process</td>
<td>Audited at requests from Chancellor and Provost; investigation of allegations related to management and issues in the Distance Education Program at CALS. Report issued 7/2/10. Follow-up in progress with additional follow-up activities pending Internal Audit resources in FY2013.</td>
</tr>
<tr>
<td>Granville County Cooperative Extension Office</td>
<td>n/a</td>
<td>In Process</td>
<td>Review of business and finance practices at County CES office. Internal Audit to follow-up on corrective actions. Report issued 9/01/10. Follow-up complete; audit closed 7/10/12</td>
</tr>
<tr>
<td>Student Affairs Division - Information Technology General Controls</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective of this audit was to evaluate the effectiveness of governance over processes and procedures that apply to the overall Student Affairs IT operations. Report issued 6/2011. 10 issues were reported; follow-up is in-progress; however, the majority of the issues are not due to be resolved until the end of July 2012. Follow-up will occur in FY2013.</td>
</tr>
<tr>
<td>The Future Renewable Electric Energy Delivery and Management Systems (FREEDM) Center</td>
<td>n/a</td>
<td>In Process</td>
<td>Internal audit evaluated general internal controls over business operations, including business office, financial transactions, contract and grant compliance, and other administrative activities. Issues related to management opportunities for improvement, compliance to Federal agreement, and internal control weaknesses. Report issued 10/04/2010. Follow-up is 90% complete.</td>
</tr>
<tr>
<td>College of Natural Resources (CNR) General Information Technology Controls</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective of the original audit was to evaluate the effectiveness of governance over processes and procedures that apply to the overall CNR IT operations. Report issued 7/22/12. Follow-up complete; audit closed 7/6/12.</td>
</tr>
<tr>
<td>Engagement Type/Title</td>
<td>Included in the Audit Plan?</td>
<td>Audit Status at 6/30/12</td>
<td>Details and Updates (as of 8/27/12)</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------------------</td>
<td>----------------------------</td>
<td>--------------------------</td>
<td>--------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>College of Textiles' General Information Technology Controls</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective of this audit was to evaluate the effectiveness of Textiles IT governance and technical controls. Report issued 3/31/10. Follow-up is 21% completed; follow-up on remaining issues in progress.</td>
</tr>
<tr>
<td>Office of the State Auditor Information Systems Audit (January 2009)</td>
<td>n/a</td>
<td>In Process</td>
<td>Follow-up to Office of the State Auditor Information Systems Audit issues reported in January 2009. Follow-up complete; audit closed 7/3/12</td>
</tr>
<tr>
<td>Special Review of Internal Allegations of Misuse of State Property/Assets in the Department of Electrical and Computer Engineering</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective was to review: purchases of furniture and related items in the Department for compliance with University and State policy; potential misuse of state funds for personal benefit; and departmental internal controls over the purchasing process. Report issued 02/12/2009. 1 of 1 issue 50% resolved follow-up complete; review of remaining corrective actions scheduled for FY2013.</td>
</tr>
<tr>
<td>Contracts and Grants in the Electrical and Computer Engineering Department</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective was to evaluate: the efficiency and effectiveness of departmental management of sponsored research funds; departmental internal controls over contracts and grants; and compliance with federal, state, and university requirements for sponsored research expenditures. Report issued 02/10/2009. Follow-up complete for 10 of 17 issues; follow-up pending in FY2013 on remaining 7 issues.</td>
</tr>
<tr>
<td>Faculty Performance Review Process in the Department of Electrical and Computer Engineering</td>
<td>n/a</td>
<td>In Process</td>
<td>The objective was to determine if Departmental practices for reviews of tenure track faculty performance; post tenure performance; and reappointment, promotion, and tenure comply with Departmental, College, and University policies and other guidance. Report issued 02/10/2009. Follow-up complete for 1 of 5 issues. Remaining 4 of 5 issues resolved follow-up pending.</td>
</tr>
<tr>
<td>Warren County Cooperative Extension Office</td>
<td>n/a</td>
<td>Not Started</td>
<td>Review of business and finance practices at Warren County CES office. Report issued 11/12/09. 3 minor issues were noted related to financial process weaknesses.</td>
</tr>
</tbody>
</table>
## North Carolina State University
### Audit Plan Summary
#### Year Ending June 30, 2012

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office of Information Technology (OIT) - Office of the State Auditor (OSA) Annual</td>
<td>No</td>
<td>Complete</td>
<td>IT Audit Manager consulted with the OIT Security and Compliance during the information system component of the annual OSA audit of the University financial statements.</td>
</tr>
<tr>
<td>Financial Statement Audit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Humanities and Social Sciences (CHASS) - Online Summer Salary Certification</td>
<td>No</td>
<td>Complete</td>
<td>Consultative review of the CHASS summer salary online certification process/system including accessibility and electronic signing of certification forms, data integrity, efficiency, and scalability. Issues relating to data integrity, scalability, and efficiency were identified and recommendations were made to CHASS. CHASS implemented all recommendations.</td>
</tr>
<tr>
<td>Office of Information Technology (OIT) - Research Data Security Consulting</td>
<td>No</td>
<td>Complete</td>
<td>Following a data leak breach related to a spreadsheet with sensitive Personally Identifiable Information data, Audit consulted with OIT to ensure the comprehensiveness of the response. We also consulted on how to ensure full lifecycle protection of University research data that meets University, regulatory, and contractual requirements.</td>
</tr>
<tr>
<td>University-wide, University IT Committee (UITC)</td>
<td>No</td>
<td>Complete</td>
<td>Participation in UITC including security subcommittee and college Academic IT Directors meetings; consulting on University Policy, Regulations, and Rules, IT standards, and best practices. This team has been disbanded and replaced by the Information Technology Strategic Advisory Committee (see below in this section).</td>
</tr>
<tr>
<td>McKimmon Center - Gateway Technology Center</td>
<td>No</td>
<td>Complete</td>
<td>Collaborative consulting with Employee Relations on personnel issues relating to potential inaccuracies in an employee's leave reporting. IA consulting activities completed.</td>
</tr>
<tr>
<td>Administrative Systems Steering and Management Teams</td>
<td>Yes</td>
<td>Complete</td>
<td>Consulting on information technology standards, best practices, and University Policy, Regulations, and Rules; consulting on upgrades to Financial System and Human Resources Information System. This team has been disbanded and replaced by the ITSAC (see below in this section).</td>
</tr>
<tr>
<td>Business Operations Realignment Steering Team (BORST)</td>
<td>No</td>
<td>Complete</td>
<td>The BORST was tasked by Vice Chancellor Leffler to advise on the implementation of the Business Operations Centers concept. The Director consulted with this team and participated in meetings as appropriate to offer an audit perspective on processes under consideration for realignment and to provide other consultative advice as needed.</td>
</tr>
</tbody>
</table>
## North Carolina State University
### Audit Plan Summary
#### Year Ending June 30, 2012

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNC System Finance Improvement and Transformation (FIT) Team</td>
<td>Yes</td>
<td>Complete</td>
<td>Director is on UNC System FIT Internal Audit Advisory Team. Internal Audit participates in monitoring visits on campus and follow-up on any issues reported as needed. Monitoring site visit completed 2/16/12.</td>
</tr>
<tr>
<td>Prague Institute Business Operations</td>
<td>No</td>
<td>On-Going</td>
<td>Director consulting with College of Design Dean and Assistant Dean for Business and Finance to assess business operations at the Prague Institute and recommend potential enhancements to business process and technology controls.</td>
</tr>
<tr>
<td>NCSU Research Support Council</td>
<td>Yes</td>
<td>On-Going</td>
<td>Advanced Auditor participates in meetings and presents trend and best practice information as needed.</td>
</tr>
<tr>
<td>Cooperative Extension Service</td>
<td>Yes</td>
<td>On-Going</td>
<td>Training on University policies, best practices in business and financial activities/transactions, use of new IA self-assessment tools on IA website, and awareness of common issues. On-site reviews as necessary. Ad hoc consulting at District Meetings.</td>
</tr>
<tr>
<td>Identity and Access Management (IAM)</td>
<td>Yes</td>
<td>On-Going</td>
<td>IT Auditor participation on Office of Information Technology strategic IAM initiative; consulting on IAM standards and best practices.</td>
</tr>
<tr>
<td>Purchase Card Validation Monthly Reporting Program</td>
<td>No</td>
<td>On-Going</td>
<td>This consulting engagement replaces the planned audit &quot;University-wide: Controls over Purchase Cards&quot; (see Internal Controls Testing and/or Reviews section above). The Program is a proactive initiative undertaken by the Purchasing Department to contract with D-Warner Group who will provide a monthly data analysis report of all Purchase card transactions. The report will be customized and facilitate enhancing controls, ensuring compliance, and assisting in detection of inappropriate transactions. Internal Audit will monitor the implementation of this program, the benefits obtained, and anticipated improvements in internal controls.</td>
</tr>
<tr>
<td>University Record Retention</td>
<td>Yes</td>
<td>On-Going</td>
<td>Operational Audit Manager participates on University Record Retention guidance development team</td>
</tr>
</tbody>
</table>
### North Carolina State University

#### Audit Plan Summary

**Year Ending June 30, 2012**

<table>
<thead>
<tr>
<th>Engagement Type/Title</th>
<th>Included in the Audit Plan?</th>
<th>Audit Status at 6/30/12</th>
<th>Details and Updates (as of 8/27/12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payment Card Industry Compliance and Review Advisory Committee</td>
<td></td>
<td>On-Going</td>
<td>IT Audit Manager is participating on the University Payment Card Industry Compliance and Review Advisory Committee in a consultative manner to ensure compliance and protection of sensitive credit card data.</td>
</tr>
<tr>
<td>University Information Technology Strategic Advisory Committee (ITSAC)</td>
<td>Yes</td>
<td>On-Going</td>
<td>Participation in advisory committee’s meetings including security subcommittee and college academic IT directors meetings; consulting on University and state requirements, IT standards, and best practices.</td>
</tr>
<tr>
<td>Internal Control Assessment Committee</td>
<td>Yes</td>
<td>On-Going</td>
<td>Director is a member of this committee which assesses internal controls across the University to support the University's annual certification to the Office of the State Controller.</td>
</tr>
<tr>
<td>University Enterprise Risk Mangement</td>
<td>Yes</td>
<td>On-Going</td>
<td>Director is member of University Enterprise Risk Management Advisory Task Force.</td>
</tr>
</tbody>
</table>
Agenda Item / Issue: 4.4C

Investment Fund Update

Requested / Required Action:

None required.

Suggested Motion:

None required.

Responsible University Unit:

Treasurer’s Office

University Presenter/Contact:

Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business and University Treasurer
## Performance Summary

<table>
<thead>
<tr>
<th></th>
<th>QTR</th>
<th>1 year</th>
<th>3 year</th>
<th>5 year</th>
<th>10 year</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>NC State Investment Fund, Inc.</strong></td>
<td>-0.42%</td>
<td>2.60%</td>
<td>9.54%</td>
<td>-1.14%</td>
<td>4.36%</td>
</tr>
<tr>
<td><strong>Policy Benchmark (1) (3)</strong></td>
<td>0.27%</td>
<td>4.66%</td>
<td>11.74%</td>
<td>2.63%</td>
<td>6.59%</td>
</tr>
<tr>
<td><strong>Market Index (2)</strong></td>
<td>-1.26%</td>
<td>6.44%</td>
<td>13.82%</td>
<td>2.55%</td>
<td>5.73%</td>
</tr>
<tr>
<td><strong>BNY Mellon E&amp;F Median Universe (4)</strong></td>
<td>-1.70%</td>
<td>0.19%</td>
<td>10.54%</td>
<td>1.51%</td>
<td>6.60%</td>
</tr>
</tbody>
</table>

### Asset Allocation

<table>
<thead>
<tr>
<th>Asset Class/Style</th>
<th>Market Value</th>
<th>Actual</th>
<th>Policy</th>
<th>Over(Under) Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total NC State Investment Fund, Inc.</td>
<td>$397,192,038</td>
<td>100.0%</td>
<td>100.0%</td>
<td></td>
</tr>
<tr>
<td><strong>Public Equity Composite</strong></td>
<td>145,955,074</td>
<td>36.8%</td>
<td>45.0%</td>
<td>-8.2%</td>
</tr>
<tr>
<td>Domestic Equity Composite</td>
<td>50,258,114</td>
<td>12.7%</td>
<td>20.0%</td>
<td>-7.3%</td>
</tr>
<tr>
<td>International Equity Composite</td>
<td>50,946,583</td>
<td>12.8%</td>
<td>15.0%</td>
<td>-2.2%</td>
</tr>
<tr>
<td>Global Equity Composite</td>
<td>44,750,377</td>
<td>11.3%</td>
<td>10.0%</td>
<td>1.3%</td>
</tr>
<tr>
<td><strong>Fixed Income Composite</strong></td>
<td>64,515,402</td>
<td>16.3%</td>
<td>12.5%</td>
<td>3.8%</td>
</tr>
<tr>
<td>Fixed Income</td>
<td>32,845,904</td>
<td>8.3%</td>
<td>7.5%</td>
<td>0.8%</td>
</tr>
<tr>
<td>Enhanced Fixed Income</td>
<td>21,342,487</td>
<td>5.4%</td>
<td>5.0%</td>
<td>0.4%</td>
</tr>
<tr>
<td>Cash</td>
<td>10,327,011</td>
<td>2.6%</td>
<td>0.0%</td>
<td>2.6%</td>
</tr>
<tr>
<td><strong>Diversifying Strategies</strong></td>
<td>46,127,311</td>
<td>11.6%</td>
<td>10.0%</td>
<td>1.6%</td>
</tr>
<tr>
<td><strong>Private Strategies</strong></td>
<td>140,594,251</td>
<td>35.3%</td>
<td>32.5%</td>
<td>2.8%</td>
</tr>
<tr>
<td>Private Equity</td>
<td>91,024,603</td>
<td>22.9%</td>
<td>15.0%</td>
<td>7.9%</td>
</tr>
<tr>
<td>Real Estate</td>
<td>24,784,824</td>
<td>6.2%</td>
<td>10.0%</td>
<td>-3.8%</td>
</tr>
<tr>
<td>Energy and Natural Resources</td>
<td>24,784,824</td>
<td>6.2%</td>
<td>7.5%</td>
<td>-1.3%</td>
</tr>
</tbody>
</table>

* Totals may vary due to rounding

Note 1 - Policy Benchmark: Strategic Investment Policy Portfolio (SIPP) Index =20%: (Russell 3000), 15%: (67% MSCI EAFE/ 33% MSCI EM), 10%: (50% MSCI World + 5%), 7.5%: (Barclay's LT Gov/Corp), 5%: (50% JPM GB/ 50% Barclays HY), 10%: (T-Bills + 5%), 15%: (Cambridge Private Equity Composite), 10%: (80% NCREIF / 20% NAREIT), and 7.5%: (50% GSCI / 50% Real 3%)

Note 2 - Market index of 70% S&P and 30% Barclay's Aggregate

Note 3 - 5 & 10 Year Policy Benchmark represents the historical benchmark-blended index of past benchmarks

Note 4 - BNY Mellon Foundation and Endowment Median Universe. 224 portfolios are represented for the one-year period ended June 30, 2012.
Agenda Item / Issue: 4.4D

Fall 2012 Enrollment Report

Requested / Required Action:

None required.

Suggested Motion:

None required.

Responsible University Unit:

University Planning and Analysis

University Presenter/Contact:

Karen Helm, Director
FALL 2012
ENROLLMENT

• An outstanding freshman class
• On target to 2020

NC State University Board of Trustees
September 2012
Karen Helm, University Planning and Analysis
The freshman class is smaller

![Graph showing NC State Freshman Cohort Size from Fall 2004 to Fall 2012]
The freshman class is competitive
The freshman class is well prepared.
The freshman class is well prepared
The freshman class is diverse

- Geographically: 13.1% from outside NC, 2.6% from outside US
- Gender: 46.0% women
- Culture: 7.3% AA, 4.9% Asian, 4.3% Hispanic
Implementing the Strategic Plan through the 2020 Enrollment Plan

- Limit overall enrollment to align with resources while responding to demand

- Emphasize special role within UNC by expanding graduate education

- Focus on student success by stabilizing undergraduate enrollment

- Deploy educational innovations to improve student success and improve efficiency
Limit overall growth to align with resources while responding to demand
Emphasize special role within UNC
Focus on student success by stabilizing undergraduate enrollment
Provide access by increasing transfer enrollment

<table>
<thead>
<tr>
<th></th>
<th>NC Community Colleges</th>
<th>UNC Institutions</th>
<th>Other Institutions</th>
<th>Total Transfers</th>
<th>Pct. Transfers of New Undergrads</th>
<th>Total Freshmen</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall 2004</td>
<td>407</td>
<td>196</td>
<td>439</td>
<td>1042</td>
<td>21%</td>
<td>3847</td>
</tr>
<tr>
<td>Fall 2005</td>
<td>383</td>
<td>193</td>
<td>451</td>
<td>1027</td>
<td>19%</td>
<td>4253</td>
</tr>
<tr>
<td>Fall 2006</td>
<td>415</td>
<td>202</td>
<td>458</td>
<td>1075</td>
<td>19%</td>
<td>4559</td>
</tr>
<tr>
<td>Fall 2007</td>
<td>422</td>
<td>172</td>
<td>435</td>
<td>1029</td>
<td>18%</td>
<td>4791</td>
</tr>
<tr>
<td>Fall 2008</td>
<td>444</td>
<td>193</td>
<td>452</td>
<td>1089</td>
<td>19%</td>
<td>4669</td>
</tr>
<tr>
<td>Fall 2009</td>
<td>499</td>
<td>231</td>
<td>367</td>
<td>1097</td>
<td>19%</td>
<td>4638</td>
</tr>
<tr>
<td>Fall 2010</td>
<td>545</td>
<td>228</td>
<td>368</td>
<td>1141</td>
<td>20%</td>
<td>4558</td>
</tr>
<tr>
<td>Fall 2011</td>
<td>456</td>
<td>252</td>
<td>319</td>
<td>1027</td>
<td>18%</td>
<td>4564</td>
</tr>
<tr>
<td>Fall 2012</td>
<td>524</td>
<td>244</td>
<td>441</td>
<td>1209</td>
<td>22%</td>
<td>4228</td>
</tr>
</tbody>
</table>
Deploy educational innovations to improve student success and efficiency
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Buildings and Property Committee
Time: 2:45 – 4:15 p.m., September 20, 2012
Primrose Hall Conference Room
Lanier, Chair
Members: Clark, Cozort, Davenport, Ramsey, Sall

AGENDA

CALL TO ORDER
Reading of the State Government Ethics Act

1. COMMITTEE RESPONSIBILITIES AND PROCEDURES
   • Review of Committee Responsibilities and Procedures 5.1.A

2. CONSENT AGENDA
   Minutes
   Kevin MacNaughton, Associate Vice Chancellor for Facilities
   • Approval of April 19, 2012 meeting minutes 5.2.A

Property Matters
   Ralph Recchie, Real Estate Director
   ✓ Disposition by Lease: ±1594 square feet (sf) of office and laboratory space in Partners Building II, Suite 2100, to Eastman Chemical Company to house the firm’s Eastman Center for Excellence. Eastman has entered into a Memorandum of Understanding (MOU) with the University. The MOU provides a minimum of $10 million in funding over six years for strategic research, discovery projects and other collaboration with NC State. Initial year’s total annual rent is $53,112.08. Rent escalates annually. Initial term of three years with one renewal of three years. 5.2.B.1
   • Disposition by Lease: ±950 sf of office space in Research Building II, 1009 Capability Drive on Centennial Campus, to Premitec, Inc. for a term of one year with 4 one-year renewals at an initial rate of $22.40 per sf. Rent will be adjusted based on the Consumer Price Index and operating costs will be adjusted to actual expenses. 5.2.B.2
   • Disposition by Lease: ±1085 sf of laboratory and office support space in Plants for Human Health Institute Building at the NC Research Campus in Kannapolis, NC, to General Mills, Inc. for a term of two years with 2 one-year renewals at an initial rate of $43.52 per sf plus operating costs 5.2.B.3

✓ Requires full board approval
that will be adjusted to actual expenses.

- Disposition by Severance: Demolition of The Council Building, 701 Barbour Drive, a two-story brick converted hospital building with basement in the Spring Hill Precinct. The 58,000 sf structure was built in 1939 and was last occupied by North Carolina Department of Health and Human Services (DHHS) as offices.
- Disposition by Severance: Demolition of 607 Barbour Drive, a one-story frame house in the Spring Hill Precinct. The 1,298 sf structure was built in 1910 and was last occupied by DHHS as offices.

### Designer and CM At Risk Selections

**Kevin MacNaughton, Associate Vice Chancellor for Facilities**

- Cates Avenue Chilled Water Trunk Main – Central Campus
- Corporate Research I – 3rd Floor Fit up – Centennial Campus
- Partners I, Research II, and Research III HVAC Study and Retro-commissioning – Centennial Campus
- Approval of Designer Selections Less Than $500,000
- Phytotron Energy Savings Self Performance Contracting – CM At Risk – North Campus

### Acceptance of Completed Buildings and Projects

**Kevin MacNaughton, Associate Vice Chancellor for Facilities**

- The University and Office of State Construction have accepted the attached list of completed buildings and projects with dollar values greater than $2,000,000. The University has accepted the attached list of completed buildings and projects with dollar values less than $2,000,000. All are recommended to the Buildings and Property Committee for formal acceptance. This listing represents buildings and projects received since the April 19, 2012 meeting.

***END OF CONSENT AGENDA ITEMS***

### 3. PROPERTY MATTERS (Received after Full Board Mailing)

**TAB 3**

### 4. SITE REVIEW & APPROVAL

**Lisa Johnson, University Architect**

- Broughton Hall Addition & Renovation – North Campus

**TAB 4**

### 5. PLAN REVIEW AND APPROVAL

**Lisa Johnson, University Architect**

**Plan Review**

- Broughton Hall Addition & Renovation – North Campus
- Gregg Museum of Art & Design Relocation – North Campus

**TAB 5**
Plan Approval

- Approval of Plans and Specifications of Formal Projects less than $2 Million 5.5.B

6. INFORMATIONAL REPORTS TAB 6

- Capital Projects Update (Cameron Smith, Director, Capital Project Management) 5.6.A.1
- 2012 Campus Mobility Report (David Rainer, Associate Vice Chancellor for Environmental Health and Public Safety) 5.6.A.2
- Status of Projects in Planning (Lisa Johnson, University Architect) 5.6.A.3

ADJOURN
01.05 BUILDINGS AND PROPERTY COMMITTEE

The Buildings and Property Committee has the following delegated authority:

   1.1 To maintain a master plan for the physical development of North Carolina State University.
   1.2 To select architects or engineers for buildings and improvements requiring such professional services.
   1.3 To select Construction Managers-at-Risk for buildings and improvements requiring such professional services.
   1.4 To approve building sites.
   1.5 To approve plans and specifications.
   1.6 To accept all completed buildings and projects.
   1.7 To approve all proposals involving acquisition or disposition of any interest in real property and for which authority has not been delegated to the Chancellor, provided that if the proposal involves an interest in real property valued at $50,000 or more, the matter shall be recommended by the Committee to the full Board of Trustees for its approval and forwarding to the Board of Governors.

2. Policy Development
   2.1 Buildings and Property. Upon the recommendation of the Chancellor, to recommend to the Board of Trustees the adoption of policies applicable to the control, operation and supervision of campus buildings and property pursuant to applicable provisions of State law and policies of the Board of Governors.
   2.2 Traffic and Parking Regulations. To review campus parking and traffic regulations and policies and on recommendation of the Chancellor to recommend changes to the Board of Trustees.
Agenda Item / Issue: Consent Agenda

Requested / Required Action: Approval of the items contained in the Consent Agenda to include committee meeting minutes for April 19, 2012, property matters, designer and construction manager at risk selections, and acceptance of completed buildings and projects.

Suggested Motion: Move approval of the Consent Agenda.

Responsible University Unit: Office of Finance & Business
University Presenter/Contact: Kevin MacNaughton, Associate Vice Chancellor for Facilities
Minutes

Meeting No.: 11-12: 5

Location: Primrose Hall Conference Room

Time: 2:48 – 3:39 p.m.

Committee Members Present:
Mr. Jack Cozort, Chair
Mr. Jimmy Clark
Mr. Ben Jenkins
Mr. Randall Ramsey
Mr. John Sall

Present from the University:
Mr. Charles Leffler, Vice Chancellor, Finance and Business
Mr. Michael Harwood, Associate Vice Chancellor, Centennial Campus Development
Mr. Kevin MacNaughton, Associate Vice Chancellor, Facilities
Mr. Michael Poterala, Deputy General Counsel, Office of General Counsel
Ms. Laura Ratchford, Associate General Counsel, Office Of General Counsel
Mr. Tom Kendig, Director, Transportation
Ms. Lisa Johnson, University Architect
Mr. Ralph Recchie, Director, Real Estate
Ms. Lynn Burris, Executive Assistant, Facilities Division
Mr. Ron Grote, University Program Specialist, Facilities Division

STATE GOVERNMENT ETHICS ACT
At the beginning of the meeting, Chair Cozort reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest with respect to any matters coming before the Buildings and Property Committee at this meeting. Committee members had no conflicts of interest or appearances thereof.

CONSENT AGENDA
Chair Cozort asked whether there were any questions or comments about the February 16, 2012 meeting minutes. Mr. Sall noted that the meeting location was incorrect. It should read, “Yarbrough Plant Training Room, Room 203”. Cozort called for a motion to approve the
minutes after the meeting location is corrected. Mr. Sall made the motion, which was seconded by Mr. Jenkins and carried.

Chair Cozort asked Mr. Recchie to discuss the property matters. Recchie outlined the recently approved delegation of authority from the UNC Board of Governors for acquisitions by lease and the new approval thresholds when the lease is for a term of not more than 10 years. He said that acquisition and disposition leases that were formerly listed together are now listed separately. Acquisition leases are now broken into categories of greater than 10 years and 10 years or less. Annual rents in excess of $500,001 need Board of Governors approval. Lesser amounts only need the President’s approval. Recchie concluded by letting the committee know the changes would significantly reduce the number of acquisition leases for Board of Trustees approval.

Recchie discussed the six property matters requiring Full Board approval. He indicated that with the change in acquisition approval thresholds, the first three property matters listed in the agenda do not actually need approval. However, since they were on the agenda prior to the change, Recchie asked for the committee’s approval. The property matters are:

- Acquisition by lease of approximately 7,000 square feet at a location and rental rate to be determined by bid for the Small Business and Technology Development Center
- Acquisition by Lease of approximately 7,000 square feet at a location and rental rate to be determined by bid for The Ergonomics Center
- Acquisition by Lease of approximately 4,000 square feet at a location and rental rate to be determined by bid for the Athletics Ticket Office. The Ticket Office may or may not relocate with the Wolfpack Club.

The Disposition by Deed for the sale of the Sertoma 4-H Camp, approximately 717 acres, in Stokes County, North Carolina will be at a market price to be determined by a full appraisal with the proceeds benefiting the 4-H program in the College of Agriculture and Life Sciences. Mr. Ramsey asked for more details. Mr. Jeffler said that the University is exploring the idea of selling the entire camp minus only a small conservation area to help the other four 4-H camps survive and even thrive in the future. The money would help pay off debt.

Recchie added that it will take a while to sell the property. Mr. Ramsey asked whether we would use the State’s bid process. Recchie responded he did not believe so. The property would be offered for public sale, but would be directly marketed for sale to several groups and camp operators. Chair Cozort asked whether there is a dollar estimate of the value. Recchie replied there is no estimate available at this time.

The disposition by lease for up to six separate ATM locations with lending institutions and at rental rates will be determined by bid. Locations will be selected in coordination with the University Architect. To be competitive, the University will not make all six ATM locations available at once.

The disposition by easement of approximately 0.083 acres on State Forest Road in Durham County to the NC Department of Transportation is for the replacement of the Flat River Bridge. Easement areas are the four corners of the bridge. Replacement will allow access to the Hill
Demonstration Forest.

The final property matter required committee approval only. It was the proposal to demolish nine buildings along Varsity Drive. The buildings are in poor condition and no longer serve a useful purpose. Removal of these buildings will facilitate development of the recreational sports complex and development of Greek Village according to the Physical Master Plan.

Chair Cozort called for a motion to approve the property matters. Mr. Sall made the motion, which was seconded by Mr. Jenkins and carried.

Chair Cozort asked Mr. MacNaughton to address the other Consent Agenda items. MacNaughton discussed the five designer selections, one performance contracting selection, and the 2012-2014 Open-Ended Service Agreement. He asked for acceptance of four completed projects with a combined value of $2.7 million. The list included the New Fire Suppression Systems in Greek Houses #1, 5, 6, and 11. Completion of this project means that all Residence Life Housing Facilities on campus have fully operational sprinkler systems.

Chair Cozort called for a motion to approve these items. Mr. Ramsey made the motion, which was seconded by Mr. Clark and carried.

Mr. MacNaughton outlined the request to repeal POLICY 04.20.03 – Curator of Alcohol. He said that the information would be restated in REGULATION 04.20.2. Chair Cozort called for a motion to approve the repeal request. Mr. Ramsey made the motion, which was seconded by Mr. Jenkins and carried.

Chair Cozort asked Mr. Kendig to present the Proposed Revision to Policy 7.60.1 Parking and Transportation Ordinances 2012-2013. Kendig said the revisions include an average two percent parking permit increase. The price of on-demand visitor parking passes will increase to encourage the use of the online system. There were a few changes in terminology.

Mr. Ramsey and Mr. Jenkins asked whether the parking problems in the area of the Weisig-Brown Athletic Facility were being addressed. Kendig said he is working with the Athletic Department on resolution.

Chair Cozort called for a motion to approve the proposed revision. Mr. Jenkins made the motion, which was seconded by Mr. Sall and carried.

Ms. Johnson presented the annual update to the Physical Master Plan. She said that the 5-year update of the Master Plan is underway. Two designer user groups have met with the Office of the University Architect staff to provide their insights and suggestions. The public update will get underway during the fall semester when students, faculty, staff, and other interested parties will gather to study each of the five campus precincts. The entire process will take 18 months. Trustees will receive regular updates.
Johnson talked about a number of the guiding principles, including two that were added in the 2007 update – Universal Design and Hollowed Places. She said that the University is working to make our campus more attractive and is concentrating upon improving our campus edges, gateways, and spaces between buildings. Chair Cozort commented that there is not much more important work we can do than to make our campus more attractive.

Ms. Johnson requested approval of Plans and Specifications of two Formal Projects that each cost less than $2 Million. Chair Cozort called for a motion to approve the request. Mr. Ramsey made the motion, which was seconded by Mr. Clark and carried.

INFORMATIONAL REPORTS
The committee received updates on the status of capital projects and projects in planning.

There being no additional business, the meeting adjourned at 3:39 p.m.

After the meeting, the Committee received a walking tour of North Campus to show how the Physical Master Plant principles guide campus development.

Respectfully submitted,

[Signature]

Kevin J. MacNaughton
Secretary to the Committee

cc: Charles Leffler, Vice Chancellor, Finance & Business
     P.J. Teal, Assistant Secretary of the Trustees

Approved: ________________________________  ________________________________

                               Committee Chair                                      Date
DISPOSITION OF REAL PROPERTY

SPACE LEASE

LESSEE
Eastman Chemical Center for Excellence

LESSOR
State of North Carolina, North Carolina State University

LOCATION
Suite 2100, Partners Building II

SIZE
±1594 SF

RATE
$19.00/SF/Yr. base rent, escalating at CPI annually, including during renewal, if exercised
$14.32/SF/Yr. Additional Rent with annual adjustment to actual upon renewal, if exercised.
Total Annual Rent for initial year of term: ±$53,112.08

TERM
Initial term of three (3) years with one (1) renewal of three (3) years

USE
Office & lab. The leased space will be used to house the firm’s Eastman Chemical Center for Excellence. The MOU provides a minimum of $10 million in funding over six years for strategic research, discovery projects, and other collaboration with NC State.
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University  Date: 8/24/2012

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify):

The disposition is recommended for the following reasons:
This disposition furthers programmatic connectivity between private firms locating on Centennial Campus and the University at large. Eastman Chemical Company has entered into a Memorandum of Understanding with the University. The leased space will be used to house the firm’s Eastman Chemical Center for Excellence. The MOU provides a minimum of $10 million in funding over six years for strategic research, discovery projects, and other collaboration with NC State.

Description of Property:
Eastman Chemical Center for Excellence
±1594 square feet office & lab space
Centennial Campus, Partners Building II, Suite 2100
840 Main Campus Drive, Raleigh, NC

Term: Initial term of three (3) years with one (1) renewal of three (3) years

Estimated value: Initial year’s total annual rent is ±$53,112.08 (rents escalate annually)

Where deed is filed, if known: N/A

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms:
$19.00/SF/Yr. base rent, escalating at CPI annually, including during renewal, if exercised
$14.32/SF/Yr. Additional Rent with annual adjustment to actual upon renewals, if exercised
Total Annual Rent for initial year of term: ±$53,112.08

Funds from the disposal of this property are recommended for the following use.
Centennial Campus Trust Fund for the furtherance of campus development

Action recommending this transaction was taken by the Board of Trustees at its meeting held on

__________________________
Signature  Original Signature on File
Chancellor

*The term “real property” includes timber rights, mineral rights, etc. (GS 146-64)
# Disposition of Real Property

## Space Lease

<table>
<thead>
<tr>
<th>LESSEE</th>
<th>Premitec, Inc.</th>
</tr>
</thead>
<tbody>
<tr>
<td>LESSOR</td>
<td>State of North Carolina, North Carolina State University</td>
</tr>
<tr>
<td>LOCATION</td>
<td>Suite 313, Research Building II, 1009 Capability Drive</td>
</tr>
<tr>
<td>SIZE</td>
<td>±950 Square Feet</td>
</tr>
<tr>
<td>RATE</td>
<td>$13.44/SF/Yr. base rent, escalating at CPI upon renewals, if exercised $8.96/SF/Yr. additional rent with annual adjustment to actual upon renewals, if exercised. Total Annual Rent for Initial One Year Term ±$21,280</td>
</tr>
<tr>
<td>TERM</td>
<td>Initial term of one (1) year beginning 11/1/12 with four (4) renewals of one (1) year each.</td>
</tr>
<tr>
<td>USE</td>
<td>Office use by private firm with programmatic connectivity to the University. Premitec, Inc., a world leader in the design and prototyping of micro fabricated thin and thick film components for biomedical applications, is a NC State spinout. It was previously located in the NC State Incubator. The firm engages with the College of Engineering (Biomedical, ECE, Material Science, Biomedical Microprocessors Lab, Nanofabrication Facility and Center for Advanced Manufacturing Processes).</td>
</tr>
</tbody>
</table>
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University  Date: July 23, 2012

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify):

The disposition is recommended for the following reasons:
This disposition furthers programmatic connectivity between private firms located on Centennial Campus and the University at large. Premitec, Inc., a world leader in the design and prototyping of micro fabricated thin and thick film components for biomedical applications, is a NC State spinout. It was previously located in the NC State Incubator. The firm engages with the College of Engineering (Biomedical, ECE, Material Science, Biomedical Microprocessors Lab, Nanofabrication Facility and Center for Advanced Manufacturing Processes).

Description of Property:
Premitec, Inc.
±950 square feet of office space
Centennial Campus
Research Building II, Suite 312
1009 Capability Drive
Raleigh, NC

Term: Initial term of one (1) year beginning 11/1/12 with four (4) renewals of one (1) year each.

Estimated value: Initial term total annual rent is ±$21,280 (rents increase upon subsequent renewals, if exercised)

Where deed is filed, if known: N/A

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms:
$13.44/SF/Yr. base rent, escalating at CPI upon renewals, if exercised
$ 8.96/SF/Yr. Additional Rent with annual adjustment to actual upon renewals, if exercised
Total Annual Rent for Initial One Year Term: ±$21,280

Funds from the disposal of this property are recommended for the following use.
Centennial Campus Trust Fund for the furtherance of campus development

Action recommending this transaction was taken by the Buildings and Property Committee of the Board of Trustees, at its meeting held on ____________________.

Signature [Chancellor]

*The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
DISPOSITION
OF REAL PROPERTY

SUBLEASE

LESSOR    State of North Carolina

LESSEE    General Mills, Inc.

LOCATION  NC Research Campus, Kannapolis, NC

SIZE      +/- 1089 sf of office/laboratory space

RATE      +/- $43.52 psf plus operating costs.

TERM      Two (2) years with two separate one year renewal options.

USE       General Mills has requested a lease of real property in order to perform biological research and development in collaboration with NC State University located at the NC Research Campus in Kannapolis, NC.
STATE OF NORTH CAROLINA  
Department of Administration  
*DISPOSITION OF REAL PROPERTY*

Institution or Agency: State of North Carolina  
Date: August 15, 2012

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify): Sublease of Office Space

The disposition is recommended for the following reasons: General Mills, Inc. has requested a lease of real property in order to perform biological research and development in collaboration with NC State University located at the NC Research Campus in Kannapolis, NC.

Description of Property: The proposed sublease will involve the disposition of three (3) spaces located on the 3rd floor of the Plants for Human Health Institute consisting of approximately 1,089 sf. to be used for biological research and development purposes.

Term: Two (2) years with two – one year renewal options.

Estimated value: +/- $47,393.28 annually. +/- 1,089 sf @ +/- $43.52 psf. plus operating costs.

Where deed is filed, if known: Cabarrus Co. Register of Deeds  
Recorded in Deed Book: 7275 - 100

If deed is in the name of agency other than applicant, state the name. Castle & Cooke NC, LLC

Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. Funds will be applied to costs associated with the Plants for Human Health Institute building.

Action recommending this transaction was taken by the ___________ Buildings and Property Committee of the Board of Trustees at its meeting held on ________________.

Signature ________________________________

Chancellor

*The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)*
DISPOSITION
OF REAL PROPERTY

Severance/Demolition

GRANTOR       State of North Carolina for North Carolina State University
GRANTEE       N/A
LOCATION      NC State University, Centennial Campus, 701 Barbour Drive, Raleigh, NC
SIZE          58,385 gross sq. ft., 2-story brick, masonry and concrete structure
RATE          N/A
TERM          N/A
USE           The Council Building (University Building #755C, Asset #59) is located at 701 Barbour Drive on the Spring Hill Precinct of the University’s Centennial Campus. It was constructed in 1939 and added onto in 1954. The building is vacant and offers no benefit to the University. Significant renovation would be necessary for NC State occupancy. The renovation cost is estimated to be in excess of $12,000,000. Removal of this building will facilitate future expansion and development of the Spring Hill Precinct of NC State’s Centennial Campus and will eliminate the risk associated with this vacant and deteriorated structure. NC State University will facilitate the demolition.
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University Date: August 21, 2012

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify): SEVERANCE/DEMOLITION

The disposition is recommended for the following reasons:
The Council Building was constructed in 1939 and added onto in 1954. The building is vacant and offers no benefit to the University. Significant renovation would be necessary for NC State occupancy. Renovation cost is estimated to be in excess of $12,000,000. Removal of this building will facilitate future expansion and development of the Spring Hill Precinct of NC State’s Centennial Campus and will eliminate the risk associated with this vacant and deteriorated structure. NC State University will facilitate the demolition.

Description of Property:

<table>
<thead>
<tr>
<th>Building</th>
<th>Construction</th>
<th>Size</th>
<th>Condition</th>
<th>Age</th>
<th>Complex #</th>
<th>Asset #</th>
</tr>
</thead>
<tbody>
<tr>
<td>Council Building</td>
<td>Brick/masonry/concrete</td>
<td>±58,385 sq.ft.</td>
<td>Poor</td>
<td>73</td>
<td>76</td>
<td>59</td>
</tr>
<tr>
<td>Univ. Bldg. #755C</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>701 Barbour Dr.</td>
<td>2 story with basement</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(See attached photos)

Term: N/A

Estimated value: N/A

Where deed is filed, if known: Wake County

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Buildings and Property Committee of the Board of Trustees at its meeting held on _________________.

Signature  

Chancellor

*The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
DISPOSITION
OF REAL PROPERTY

Severance/Demolition

GRANTOR State of North Carolina for North Carolina State University

GRANTEE N/A

LOCATION NC State University, Centennial Campus, 607 Barbour Drive, Raleigh, NC

SIZE 1,298 gross sq. ft., 1-story frame house

RATE N/A

TERM N/A

USE Severance/demolition of the 1-story frame house (University Building #755F, Asset #240) is located at 607 Barbour Drive on the Spring Hill Precinct of the University’s Centennial Campus. It was constructed in 1910. The house is vacant and in disrepair. It offers no benefit to the University. Removal of this building will facilitate future expansion and development of the Spring Hill Precinct of NC State’s Centennial Campus and will eliminate the risk associated with this vacant and deteriorated structure. NC State University will facilitate the demolition.
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University  Date: August 21, 2012

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real
property herein described by (sale), (lease), (rental), or (other specify): SEVERANCE/DEMOLITION

The disposition is recommended for the following reasons: The one-story frame house was constructed in the 1910. It is vacant and in disrepair. Renovation is not cost effective. The house offers no benefit to the University. Removal of this building will facilitate future expansion and development of the Spring Hill Precinct of Centennial Campus and will eliminate the risk associated with this vacant and deteriorated structure. NC State will facilitate demolition.

Description of Property:

<table>
<thead>
<tr>
<th>Building</th>
<th>Construction</th>
<th>Size</th>
<th>Condition</th>
<th>Age</th>
<th>Complex #</th>
<th>Asset #</th>
</tr>
</thead>
<tbody>
<tr>
<td>Univ. Bldg. #755F 607 Barbour Drive</td>
<td>Frame 1-story house</td>
<td>±1,298SF</td>
<td>Poor</td>
<td>±102</td>
<td>76</td>
<td>240</td>
</tr>
</tbody>
</table>

Term: N/A

Estimated value: N/A

Where deed is filed, if known: N/A

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Buildings and Property Committee of the Board of Trustees at its meeting held on ________________.

Signature __________________________
Chancellor

"The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
5.2.C.1

**Cates Avenue Chilled Water Trunk Main**
Total Project Scope $1,600,000 (Capital Improvement)

05/08/12 Advertised in NC Purchase Directory

06/01/12 Closing date for submittals
(9) proposals received

05/08/12 Appointment of Selection Committee
By Kevin MacNaughton, Secretary – Buildings and Property Committee

05/08/12- Selection Committee review:
6/21/12
Steven R. Bostian, Capital Project Management
Eric Jaskolka, Capital Project Management
Cameron Smith, Capital Project Management
Lisa Johnson, University Architect
Marvin Williams, Capital Project Management

06/07/12 Short list recommendation by Selection Committee:
Affiliated Engineers
Stanford White
United Engineering Group, Inc.

06/08/12 Short list approved by Jack Cozort

06/14/12 Pre-interview briefing of design firms

06/21/12 Firms interviewed. Recommendation in priority order:
United Engineering Group, Inc.
Affiliated Engineers
Stanford White

Those involved:
Steven R. Bostian, Capital Project Management
Eric Jaskolka, Capital Project Management
Alan Daek, Utilities and Engineering Services
Cameron Smith, Capital Project Management
Lisa Johnson, University Architect
Jeff Hightower, Facilities Directors Office
Corporate Research I – 3rd Floor Fit Up
Total Project Scope $3,400,000 (Centennial Campus Trust Fund)

03/20/12 Advertised in NC Purchase Directory

04/18/12 Closing date for submittals
(8 proposals received)

03/27/12 Appointment of Selection Committee
By Kevin MacNaughton, Secretary – Buildings and Property Committee

03/27/12- Selection Committee review:
05/15/12 Jack Cozort, Trustee
Bill Davis, Capital Project Management
Carolyn Axtman, Capital Project Management
Charlie Marshall, Capital Project Management
David Hammock, Capital Project Management
Cameron Smith, Capital Project Management
Cynthia Williford, Office of the University Architect
Lisa Johnson, University Architect
Michael Harwood, Centennial Campus Development Office
Brian Jones, Centennial Campus Development Office

04/27/12 Short list recommendation by Selection Committee:
Becker Morgan Group, Inc. – Wilmington, NC
Lord Aeck Sargent – Chapel Hill, NC
Whitman, Requardt & Associates, LLP – Baltimore, MD

04/30/12 Short list approved by Jack Cozort

05/11/12 Pre-interview briefing of design firms

05/15/12 Firms interviewed. Recommendation in priority order:
Becker Morgan Group, Inc. – Wilmington, NC
Whitman, Requardt & Associates, LLP – Baltimore, MD
Lord Aeck Sargent – Chapel Hill, NC

Those involved:
Lisa Johnson, University Architect
Bill Davis, Capital Project Management
Carolyn Axtman, Capital Project Management
Michael Harwood, Centennial Campus Development Office
Brian Jones, Centennial Campus Development Office
**Partners I, Research II and III HVAC Study & Retro-commissioning**

*Total Project Scope $2,000,000 (Centennial Campus Trust Fund)*

04/09/12  Advertised in NC Purchase Directory

05/09/12  Closing date for submittals
          (20) proposals received

04/09/12  Appointment of Selection Committee
          By Kevin MacNaughton, Secretary – Buildings and Property Committee

04/09/12-  Selection Committee review:
06/19/12   Lisa Johnson, University Architect
           Carolyn Axtman, Capital Project Management
           Michael Harwood, Centennial Campus Development Office
           Brian Jones, Centennial Campus Development Office
           Bill Davis, Capital Project Management
           David Hammock, Capital Project Management

05/22/12  Short list recommendation by Selection Committee:
           Eaton Corporation
           HEA Engineers
           The Wooten Company

05/28/12  Short list approved by Jack Cozort

06/01/12  Pre-interview briefing of design firms

06/19/12  Firms interviewed. Recommendation in priority order:
           Eaton Corporation
           The Wooten Company
           HEA Engineers

Those involved:
Lisa Johnson, University Architect
Carolyn Axtman, Capital Project Management
Michael Harwood, Centennial Campus Development Office
Brian Jones, Centennial Campus Development Office
Bill Davis, Capital Project Management
David Hammock, Capital Project Management
Approval of Designer Selections Less than $500,000

**Note:** The projects below are submitted to the Trustees’ Buildings and Property Committee for formal approval of designer selections for projects less than $500,000 that are not on the OESAD list. This listing represents projects selected since May 2012.

<table>
<thead>
<tr>
<th>Project</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bragaw Facade &amp; Entrance Study</td>
<td>Fee: $30,000</td>
</tr>
<tr>
<td>Designer: Swanson + Stewart Architects, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Council Building Hazardous Materials Assessment</td>
<td>Fee: $8,820</td>
</tr>
<tr>
<td>Designer: The EI Group, Morrisville, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>CVM Grant Proposal - Design Study</td>
<td>Fee: $5,400</td>
</tr>
<tr>
<td>Designer: Wagner Architecture, LLC, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Dairy Museum Exhibit Design</td>
<td>Fee: $10,800</td>
</tr>
<tr>
<td>Designer: Design Dimension, Inc., Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Kilgore Hall East Side Exterior Stair Improvements</td>
<td>Fee: $11,000</td>
</tr>
<tr>
<td>Designer: Maxwell Land Design, Durham, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>MRC Clean Room Study</td>
<td>Fee: $49,500</td>
</tr>
<tr>
<td>Clark Richardson &amp; Biskup, Cary, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Reynolds Renovation Marketing Video</td>
<td>Fee: $22,599</td>
</tr>
<tr>
<td>Designer: Davis Kane Architects, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Reynolds Walk of Fame Study</td>
<td>Fee: $49,500</td>
</tr>
<tr>
<td>Designer: Davis Kane Architects, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Thompson Theater Fire Alarm Modifications Phase 3</td>
<td>Fee: $8,000</td>
</tr>
<tr>
<td>Designer: United Engineering, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
</tbody>
</table>
Phytotron Energy Savings Self Performance Contracting Project – CM At Risk
Total Project Scope $6,500,000 (Capital Improvement)

04/19/12 Advertised in NC Purchase Directory

05/18/12 Closing date for submittals
(7) proposals received

05/29/12 Appointment of Selection Committee
By Kevin MacNaughton, Secretary – Buildings and Property Committee

05/29/12- Selection Committee review:
06/25/12 Steven R. Bostian, Capital Project Management
Cameron Smith, Capital Project Management
Marvin Williams, Capital Project Management
Charlie Marshal, Capital Project Management
Carolyn Axtman, Capital Project Management

05/29/12 Short list recommendation by Selection Committee:
Choate Construction
DPR Construction
Holder Construction

06/08/12 Short list approved by Jack Cozort

06/12/12 Pre-interview briefing of design firms

06/25/12 Firms interviewed. Recommendation in priority order:
DPR Construction
Holder Construction
Choate Construction

Those involved:
Steven R. Bostian, Capital Project Management
Cameron Smith, Capital Project Management
Marvin Williams, Capital Project Management
Charlie Marshal, Capital Project Management
Carolyn Axtman, Capital Project Management
### Acceptance of Completed Buildings and Projects

<table>
<thead>
<tr>
<th>Code/Item</th>
<th>Project#</th>
<th>Location</th>
<th>Title</th>
<th>Project Cost</th>
<th>University Acceptance</th>
</tr>
</thead>
<tbody>
<tr>
<td>40824 / 312</td>
<td>20111109</td>
<td>Upper Miller Fields</td>
<td>Installation of Natural Turf Field</td>
<td>$457,479</td>
<td>3/15/2012</td>
</tr>
<tr>
<td>40824 / 312</td>
<td>20111110</td>
<td>Upper Miller Fields</td>
<td>Site Preparation</td>
<td>$203,221</td>
<td>3/15/2012</td>
</tr>
<tr>
<td>40824 / 312</td>
<td>20111111</td>
<td>Upper Miller Fields</td>
<td>Installation of Artificial Turf Field</td>
<td>$1,069,152</td>
<td>3/15/2012</td>
</tr>
<tr>
<td>NA/NA</td>
<td>201111026</td>
<td>Williams Hall</td>
<td>Replace Ductwork and Ceiling Soffits</td>
<td>$255,790</td>
<td>3/24/2012</td>
</tr>
<tr>
<td>40824 /315</td>
<td>201111083</td>
<td>Carmichael Recreation Center</td>
<td>Men's Locker Replacement</td>
<td>$485,785</td>
<td>4/13/2012</td>
</tr>
<tr>
<td>40824 /315</td>
<td>201111084</td>
<td>Carmichael Recreation Center</td>
<td>Locker Room Entrance Area Improvements</td>
<td>$492,173</td>
<td>4/13/2012</td>
</tr>
<tr>
<td>40824 /315</td>
<td>201111085</td>
<td>Carmichael Recreation Center</td>
<td>Finish Upgrades</td>
<td>$513,795</td>
<td>4/13/2012</td>
</tr>
<tr>
<td>40024 / 303</td>
<td>201020018</td>
<td>Partners I &amp; Research IV</td>
<td>3rd Floor Restrooms Renovations</td>
<td>$50,000</td>
<td>4/24/2012</td>
</tr>
<tr>
<td>40887 / 301</td>
<td>200720002</td>
<td>Hunt Library / Oval Utilities</td>
<td>Utilities</td>
<td>$9,100,000</td>
<td>5/8/2012</td>
</tr>
<tr>
<td>40824 /303</td>
<td>201020018</td>
<td>Partners I &amp; Research IV</td>
<td>2nd Floor Restrooms Renovations</td>
<td>$50,000</td>
<td>5/18/2012</td>
</tr>
<tr>
<td>NA/NA</td>
<td>201111074</td>
<td>Cox Hall</td>
<td>Room 206 Renovation</td>
<td>$187,284</td>
<td>6/5/2012</td>
</tr>
<tr>
<td>NA/NA</td>
<td>201111087</td>
<td>Monteith Research Center</td>
<td>Titan Microscope Lab Upfit</td>
<td>$421,524</td>
<td>6/10/2012</td>
</tr>
<tr>
<td>40624 / 304</td>
<td>201011069</td>
<td>Centennial Greenways</td>
<td>Walnut Creek Bridges</td>
<td>$459,791</td>
<td>7/10/2012</td>
</tr>
<tr>
<td>41024 / 312</td>
<td>201020027</td>
<td>Sullivan Residence Hall</td>
<td>Dorm Renovations</td>
<td>$2,225,090</td>
<td>8/1/2012</td>
</tr>
<tr>
<td>NA/NA</td>
<td>201111056</td>
<td>Watauga Residence Hall</td>
<td>Elevator Modernization</td>
<td>$91,814</td>
<td>8/6/2012</td>
</tr>
</tbody>
</table>

**TOTAL** $16,062,898
5.4.A

BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Committee: Buildings and Property

Meeting Date: September 20, 2012

Agenda Item / Issue: 5.4.A  Site Review & Approval/Broughton Hall Renovation and Addition – North Campus

Requested / Required Action: Approval

Functions: This project includes the demolition of the Diesel Wing and southwest addition (approximately 51,000 gsf), the renovation of the original building (approximately 45,000 gsf), and constructs a new building addition to the south, connected by an atrium (approximately 112,000 gsf). The resulting building will provide research laboratories, teaching and office space for the Chemistry Department. The total project budget of $117 million includes a pedestrian bridge over the railroad tracks to connect north campus to central campus, the relocation of a Civil Engineering lab, and campus infrastructure needed for this new facility. (The budget for this project is currently being re-evaluated.)

Project Scope:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Design/Consultant Costs</td>
<td>$12,289,240</td>
</tr>
<tr>
<td>Construction</td>
<td>$97,143,530</td>
</tr>
<tr>
<td>Contingency/Other Project Costs</td>
<td>$7,607,630</td>
</tr>
<tr>
<td>Total Project Budget</td>
<td>$117,040,400</td>
</tr>
</tbody>
</table>

Design Team: O’Brien Atkins Associates, PA – Lead Designer
O’Brien Atkins Associates, PA – (Landscape Architect & MEP)
Howe Engineering – (FP)

Master Plan Summary: The new addition will draw upon the context of the surrounding architecture to enhance neighborhood unity. Strong visual unity will be created from the blending of the old and the new. The project will improve deficiencies of nearby pedestrian circulation and the All Campus Path, along the north, east, and west faces of the building. A new exterior hearth, located on the east side of the building will be the hub that connects south to Central Campus via a new pedestrian bridge.


Suggested Motion: Move approval of the Site for the Broughton Hall Renovation & Addition.

Funding Source: Appropriated - $117,040,400
5.4.A

Responsible University unit: Office of Finance and Business, Facilities Division
University Presenter/Contact: Lisa Johnson, University Architect
Context - Existing Conditions

RAILROAD CORRIDOR
STEAM TUNNEL
STINSON
YARBROUGH
### 5.5.A.1 Plan Review/Broughton Hall Renovation and Addition – North Campus

**Requested / Required Action:** Review

**Functions:**
This project includes the demolition of the Diesel Wing and southwest addition (approximately 51,000 gsf), the renovation of the original building (approximately 45,000 gsf), and constructs a new building addition to the south, connected by an atrium (approximately 112,000 gsf). The resulting building will provide research laboratories, teaching and office space for the Chemistry Department. The total project budget of $117 million includes a pedestrian bridge over the railroad tracks to connect north campus to central campus, the relocation of a Civil Engineering lab, and campus infrastructure needed for this new facility. (The budget for this project is currently being re-evaluated.)

**Project Scope:**

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Design/Consultant Costs</td>
<td>$12,289,240</td>
</tr>
<tr>
<td>Construction</td>
<td>$97,143,530</td>
</tr>
<tr>
<td>Contingency/Other Project Costs</td>
<td>$7,607,630</td>
</tr>
<tr>
<td>Total Project Budget</td>
<td>$117,040,400</td>
</tr>
</tbody>
</table>

**Design Team:**
O’Brien Atkins Associates, PA – Lead Designer  
O’Brien Atkins Associates, PA – (Landscape Architect & MEP)  
Howe Engineering – (FP)

**Master Plan Summary:**
The new addition will draw upon the context of the surrounding architecture to enhance neighborhood unity. Strong visual unity will be created from the blending of the old and the new. The project will improve deficiencies of nearby pedestrian circulation and the All Campus Path, along the north, east, and west faces of the building. A new exterior hearth, located on the east side of the building will be the hub that connects south to Central Campus via a new pedestrian bridge.

**Recommendation:**

**Funding Source:**
 Appropriated - $117,040,400

**Responsible University unit:**
Office of Finance and Business, Facilities Division

**University Presenter/Contact:**
Lisa Johnson, University Architect
Agenda Item / Issue: 5.5.A.2 Plan Review/Gregg Museum of Art and Design – North Campus

Requested / Required Action: Review Plan

Functions: The Gregg Museum of Art and Design will be relocated to the historic Chancellor’s Residence site on North Campus adjacent to the Pullen Arts Center and Theatre in the Park. The scope includes the renovation of the existing 7,000 GSF structure and the construction of a 16,700 GSF addition to provide galleries, administrative offices, meeting spaces, and collections storage and processing for the museum. Site improvements will include reconfiguration of the entry drive, parking areas and storm water management devices.

Project Scope:

<table>
<thead>
<tr>
<th>Item Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Design/Consultant Costs</td>
<td>$1,081,350</td>
</tr>
<tr>
<td>Construction</td>
<td>$5,679,097</td>
</tr>
<tr>
<td>Contingency/Other Project Costs</td>
<td>$739,553</td>
</tr>
<tr>
<td><strong>Total Project Budget</strong></td>
<td><strong>$7,500,000</strong></td>
</tr>
</tbody>
</table>

Design Team: The Freelon Group – Lead Designer
OBS Landscape Architects (Landscape Architect)
S&ME (MEP & FP)

Master Plan Summary: The relocation of the Gregg Museum to former Chancellor's Residence site provides a unique opportunity to welcome the campus and the community to one of the university's most beautiful locations, capitalize on recent improvements made to Hillsborough Street, and contribute to the City of Raleigh's Pullen Park master plan by creating a third venue at the future Arts Plaza, comprising the Pullen Arts Center and Theater in the Park. The master plan envisions allowing strategic views into the site from Hillsborough Street and Pullen Road to an addition that complements the existing residence and to a unified landscape which blends campus and parklands. A meandering drive provides a drop-off and parking for the museum and parking for the Pullen Arts Center.


Funding Source: Debt service fee transfer from Talley - $3,000,000
Combination of gifts and student fee - $4,500,000

Responsible University unit: Office of Finance and Business, Facilities Division
University Presenter/Contact: Lisa Johnson, University Architect
Design Progress Update for CDRP

25 April 2012
New Massing
Accessibility
CONTAINED GARDEN

VIEWS UNDER TREES

TREE LINED ENTRY WALK REESTABLISHES EDGE

LANDSCAPE MOMENT

SCULPTURE GARDEN

Landscape
View from North Lawn
View at Main Entrance
View from Formal Garden
Detail at West Terrace overlooking Garden
Approval of Plans and Specifications of Formal Projects
Less than $2,000,000

Note: The projects below are submitted to the Trustees’ Buildings and Property Committee for formal acceptance of plans and specifications. This listing represents projects received since the April 19, 2012 meeting.

<table>
<thead>
<tr>
<th>Project</th>
<th>Construction Estimate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Witherspoon Student Center</td>
<td>$ 500,000</td>
</tr>
<tr>
<td>Entrance and Site Improvements</td>
<td></td>
</tr>
<tr>
<td>Designer: JDavis Architects</td>
<td></td>
</tr>
<tr>
<td>Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Peele Hall</td>
<td>$ 260,000</td>
</tr>
<tr>
<td>Renovation Suite 121</td>
<td></td>
</tr>
<tr>
<td>Designer: Ratio Architects</td>
<td></td>
</tr>
<tr>
<td>Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Funding: Departmental</td>
<td></td>
</tr>
<tr>
<td>Centennial Campus</td>
<td>$ 240,948</td>
</tr>
<tr>
<td>Storm Water Study for Development</td>
<td></td>
</tr>
<tr>
<td>Designer: Ballentine Associates</td>
<td></td>
</tr>
<tr>
<td>Chapel Hill, NC</td>
<td></td>
</tr>
<tr>
<td>Funding source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Keystone Science Center</td>
<td>$ 209,500</td>
</tr>
<tr>
<td>BTEC Lab Upfit</td>
<td></td>
</tr>
<tr>
<td>Designer: Swanson + Stewart</td>
<td></td>
</tr>
<tr>
<td>Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Code/Item</td>
<td>Project Name</td>
</tr>
<tr>
<td>--------------</td>
<td>------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>41024 306</td>
<td>Broughton Hall</td>
</tr>
<tr>
<td>41024 308</td>
<td>Carol Poole Golf Clubhouse</td>
</tr>
<tr>
<td>41024 310</td>
<td>Phytotron Renovation - Grant Funded Project</td>
</tr>
<tr>
<td>41024 312</td>
<td>Burlington Labs Upgrades</td>
</tr>
<tr>
<td>41024 312</td>
<td>Lee &amp; Sullivan Residence Halls Room Renovations</td>
</tr>
<tr>
<td>41124 305</td>
<td>Cates Ave. - Chilled Water Trunk Main</td>
</tr>
<tr>
<td>41124 304</td>
<td>Robertson, Biltmore, Pulp &amp; Paper and Jordan Hall - HVAC Renovation</td>
</tr>
<tr>
<td>41124 304</td>
<td>College of Textiles - Classroom &amp; Graduate School</td>
</tr>
<tr>
<td>40824 304</td>
<td>CRI - Lab for Analytical Sciences (LAS)</td>
</tr>
<tr>
<td>41224 301</td>
<td>Phytotron Performance Contract</td>
</tr>
<tr>
<td>40824 304</td>
<td>Partners I, Research II &amp; III - HVAC</td>
</tr>
<tr>
<td>40024 303</td>
<td>Partners I &amp; Research IV Interior Renovation</td>
</tr>
<tr>
<td>40724 301</td>
<td>Hunt Library Complex</td>
</tr>
<tr>
<td>40724 303</td>
<td>Main Campus Hot Water Production</td>
</tr>
<tr>
<td>40624 315</td>
<td>Yarbrough Chiller Plant Capacity Expansion</td>
</tr>
<tr>
<td>41024 305</td>
<td>Sullivan Substation Redundancy</td>
</tr>
<tr>
<td>41024 309</td>
<td>Early College High School</td>
</tr>
<tr>
<td>41024 370</td>
<td>(Cogens) Cates &amp; Yarbrough Plants - Boiler Replacement</td>
</tr>
<tr>
<td>40824 314</td>
<td>Centennial Campus Student Housing</td>
</tr>
<tr>
<td>40824 313</td>
<td>Talley Student Center Addition and Renovation</td>
</tr>
<tr>
<td>40624 314</td>
<td>Engineering Building IV</td>
</tr>
<tr>
<td>40924 307</td>
<td>Greek Village Townhomes</td>
</tr>
<tr>
<td>40924 307</td>
<td>Greek Infrastructure Phase II</td>
</tr>
<tr>
<td>40624 313</td>
<td>Gregg Museum</td>
</tr>
<tr>
<td>40624 320</td>
<td>NCSU Bell Tower Masonry Repairs, Waterproof, &amp; Tuckpoint</td>
</tr>
<tr>
<td>40724 306</td>
<td>Schaub Food Science Building - Dairy Retail Facility</td>
</tr>
</tbody>
</table>
OVERVIEW
The 2012 Campus Mobility Plan calls for the development of an enhanced Wolfline bus system that serves the entire university community and accommodates future campus travel needs over a 10-year planning horizon. The plan includes the following considerations:

- Serves all campus sectors with a particular emphasis on route and service changes associated with significant projected growth on Centennial Campus.
- NC State University faculty, staff, and students were involved throughout, including formal Advisory Committee participation and two campus open house events.
- Complements the 2011 Campus Bicycle and Pedestrian Plan, which together with the Campus Mobility Plan will help in updating the NC State Physical Master Plan.

IMPORTANCE
Wolfline transports 14,000 passengers per day, experiencing ridership growth of approximately 15% over the past year. The university-related (students, faculty, staff, and corporate partners) population at NC State is projected to grow from 43,000 to 51,000 by 2022, a 20% jump.

- Virtually all activity growth is projected to occur on or impact the Centennial Campus.
- Wolfline service and capital investments are needed to meet the anticipated growth in travel patterns between the North/Central/South Campus Precincts and Centennial Campus.

RECOMMENDATIONS
The Campus Mobility Plan recommends service improvements for Wolfline, including route and schedule adjustments designed to meet demand over the next ten years. Service hours are projected to grow from 65,890 to 103,615 hours by 2022, a 57% jump. Major capital investments are also proposed:

- Closing Dan Allen Dr. while classes are in session from 9AM to 5PM. Goal is to improve Wolfline service reliability while enhancing bicycle and pedestrian safety on campus.
- Purchase of seventeen articulated buses over ten years to meet ridership demand.
- Construction of two Park & Ride lots.
- Construction of a new Centennial Transit Center.

FINANCIAL CONSTRAINTS
Primary sources of revenue for Wolfline are the student transportation fee and parking revenue. These fees will need to increase to support system enhancements unless other revenue sources are identified.
<table>
<thead>
<tr>
<th>S.H.M.T.D. (SUBMITTAL NUMBER)</th>
<th>PROJECT NAME</th>
<th>SCOPE</th>
<th>FIRM NAME</th>
<th>DESIGNER</th>
<th>PHYSICAL ENVIRONMENT COMMITTEE REVIEW</th>
<th>PHYSICAL ENVIRONMENT COMMITTEE APPROVAL</th>
<th>TRUSTEES’ BPC REVIEW</th>
<th>TRUSTEES’ BPC APPROVAL</th>
<th>CAMPAIGN DESIGN REVIEW PANEL REVIEW</th>
<th>CAMPAIGN DESIGN REVIEW PANEL APPROVAL</th>
<th>ESTIMATED START</th>
<th>ESTIMATED COMPLETION</th>
</tr>
</thead>
<tbody>
<tr>
<td>017</td>
<td>Conference Center Hotel, Centennial Campus</td>
<td>$500,000,000</td>
<td>Concord Eastridge</td>
<td>Cooper Cary Architects</td>
<td>09/10/08</td>
<td>2/4/09</td>
<td>2/4/09</td>
<td>2/18/99</td>
<td>10/21/01</td>
<td>10/21/01</td>
<td>4/24/02</td>
<td>11/15/00</td>
</tr>
<tr>
<td>126</td>
<td>Broughton Hall Addition &amp; Renovation</td>
<td>$800,000,000</td>
<td>O’Brien Atkins</td>
<td>11/17/08</td>
<td>9/20/12</td>
<td>9/20/12</td>
<td>5/30/12</td>
<td>9/20/12</td>
<td>9/20/12</td>
<td>9/20/12</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Upcoming Projects

- Non-Wovens Pilot Facility | $30,500,000 | Clark Nexsen | | 10/22/09 | On Hold |

Approved Projects

- Center for Marine Sciences & Technology (CMAST) Guest House | $1,500,000 | Maune Belangia Faulkberry Architects | 3/31/05 | | | | | | | | 4/26/06 | On Hold |
- Greek Village - Sigma Nu House | $3,000,000 | Gontram Architects | 09/10/08 | 2/4/09 | 2/4/09 | 2/18/99 | 10/21/01 | 10/21/01 | 4/26/02 | 11/15/00 | May, 2012 | September, 2012 |
- Greek Village - Sigma Nu House | $3,000,000 | Gontram Architects | 09/10/08 | 2/4/09 | 2/4/09 | 2/18/99 | 10/21/01 | 10/21/01 | 4/26/02 | 11/15/00 | July, 2012 | July, 2014 |

On Hold
<table>
<thead>
<tr>
<th>SUBMITIAL NUMBER</th>
<th>PROJECT NAME</th>
<th>SCOPE</th>
<th>DESIGNER NAME</th>
<th>PHYSICAL ENVIRONMENT COMMITTEE REVIEW</th>
<th>PHYSICAL ENVIRONMENT COMMITTEE APPROVAL</th>
<th>TRUSTEE'S BPC REVIEW</th>
<th>TRUSTEE'S BPC APPROVAL</th>
<th>CAMPUSE DESIGN REVIEW PANEL</th>
<th>CAMPUSE DESIGN REVIEW PANEL APPROVAL</th>
<th>CONSTRUCTION DURATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>124</td>
<td>Dairy Museum at Lake Wheeler</td>
<td>$500,000</td>
<td>HH Architects</td>
<td>NA</td>
<td>NA</td>
<td>11/16/11</td>
<td>11/16/11</td>
<td>NA</td>
<td>NA</td>
<td>April, 2012</td>
</tr>
</tbody>
</table>

**Estimated Start and Estimated Completion Dates:**

- April, 2012
- December, 2012
CALL TO ORDER - Chair Jenkins reads State Government Ethics Act

1. CONSENT AGENDA
   A. Approval of April 19, 2012 Minutes*

2. INFORMATIONAL REPORTS
   A. Capital Projects
   B. Fund Raising Reports June 30, 2012 and August 31, 2012

3. TOPICS FOR DISCUSSION
   A. University Advancement Goals and Committee Work Plan
   B. Interim Campaign Readiness Report
      Judy Jobbitt, Senior Associate, Bentz Whaley Flessner

4. CLOSED SESSION
   A. Approval of April 19, 2012 Minutes*
   B. Request approval for Naming Specific University Facilities

RECONVENE OPEN SESSION
ADJOURN

* Committee Approval
√ Full Board Approval
University Advancement Committee
Board of Trustees
North Carolina State University
April 19, 2012

The University Advancement Committee of the Board of Trustees of North Carolina State University met at 1:00 p.m. in the Chancellor’s Conference Room in Holladay Hall.

Members Present: Benjamin Jenkins, III., Chair
Jimmy Clark
Jack L. Cozort
Lawrence Davenport
Barbara Mulkey, Board of Trustee Chair
W. Randolph Woodson, Chancellor

Others Present: Brad Bohlander, Associate Vice Chancellor, University Communications
Nevin E. Kessler, Vice Chancellor for University Advancement
Jay Price, Reporter, News and Observer
Hilda Renfrow, Executive Assistant, University Advancement
Benny Suggs, Associate Vice Chancellor, Alumni Relations
John Taylor, Associate Vice Chancellor, Advancement Services
P.J. Teal, Secretary, NC State University
Shawn Troxler, Assistant General Counsel, Office of General Counsel
Steve Watt, Interim Associate Vice Chancellor, University Development

Chair Ben Jenkins called the session to order and read the State of North Carolina’s Government Ethics Act.

Jimmy Clark made a motion to approve the minutes of the February 16, 2012 meeting, seconded by Jack Cozort. The motion was approved by general consent.

The Committee was provided with an update on capital fund raising projects, and fund raising results for the third quarter of fiscal year 2012. Steve Watt, Interim Associate Vice Chancellor for University Advancement, reported that fundraising for the Lonnie Poole Golf Course and the Carol Johnson Poole Clubhouse is now 81% of the way to the combined goal of $18.1 million. The clubhouse project has documented $4.1 million in pledges and another $1.4 million in pending pledges toward the goal of $6 million. There are new proposals submitted to donors for just over $3 million.

As of March 31, NC State is running 10% ahead of year-to-date totals for gifts and new commitments. The total reported for the year is $88.5 million toward a goal of $110 million for the year. This includes an anonymous $15 million gift for endowed scholarships and a faculty retention program received during the third quarter. The university has major gift proposals valued at $146.8 million in front of donors awaiting their responses, and additional “asks”
totaling $55.9 million are planned before the end of this fiscal year or early during the next fiscal year.

Annual giving has raised $1.44 million toward the $1.5 million goal, with an end of fiscal year projection of reaching $1.7 million. Year to date, alumni giving is up 29.3% and the number of alumni donors are up 14%. As of April 9th, we are 86% of the way to the 125th Anniversary Challenge goal established by the $125,000 matching gift from Tom Cabaniss. We need to average 171 alumni donors each week until year-end to reach the goal of 14,713 alumni donors (an increase of 1,000 this year over the previous year).

Associate Vice Chancellor for University Communications, Brad Bohlander, led a presentation and discussion about the strategic direction of communications at NC State. University Communications is utilizing the 125th Anniversary Celebration to help lay the groundwork for a university-wide brand refresh and ongoing strategic communications that raise NC State's profile and moves the institution toward achieving its goals. Brad and his team are building on this positive momentum to transform communications at NC State by focusing on four key areas: defining University Communications' focus; building a comprehensive NC State brand platform; reorganizing University Communications' structure and adjusting its processes to best serve the institution; and, implementing a campus-wide system of collaborative, quality communications. Brad outlined his plans to hire a consultant to help engage the rest of the campus, and our alumni in the branding process. He also described a number of organizational initiatives that he will be undertaking this year to align the staff resources and the communications work that needs to be accomplished to support NC State’s strategic plan. Committee members encouraged Brad to take advantage of NC State’s current momentum to get the brand platform right, and to provide solid leadership on messaging and content – leadership that will make the units around campus want to take a more coordinated approach to communications and marketing. The University Advancement Committee will be engaging Brad on on-going discussions about the communications and marketing program at NC State.

Lawrence Davenport moved that the committee go into Closed Session to discuss the naming of specific university facilities under North Carolina General Statutes §143-318.11(a) (2). Jack Cozort seconded the motion and it was approved by general consent.

The meeting returned to opened session. With no further business, the meeting adjourned at 2:20 p.m.

Respectfully submitted,

Benjamin P. Jenkins, III.
Chair
Approved Capital Projects

<table>
<thead>
<tr>
<th>Project</th>
<th>Private Fundraising Goal</th>
<th>Cash Gifts &amp; Pledges Received</th>
<th>Gifts-in-Kind Received</th>
<th>Total Gifts Received</th>
<th>Percent to Private Fundraising Goal</th>
<th># Proposals Submitted Since Last Report</th>
<th>Total # Proposals Pending</th>
<th>Total Amount of Proposals Pending</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lonnie Poole Golf Course (Note 1)</td>
<td>$18,100,000</td>
<td>$11,380,669</td>
<td>$3,627,987</td>
<td>$15,008,656</td>
<td>83%</td>
<td>5</td>
<td>13</td>
<td>$1,834,500</td>
</tr>
<tr>
<td>Campaign for the Hunt Library</td>
<td>$6,000,000</td>
<td>$2,353,220</td>
<td>$157,369</td>
<td>$2,510,589</td>
<td>42%</td>
<td>13</td>
<td>18</td>
<td>$3,052,000</td>
</tr>
<tr>
<td>Campaign for Gregg Museum of Art &amp; Design (Note 2)</td>
<td>$3,870,000</td>
<td>$1,496,985</td>
<td>$0</td>
<td>$676,421</td>
<td>39%</td>
<td>6</td>
<td>4</td>
<td>$225,000</td>
</tr>
<tr>
<td>NC State University Club: A Bright Future Ahead (Note 3)</td>
<td>$1,700,000</td>
<td>$378,628</td>
<td>$0</td>
<td>$378,628</td>
<td>22%</td>
<td>25</td>
<td>10</td>
<td>$225,000</td>
</tr>
<tr>
<td>The Institute for Emerging Issues: Legacy in Action Campaign (Note 4)</td>
<td>$20,000,000</td>
<td>$8,418,650</td>
<td>$0</td>
<td>$8,418,650</td>
<td>42%</td>
<td>4</td>
<td>6</td>
<td>$960,000</td>
</tr>
<tr>
<td>NC 4-H: Campaign for the Clover</td>
<td>$11,500,000</td>
<td>$8,956,808</td>
<td>$239,608</td>
<td>$9,196,416</td>
<td>80%</td>
<td>18</td>
<td>22</td>
<td>$2,245,000</td>
</tr>
<tr>
<td>Dairy Campaign for Excellence</td>
<td>$2,500,000</td>
<td>$1,184,236</td>
<td>$0</td>
<td>$1,184,236</td>
<td>47%</td>
<td>0</td>
<td>1</td>
<td>$45,000</td>
</tr>
</tbody>
</table>

Notes
(1) Cash goals: Course - $9.6MM and Clubhouse - $6MM, In-kind goal: $3.5MM
(2) Includes a $750,000 Challenge Grant that is contingent of achieving the $3.9MM Gregg Campaign Goal
(3) Phase II of campaign includes revised goal for Pack House concept.
(4) Includes $200K in a joint proposal with the Libraries.
## NC State University

**Gifts and New Commitments by Gift Source**

*(Outright Gifts and New Commitments - No Pledge Payments)*

**July 1, 2011 to June 30, 2012**

### Tab 6.2

<table>
<thead>
<tr>
<th>College</th>
<th>Alumni</th>
<th>Parents</th>
<th>Faculty</th>
<th>Other Individuals</th>
<th>Corporations</th>
<th>Foundations</th>
<th>Other Organizations</th>
<th>Year-to-date FY '12 Totals</th>
<th>Year-to-date FY '11 Totals</th>
<th>YTD Period % Change FY11/FY12</th>
<th>Year End FY '11 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$1,597,145</td>
<td>$8,341</td>
<td>$135,381</td>
<td>$3,466,778</td>
<td>$12,701,494</td>
<td>$1,754,039</td>
<td>$5,639,471</td>
<td>$25,302,649</td>
<td>$23,990,556</td>
<td>5%</td>
<td>$23,990,556</td>
</tr>
<tr>
<td>College of Design</td>
<td>$147,978</td>
<td>$455</td>
<td>$5,300</td>
<td>$8,981</td>
<td>$437,578</td>
<td>$9,400</td>
<td>$88,914</td>
<td>$698,606</td>
<td>$1,184,271</td>
<td>-41%</td>
<td>$1,184,271</td>
</tr>
<tr>
<td>College of Education</td>
<td>$82,306</td>
<td>$35</td>
<td>$1,410</td>
<td>$12,485</td>
<td>$75,380</td>
<td>$851,679</td>
<td>$29,566</td>
<td>$1,052,862</td>
<td>$927,872</td>
<td>13%</td>
<td>$927,872</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$3,662,528</td>
<td>$10,190</td>
<td>$21,650</td>
<td>$801,090</td>
<td>$4,349,056</td>
<td>$338,761</td>
<td>$2,401,622</td>
<td>$11,584,866</td>
<td>$10,251,142</td>
<td>13%</td>
<td>$10,251,142</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$287,384</td>
<td>$5,785</td>
<td>$59,310</td>
<td>$262,483</td>
<td>$63,000</td>
<td>$197,700</td>
<td>$28,587</td>
<td>$904,249</td>
<td>$1,165,396</td>
<td>-22%</td>
<td>$1,165,396</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$215,085</td>
<td>$615</td>
<td>$8,095</td>
<td>$56,145</td>
<td>$724,309</td>
<td>$1,096,522</td>
<td>$5,996</td>
<td>$2,106,767</td>
<td>$25,182,136</td>
<td>-92%</td>
<td>$25,182,136</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$639,620</td>
<td>$1,213</td>
<td>$34,830</td>
<td>$904,354</td>
<td>$400,698</td>
<td>$93,021</td>
<td>$414,271</td>
<td>$2,488,007</td>
<td>$1,972,865</td>
<td>26%</td>
<td>$1,972,865</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$380,952</td>
<td>$845</td>
<td>$6,880</td>
<td>$86,013</td>
<td>$1,376,850</td>
<td>$23,463</td>
<td>$522,593</td>
<td>$2,397,597</td>
<td>$4,167,674</td>
<td>-42%</td>
<td>$4,500,674</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$250,680</td>
<td>$3,050</td>
<td>$2,790</td>
<td>$29,923</td>
<td>$406,743</td>
<td>$189,000</td>
<td>$11,449</td>
<td>$893,636</td>
<td>$1,211,701</td>
<td>-26%</td>
<td>$1,211,701</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$161,525</td>
<td>$6,600</td>
<td>$12,575</td>
<td>$6,708,067</td>
<td>$917,336</td>
<td>$939,581</td>
<td>$160,708</td>
<td>$8,906,393</td>
<td>$9,417,576</td>
<td>-5%</td>
<td>$9,417,576</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$388,656</td>
<td>$575</td>
<td>$300</td>
<td>$35,830</td>
<td>$22,597</td>
<td>$272,725</td>
<td>$9,753</td>
<td>$730,435</td>
<td>$536,596</td>
<td>36%</td>
<td>$536,596</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$362,439</td>
<td>$26,280</td>
<td>$16,053</td>
<td>$398,610</td>
<td>$26,740</td>
<td>$165,935</td>
<td>$4,364</td>
<td>$1,000,420</td>
<td>$2,284,124</td>
<td>-56%</td>
<td>$2,284,124</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$8,675</td>
<td>$20</td>
<td>$0</td>
<td>$25</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$8,720</td>
<td>$8,120</td>
<td>7%</td>
<td>$8,120</td>
</tr>
<tr>
<td>Libraries</td>
<td>$300,338</td>
<td>$1,900</td>
<td>$76,190</td>
<td>$1,319,172</td>
<td>$209,012</td>
<td>$149,900</td>
<td>$8,391</td>
<td>$2,064,903</td>
<td>$2,320,474</td>
<td>-11%</td>
<td>$2,320,474</td>
</tr>
<tr>
<td>University-wide</td>
<td>$18,501,509</td>
<td>$243,683</td>
<td>$7,536</td>
<td>$627,237</td>
<td>$1,450,972</td>
<td>$8,677,052</td>
<td>$810,189</td>
<td>$30,318,179</td>
<td>$11,143,131</td>
<td>164%</td>
<td>$11,143,131</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$20,546,086</td>
<td>$0</td>
<td>$0</td>
<td>$360,486</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$20,906,571</td>
<td>$10,756,698</td>
<td>94%</td>
<td>$10,756,698</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$47,532,905</strong></td>
<td><strong>$309,587</strong></td>
<td><strong>$388,301</strong></td>
<td><strong>$14,717,195</strong></td>
<td><strong>$23,522,251</strong></td>
<td><strong>$14,758,778</strong></td>
<td><strong>$10,135,874</strong></td>
<td><strong>$111,364,891</strong></td>
<td><strong>$106,853,332</strong></td>
<td><strong>4%</strong></td>
<td><strong>$106,853,332</strong></td>
</tr>
<tr>
<td>College</td>
<td>Cash Gifts</td>
<td>Gifts-in-Kind</td>
<td>Matching</td>
<td>PG Life Income</td>
<td>Realized Bequests</td>
<td>Bequest Expectancies</td>
<td>Pledges</td>
<td>Year-to-date FY '12 Totals</td>
<td>Year-to-date FY '11 Totals</td>
<td>YTD Period % Change FY11/FY12</td>
<td>Year End FY '11 Totals</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-----------------</td>
<td>---------------</td>
<td>----------</td>
<td>----------------</td>
<td>-------------------</td>
<td>----------------------</td>
<td>-------------</td>
<td>---------------------------</td>
<td>---------------------------</td>
<td>----------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$15,463,344</td>
<td>$1,425,475</td>
<td>$56,563</td>
<td>$58,036</td>
<td>$253,953</td>
<td>$2,838,421</td>
<td>$5,206,854</td>
<td>$25,302,649</td>
<td>$23,990,556</td>
<td>5%</td>
<td>$23,990,556</td>
</tr>
<tr>
<td>College of Design</td>
<td>$586,873</td>
<td>$39,714</td>
<td>$51,535</td>
<td>$0</td>
<td>$0</td>
<td>$20,483</td>
<td>$698,606</td>
<td>$1,184,271</td>
<td></td>
<td>-41%</td>
<td>$1,184,271</td>
</tr>
<tr>
<td>College of Education</td>
<td>$1,017,727</td>
<td>$743</td>
<td>$3,765</td>
<td>$0</td>
<td>$0</td>
<td>$30,627</td>
<td>$1,052,862</td>
<td>$927,872</td>
<td></td>
<td>13%</td>
<td>$927,872</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$8,112,937</td>
<td>$130,465</td>
<td>$159,509</td>
<td>$65,566</td>
<td>$29,239</td>
<td>$1,321,181</td>
<td>$11,584,896</td>
<td>$10,251,142</td>
<td></td>
<td>13%</td>
<td>$10,251,142</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$540,451</td>
<td>$7,162</td>
<td>$14,693</td>
<td>$19,105</td>
<td>$0</td>
<td>$150,000</td>
<td>$172,837</td>
<td>$904,249</td>
<td></td>
<td>-22%</td>
<td>$1,165,396</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$1,723,402</td>
<td>$4,750</td>
<td>$62,441</td>
<td>$0</td>
<td>$0</td>
<td>$10,000</td>
<td>$306,174</td>
<td>$2,106,767</td>
<td>$25,182,136</td>
<td>-92%</td>
<td>$25,182,136</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$1,143,761</td>
<td>$10,450</td>
<td>$5,798</td>
<td>$10,078</td>
<td>$127,393</td>
<td>$944,668</td>
<td>$245,860</td>
<td>$2,488,007</td>
<td>$1,972,865</td>
<td>26%</td>
<td>$1,972,865</td>
</tr>
<tr>
<td>College of Physical &amp;</td>
<td>$1,280,708</td>
<td>$511,790</td>
<td>$45,252</td>
<td>$0</td>
<td>$0</td>
<td>$559,847</td>
<td>$2,397,597</td>
<td>$4,167,674</td>
<td></td>
<td>-42%</td>
<td>$4,500,674</td>
</tr>
<tr>
<td>Mathematical Sciences</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$766,637</td>
<td>$11,440</td>
<td>$910</td>
<td>$0</td>
<td>$0</td>
<td>$114,649</td>
<td>$893,636</td>
<td>$1,211,701</td>
<td></td>
<td>-26%</td>
<td>$1,211,701</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$2,022,442</td>
<td>$516,360</td>
<td>$13,032</td>
<td>$26,057</td>
<td>$380,115</td>
<td>$5,658,007</td>
<td>$290,380</td>
<td>$8,906,393</td>
<td>$9,417,576</td>
<td>-5%</td>
<td>$9,417,576</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$343,936</td>
<td>$0</td>
<td>$13,667</td>
<td>$1,396</td>
<td>$0</td>
<td>$371,437</td>
<td>$730,435</td>
<td>$536,596</td>
<td></td>
<td>36%</td>
<td>$536,596</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$316,820</td>
<td>$125,322</td>
<td>$13,984</td>
<td>$21,426</td>
<td>$0</td>
<td>$15,000</td>
<td>$507,868</td>
<td>$1,000,420</td>
<td>$2,284,124</td>
<td>-56%</td>
<td>$2,284,124</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$7,370</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$1,350</td>
<td>$8,720</td>
<td>$8,120</td>
<td></td>
<td>7%</td>
<td>$8,120</td>
</tr>
<tr>
<td>Libraries</td>
<td>$485,854</td>
<td>$126,120</td>
<td>$7,678</td>
<td>$5,000</td>
<td>$1,185,195</td>
<td>$255,056</td>
<td>$2,064,903</td>
<td>$2,320,474</td>
<td></td>
<td>-11%</td>
<td>$2,320,474</td>
</tr>
<tr>
<td>University-wide</td>
<td>$26,382,850</td>
<td>$243,912</td>
<td>$40,259</td>
<td>$0</td>
<td>$92,493</td>
<td>$1,468,500</td>
<td>$2,090,165</td>
<td>$30,318,179</td>
<td>$11,476,131</td>
<td>164%</td>
<td>$11,143,131</td>
</tr>
<tr>
<td>Athletics</td>
<td>$15,902,195</td>
<td>$578,597</td>
<td>$360,486</td>
<td>$0</td>
<td>$0</td>
<td>$4,065,294</td>
<td>$20,906,571</td>
<td>$10,756,698</td>
<td></td>
<td>94%</td>
<td>$10,756,698</td>
</tr>
</tbody>
</table>
### NC State University

**Gifts and New Commitments by Gift Use**  
(Outright Gifts and New Commitments - No Pledge Payments)  
July 1, 2011 to June 30, 2012

<table>
<thead>
<tr>
<th>College of Agriculture and Life Sciences</th>
<th>Current Operations Restricted</th>
<th>Current Operations Unrestricted</th>
<th>Endowment Restricted</th>
<th>Endowment Unrestricted</th>
<th>Facilities</th>
<th>Year-to-date FY '12 Totals</th>
<th>Year-to-date FY '11 Totals</th>
<th>YTD Period % Change FY11/FY12</th>
<th>Year End FY '11 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Design</td>
<td>$431,154</td>
<td>$122,002</td>
<td>$105,735</td>
<td>$0</td>
<td>$39,714</td>
<td>$698,606</td>
<td>$1,184,271</td>
<td>-41%</td>
<td>$1,184,271</td>
</tr>
<tr>
<td>College of Education</td>
<td>$975,975</td>
<td>$58,653</td>
<td>$17,390</td>
<td>$0</td>
<td>$843</td>
<td>$1,052,862</td>
<td>$927,872</td>
<td>13%</td>
<td>$927,872</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$6,999,146</td>
<td>$843,854</td>
<td>$3,585,432</td>
<td>$26,000</td>
<td>$130,465</td>
<td>$11,584,896</td>
<td>$10,251,142</td>
<td>13%</td>
<td>$10,251,142</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$423,287</td>
<td>$202,254</td>
<td>$271,545</td>
<td>$0</td>
<td>$7,162</td>
<td>$904,249</td>
<td>$1,165,396</td>
<td>-22%</td>
<td>$1,165,396</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$930,128</td>
<td>$142,584</td>
<td>$1,029,305</td>
<td>$0</td>
<td>$4,750</td>
<td>$2,106,767</td>
<td>$25,182,136</td>
<td>-92%</td>
<td>$25,182,136</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$908,612</td>
<td>$224,246</td>
<td>$1,344,699</td>
<td>$0</td>
<td>$10,450</td>
<td>$2,488,007</td>
<td>$1,972,865</td>
<td>26%</td>
<td>$1,972,865</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$1,376,030</td>
<td>$262,523</td>
<td>$217,254</td>
<td>$30,000</td>
<td>$511,790</td>
<td>$2,397,597</td>
<td>$4,167,674</td>
<td>-42%</td>
<td>$4,500,674</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$646,821</td>
<td>$60,240</td>
<td>$154,735</td>
<td>$20,400</td>
<td>$11,440</td>
<td>$893,636</td>
<td>$1,211,701</td>
<td>-26%</td>
<td>$1,211,701</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$2,374,453</td>
<td>$105,398</td>
<td>$5,892,645</td>
<td>$69,828</td>
<td>$464,069</td>
<td>$8,906,393</td>
<td>$9,417,576</td>
<td>-5%</td>
<td>$9,417,576</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$92,962</td>
<td>$18,998</td>
<td>$409,250</td>
<td>$0</td>
<td>$209,225</td>
<td>$730,435</td>
<td>$536,596</td>
<td>36%</td>
<td>$536,596</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$77,296</td>
<td>$75,238</td>
<td>$132,872</td>
<td>$0</td>
<td>$715,015</td>
<td>$1,000,420</td>
<td>$2,284,124</td>
<td>-56%</td>
<td>$2,284,124</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$6,670</td>
<td>$2,050</td>
<td>0</td>
<td>0</td>
<td>$8,720</td>
<td>$8,120</td>
<td>7%</td>
<td>$8,120</td>
<td></td>
</tr>
<tr>
<td>Libraries</td>
<td>$645,903</td>
<td>0</td>
<td>$1,292,879</td>
<td>0</td>
<td>$126,120</td>
<td>$2,064,903</td>
<td>$2,320,474</td>
<td>-11%</td>
<td>$2,320,474</td>
</tr>
<tr>
<td>University-wide</td>
<td>$13,496,442</td>
<td>$1,522,147</td>
<td>$14,343,947</td>
<td>$15,500</td>
<td>$940,143</td>
<td>$30,318,179</td>
<td>$11,476,131</td>
<td>164%</td>
<td>$11,143,131</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$10,619,871</td>
<td>0</td>
<td>$3,017,731</td>
<td>0</td>
<td>$7,268,969</td>
<td>$20,906,571</td>
<td>$10,756,698</td>
<td>94%</td>
<td>$10,756,698</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$55,591,309</strong></td>
<td><strong>$6,944,499</strong></td>
<td><strong>$36,577,885</strong></td>
<td><strong>$162,028</strong></td>
<td><strong>$111,364,891</strong></td>
<td><strong>$106,853,332</strong></td>
<td><strong>$4%</strong></td>
<td><strong>$106,853,332</strong></td>
<td></td>
</tr>
<tr>
<td>Institution</td>
<td>Alumni</td>
<td>Parents</td>
<td>Faculty Staff</td>
<td>Other Individuals</td>
<td>Corporations</td>
<td>Foundations</td>
<td>Other Organizations</td>
<td>Year-to-date FY '12 Totals</td>
<td>Year-to-date FY '11 Totals</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>----------</td>
<td>---------</td>
<td>--------------</td>
<td>-------------------</td>
<td>--------------</td>
<td>-------------</td>
<td>---------------------</td>
<td>----------------------------</td>
<td>---------------------------</td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$1,041,054</td>
<td>$7,841</td>
<td>$134,898</td>
<td>$974,600</td>
<td>$11,025,431</td>
<td>$1,615,779</td>
<td>$5,862,800</td>
<td>$20,662,403</td>
<td>$20,514,219</td>
</tr>
<tr>
<td>College of Design</td>
<td>$147,278</td>
<td>$475</td>
<td>$9,604</td>
<td>$8,920</td>
<td>$459,668</td>
<td>$9,400</td>
<td>$88,914</td>
<td>$724,259</td>
<td>$1,159,887</td>
</tr>
<tr>
<td>College of Education</td>
<td>$87,615</td>
<td>$35</td>
<td>$3,184</td>
<td>$26,335</td>
<td>$75,505</td>
<td>$851,679</td>
<td>$29,566</td>
<td>$1,073,920</td>
<td>$788,650</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$2,193,992</td>
<td>$10,519</td>
<td>$27,302</td>
<td>$754,750</td>
<td>$5,144,464</td>
<td>$563,661</td>
<td>$2,451,672</td>
<td>$11,146,359</td>
<td>$9,527,900</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$188,056</td>
<td>$5,835</td>
<td>$64,740</td>
<td>$144,876</td>
<td>$66,025</td>
<td>$252,540</td>
<td>$28,587</td>
<td>$750,659</td>
<td>$859,121</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$220,515</td>
<td>$925</td>
<td>$12,565</td>
<td>$51,024</td>
<td>$1,168,669</td>
<td>$1,056,682</td>
<td>$13,496</td>
<td>$2,523,875</td>
<td>$18,446,996</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$347,609</td>
<td>$1,163</td>
<td>$43,665</td>
<td>$141,555</td>
<td>$411,908</td>
<td>$93,021</td>
<td>$414,271</td>
<td>$1,453,192</td>
<td>$1,992,502</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$414,090</td>
<td>$970</td>
<td>$19,420</td>
<td>$110,098</td>
<td>$1,116,314</td>
<td>$23,463</td>
<td>$222,593</td>
<td>$2,206,949</td>
<td>$2,595,719</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$453,985</td>
<td>$3,050</td>
<td>$790</td>
<td>$35,053</td>
<td>$435,743</td>
<td>$865,930</td>
<td>$11,349</td>
<td>$1,805,901</td>
<td>$1,204,224</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$158,935</td>
<td>$6,600</td>
<td>$14,375</td>
<td>$981,646</td>
<td>$1,104,992</td>
<td>$2,962,481</td>
<td>$140,708</td>
<td>$5,369,738</td>
<td>$8,414,278</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$211,583</td>
<td>$575</td>
<td>$1,500</td>
<td>$163,952</td>
<td>$48,797</td>
<td>$229,148</td>
<td>$230,753</td>
<td>$886,308</td>
<td>$733,863</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$156,648</td>
<td>$11,280</td>
<td>$21,503</td>
<td>$360,265</td>
<td>$98,740</td>
<td>$171,358</td>
<td>$4,364</td>
<td>$824,158</td>
<td>$596,971</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$8,670</td>
<td>$20</td>
<td>$0</td>
<td>$25</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$8,715</td>
<td>$8,120</td>
</tr>
<tr>
<td>University-wide</td>
<td>$19,386,186</td>
<td>$116,273</td>
<td>$11,168</td>
<td>$597,040</td>
<td>$2,742,044</td>
<td>$9,025,309</td>
<td>$810,189</td>
<td>$32,688,209</td>
<td>$10,151,478</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$16,995,113</td>
<td>$0</td>
<td>$0</td>
<td>$424,611</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$17,419,724</td>
<td>$15,260,900</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$42,209,983</strong></td>
<td><strong>$168,301</strong></td>
<td><strong>$433,238</strong></td>
<td><strong>$4,465,346</strong></td>
<td><strong>$24,558,960</strong></td>
<td><strong>$17,870,352</strong></td>
<td><strong>$10,617,654</strong></td>
<td><strong>$100,323,833</strong></td>
<td><strong>$94,756,666</strong></td>
</tr>
</tbody>
</table>
## NC State University
### Gift Receipts by Gift Type
(Includes Gift Receipts and Pledge Payments)
#### July 1, 2011 to June 30, 2012

<table>
<thead>
<tr>
<th>College</th>
<th>Cash Gifts</th>
<th>Gifts-In-Kind</th>
<th>Matching Gifts</th>
<th>PG Life Income</th>
<th>Realized Bequests</th>
<th>Year-to-date FY '12 Totals</th>
<th>Year-to-date FY '11 Totals</th>
<th>YTD Period % Change FY11/FY12</th>
<th>Year End FY '11 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$18,848,374</td>
<td>$1,425,475</td>
<td>$56,563</td>
<td>$58,038</td>
<td>$273,953</td>
<td>$20,662,403</td>
<td>$20,514,219</td>
<td>1%</td>
<td>$20,514,219</td>
</tr>
<tr>
<td>College of Design</td>
<td>$633,010</td>
<td>$39,714</td>
<td>$51,535</td>
<td>$0</td>
<td>$0</td>
<td>$724,259</td>
<td>$1,159,887</td>
<td>-38%</td>
<td>$1,159,887</td>
</tr>
<tr>
<td>College of Education</td>
<td>$1,069,411</td>
<td>$743</td>
<td>$3,765</td>
<td>$0</td>
<td>$0</td>
<td>$1,073,920</td>
<td>$788,650</td>
<td>36%</td>
<td>$788,650</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$10,222,842</td>
<td>$130,465</td>
<td>$159,509</td>
<td>$65,566</td>
<td>$567,977</td>
<td>$11,146,359</td>
<td>$9,527,900</td>
<td>17%</td>
<td>$9,527,900</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$709,698</td>
<td>$7,162</td>
<td>$14,693</td>
<td>$19,105</td>
<td>$0</td>
<td>$750,659</td>
<td>$859,121</td>
<td>-13%</td>
<td>$859,121</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$2,456,684</td>
<td>$4,750</td>
<td>$62,441</td>
<td>$0</td>
<td>$0</td>
<td>$2,523,875</td>
<td>$18,446,996</td>
<td>-86%</td>
<td>$18,446,996</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$1,299,473</td>
<td>$10,450</td>
<td>$5,798</td>
<td>$10,078</td>
<td>$127,393</td>
<td>$1,453,192</td>
<td>$1,992,502</td>
<td>-27%</td>
<td>$1,992,502</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$1,649,907</td>
<td>$511,790</td>
<td>$45,252</td>
<td>$0</td>
<td>$0</td>
<td>$2,206,949</td>
<td>$2,595,719</td>
<td>-15%</td>
<td>$2,928,719</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$1,793,551</td>
<td>$11,440</td>
<td>$910</td>
<td>$0</td>
<td>$0</td>
<td>$1,805,901</td>
<td>$1,204,224</td>
<td>50%</td>
<td>$1,204,224</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$4,434,174</td>
<td>$516,360</td>
<td>$13,032</td>
<td>$26,057</td>
<td>$380,115</td>
<td>$5,369,738</td>
<td>$8,414,278</td>
<td>-36%</td>
<td>$8,414,278</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$871,245</td>
<td>$0</td>
<td>$13,667</td>
<td>$0</td>
<td>$1,396</td>
<td>$886,308</td>
<td>$733,863</td>
<td>21%</td>
<td>$733,863</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$663,426</td>
<td>$125,322</td>
<td>$13,984</td>
<td>$21,426</td>
<td>$0</td>
<td>$824,158</td>
<td>$596,971</td>
<td>38%</td>
<td>$596,971</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$8,715</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$8,715</td>
<td>$8,120</td>
<td>7%</td>
<td>$8,120</td>
</tr>
<tr>
<td>Libraries</td>
<td>$630,665</td>
<td>$126,120</td>
<td>$7,678</td>
<td>$0</td>
<td>$15,000</td>
<td>$779,462</td>
<td>$2,501,839</td>
<td>-69%</td>
<td>$2,501,839</td>
</tr>
<tr>
<td>University-wide</td>
<td>$32,311,546</td>
<td>$243,912</td>
<td>$40,259</td>
<td>$0</td>
<td>$92,493</td>
<td>$32,688,209</td>
<td>$10,151,478</td>
<td>222%</td>
<td>$9,818,478</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$16,398,591</td>
<td>$596,522</td>
<td>$424,611</td>
<td>$0</td>
<td>$0</td>
<td>$17,419,724</td>
<td>$15,260,900</td>
<td>14%</td>
<td>$15,260,900</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$94,001,314</strong></td>
<td><strong>$3,750,225</strong></td>
<td><strong>$913,697</strong></td>
<td><strong>$200,271</strong></td>
<td><strong>$1,458,326</strong></td>
<td><strong>$100,323,833</strong></td>
<td><strong>$94,756,666</strong></td>
<td>6%</td>
<td><strong>$94,756,666</strong></td>
</tr>
</tbody>
</table>
## NC State University

**Gift Receipts by Gift Use**

(Includes Gift Receipts and Pledge Payments)

July 1, 2011 to June 30, 2012

<table>
<thead>
<tr>
<th>College</th>
<th>Current Operations Restricted</th>
<th>Current Operations Unrestricted</th>
<th>Endowment Restricted</th>
<th>Endowment Unrestricted</th>
<th>Facilities</th>
<th>Year-to-date FY '12 Totals</th>
<th>Year-to-date FY '11 Totals</th>
<th>YTD Period % Change FY11/FY12</th>
<th>Year End FY '11 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$14,921,449</td>
<td>$1,799,208</td>
<td>$2,282,557</td>
<td>$300</td>
<td>$1,658,890</td>
<td>$20,662,403</td>
<td>$20,514,219</td>
<td>1%</td>
<td>$20,514,219</td>
</tr>
<tr>
<td>College of Design</td>
<td>$432,904</td>
<td>$118,906</td>
<td>$132,735</td>
<td>$0</td>
<td>$39,714</td>
<td>$724,259</td>
<td>$1,159,887</td>
<td>-38%</td>
<td>$1,159,887</td>
</tr>
<tr>
<td>College of Education</td>
<td>$980,869</td>
<td>$50,752</td>
<td>$41,335</td>
<td>$0</td>
<td>$963</td>
<td>$1,073,920</td>
<td>$788,650</td>
<td>36%</td>
<td>$788,650</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$7,329,586</td>
<td>$830,857</td>
<td>$2,829,451</td>
<td>$26,000</td>
<td>$130,465</td>
<td>$11,146,359</td>
<td>$9,527,900</td>
<td>17%</td>
<td>$9,527,900</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$478,331</td>
<td>$172,601</td>
<td>$92,565</td>
<td>$0</td>
<td>$7,162</td>
<td>$750,659</td>
<td>$859,121</td>
<td>-13%</td>
<td>$859,121</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$1,069,076</td>
<td>$138,975</td>
<td>$1,211,075</td>
<td>$0</td>
<td>$104,750</td>
<td>$2,523,875</td>
<td>$18,446,996</td>
<td>-86%</td>
<td>$18,446,996</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$812,008</td>
<td>$219,311</td>
<td>$411,423</td>
<td>$0</td>
<td>$10,450</td>
<td>$1,453,192</td>
<td>$1,992,502</td>
<td>-27%</td>
<td>$1,992,502</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$1,040,955</td>
<td>$279,834</td>
<td>$307,371</td>
<td>$67,000</td>
<td>$511,790</td>
<td>$2,206,949</td>
<td>$2,595,719</td>
<td>-15%</td>
<td>$2,928,719</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$714,681</td>
<td>$54,689</td>
<td>$1,013,691</td>
<td>$11,400</td>
<td>$11,440</td>
<td>$1,805,901</td>
<td>$1,204,224</td>
<td>50%</td>
<td>$1,204,224</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$2,193,305</td>
<td>$107,523</td>
<td>$535,013</td>
<td>$2,069,828</td>
<td>$464,069</td>
<td>$5,369,738</td>
<td>$8,414,278</td>
<td>-36%</td>
<td>$8,414,278</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$116,467</td>
<td>$18,998</td>
<td>$418,068</td>
<td>$0</td>
<td>$332,774</td>
<td>$866,308</td>
<td>$733,863</td>
<td>21%</td>
<td>$733,863</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$77,151</td>
<td>$77,122</td>
<td>$155,064</td>
<td>$0</td>
<td>$514,821</td>
<td>$824,158</td>
<td>$596,971</td>
<td>38%</td>
<td>$596,971</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$6,665</td>
<td>$2,050</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$8,715</td>
<td>$8,120</td>
<td>7%</td>
<td>$8,120</td>
</tr>
<tr>
<td>Libraries</td>
<td>$514,694</td>
<td>$0</td>
<td>$138,649</td>
<td>$0</td>
<td>$126,120</td>
<td>$779,462</td>
<td>$2,501,839</td>
<td>-69%</td>
<td>$2,501,839</td>
</tr>
<tr>
<td>University-wide</td>
<td>$15,746,018</td>
<td>$1,497,730</td>
<td>$12,907,534</td>
<td>$15,500</td>
<td>$2,521,428</td>
<td>$32,688,209</td>
<td>$10,151,478</td>
<td>222%</td>
<td>$9,818,478</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$10,619,871</td>
<td>$0</td>
<td>$1,513,708</td>
<td>$0</td>
<td>$4,707,698</td>
<td>$16,841,277</td>
<td>$15,260,900</td>
<td>10%</td>
<td>$15,260,900</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$57,054,029</strong></td>
<td><strong>$5,368,555</strong></td>
<td><strong>$23,990,240</strong></td>
<td><strong>$2,190,028</strong></td>
<td><strong>$11,142,534</strong></td>
<td><strong>$99,745,386</strong></td>
<td><strong>$94,756,666</strong></td>
<td>5%</td>
<td><strong>$94,756,666</strong></td>
</tr>
</tbody>
</table>
## FY12 YTD Monthly Progress and College Comparison Report

### As of June 30, 2012

### Overall Performance

<table>
<thead>
<tr>
<th></th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td>YTD Total</td>
<td>$1,531,408</td>
<td>$1,112,744</td>
<td>$418,664</td>
<td>37.62%</td>
<td>$1,863,991</td>
<td>$1,385,375</td>
<td>$478,616</td>
<td>34.55%</td>
</tr>
<tr>
<td>$1,000+ household donors (Primary donors only)</td>
<td>$334,609</td>
<td>$143,669</td>
<td>$190,940</td>
<td>132.90%</td>
<td>$427,465</td>
<td>$205,745</td>
<td>$221,721</td>
<td>107.76%</td>
</tr>
</tbody>
</table>

### Progress to Goals

<table>
<thead>
<tr>
<th></th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Dollars</td>
<td>$1,500,000</td>
<td>($363,991)</td>
<td>124.27%</td>
<td>$0</td>
<td>$1,863,991</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(FY11 = $1,385,375)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Donors</td>
<td>15,000</td>
<td>(1,397)</td>
<td>109.31%</td>
<td>0</td>
<td>16,397</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(FY11 = 13,437)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Average Gift

<table>
<thead>
<tr>
<th></th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td>$88</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$78</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$10</td>
<td></td>
<td></td>
<td></td>
<td>12.51%</td>
</tr>
</tbody>
</table>

### Corporate Matching Gifts

<table>
<thead>
<tr>
<th></th>
<th>FY12</th>
<th>FY11</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate Match Dollars</td>
<td>$121,152</td>
<td>$80,698</td>
<td>$40,454</td>
<td>50.15%</td>
</tr>
</tbody>
</table>

The FY11 numbers are adjusted to match FY11 Year end numbers.

FY12 AG mailed to Eng. Dean's Circle.
## FY12 YTD Monthly Progress and College Comparison Report

**As of June 30, 2012**

**Page 2**

<table>
<thead>
<tr>
<th>Academic Unit Detail - Mailable Alumni</th>
<th>Gift Dollars No Match</th>
<th>Donors No Match</th>
<th>Average Gift</th>
<th>Average Gift</th>
<th>Corporate Matching</th>
<th>Total Gifts and Matching</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FY11</td>
<td>FY11 Chng</td>
<td>% Chng</td>
<td>FY12</td>
<td>FY11 Chng</td>
<td>% Chng</td>
</tr>
<tr>
<td>CALS - 34,664</td>
<td>$195,355</td>
<td>$169,703</td>
<td>$25,652</td>
<td>15.1%</td>
<td>$257</td>
<td>13.60%</td>
</tr>
<tr>
<td>CHASS - 30,663</td>
<td>$120,534</td>
<td>$70,399</td>
<td>$50,134</td>
<td>71.2%</td>
<td>410</td>
<td>39.88%</td>
</tr>
<tr>
<td>Design - 5,961</td>
<td>$58,536</td>
<td>$40,530</td>
<td>$18,005</td>
<td>44.4%</td>
<td>59</td>
<td>14.82%</td>
</tr>
<tr>
<td>Education - 12,758</td>
<td>$52,922</td>
<td>$46,199</td>
<td>$6,734</td>
<td>14.6%</td>
<td>103</td>
<td>16.53%</td>
</tr>
<tr>
<td>Engineering - 50,812</td>
<td>$477,394</td>
<td>$352,312</td>
<td>$125,081</td>
<td>35.5%</td>
<td>527</td>
<td>14.54%</td>
</tr>
<tr>
<td>Management - 21,372</td>
<td>$91,324</td>
<td>$75,569</td>
<td>$15,756</td>
<td>20.8%</td>
<td>165</td>
<td>16.84%</td>
</tr>
<tr>
<td>Natural Resources - 8,703</td>
<td>$64,787</td>
<td>$67,187</td>
<td>($2,400)</td>
<td>(3.6%)</td>
<td>70</td>
<td>13.44%</td>
</tr>
<tr>
<td>PAMS - 11,922</td>
<td>$73,190</td>
<td>$63,647</td>
<td>$9,543</td>
<td>15.0%</td>
<td>121</td>
<td>19.39%</td>
</tr>
<tr>
<td>Textiles - 8,041</td>
<td>$49,697</td>
<td>$35,035</td>
<td>$14,662</td>
<td>41.8%</td>
<td>142</td>
<td>31.07%</td>
</tr>
<tr>
<td>Vet Med - 2,166</td>
<td>$31,586</td>
<td>$19,716</td>
<td>$11,871</td>
<td>60.2%</td>
<td>122</td>
<td>84.14%</td>
</tr>
<tr>
<td>Arts NC State</td>
<td>$18,200</td>
<td>$9,455</td>
<td>$8,745</td>
<td>92.5%</td>
<td>122</td>
<td>115.09%</td>
</tr>
<tr>
<td>First Year College</td>
<td>$10,178</td>
<td>$6,738</td>
<td>$3,440</td>
<td>51.1%</td>
<td>33</td>
<td>82.50%</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$6,535</td>
<td>$7,015</td>
<td>($480)</td>
<td>(6.8%)</td>
<td>27</td>
<td>108.00%</td>
</tr>
<tr>
<td>Library</td>
<td>$27,659</td>
<td>$20,901</td>
<td>$6,758</td>
<td>32.3%</td>
<td>91</td>
<td>77.12%</td>
</tr>
<tr>
<td>Parents’ Fund</td>
<td>$110,604</td>
<td>$108,287</td>
<td>$2,317</td>
<td>2.1%</td>
<td>33</td>
<td>82.50%</td>
</tr>
<tr>
<td>Student Financial Aid Fund</td>
<td>$57,505</td>
<td>$26,086</td>
<td>$31,419</td>
<td>120.4%</td>
<td>375</td>
<td>139.41%</td>
</tr>
<tr>
<td>University’s Greatest Needs Fund</td>
<td>$187,618</td>
<td>$120,029</td>
<td>$67,589</td>
<td>56.3%</td>
<td>929</td>
<td>110.99%</td>
</tr>
<tr>
<td>Other/University-wide</td>
<td>$109,214</td>
<td>$62,146</td>
<td>$47,068</td>
<td>75.7%</td>
<td>183</td>
<td>42.76%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$1,742,838</strong></td>
<td><strong>$1,300,956</strong></td>
<td><strong>$441,883</strong></td>
<td><strong>34.0%</strong></td>
<td><strong>2,919</strong></td>
<td><strong>21.66%</strong></td>
</tr>
</tbody>
</table>

**FY12** equals money that came in during FY112. Gifts could be for FY12 or FY11 Class Gift. FY11 does not include $7,100 IDF (# 00009078) from Athletics proceeds of student tickets from Boston College football game.

* FY12 and FY11 Donor No Match TOTAL adjusted for donors who gave to multiple designations.

♠ Average Gift calculated by gifts, including payroll deduction and EFT, not donors.

---

**FY11** includes $7,100 IDF (# 00009078).
MEMORANDUM

TO: W. Randy Woodson, Chancellor
FROM: Nevin E. Kessler, Vice Chancellor for University Advancement
DATE: July 25, 2012
RE: Revised 2012-13 Goals for University Advancement

Consistent with NC State's strategic plan, The Pathway to the Future, and its implementation steps, University Advancement is recommending the following strategic goals and tactics for FY 2012-13.

1) Prepare the University for the successful quiet launch of its next comprehensive campaign on July 1, 2013.
   a. Hire new Associate and Assistant Vice Chancellors for University Development.
   b. Complete the Campaign Planning Study, with reports to the University Advancement Committee of the Board of Trustees in September and November 2012. Hire campaign counsel to work with NC State in the planning and implementation of the silent phase of its next campaign.
   c. Based on feedback from the feasibility study, and in collaboration with university leadership, identify fund raising priorities for the next campaign. Per strategic implementation plan, set targets for endowment growth in the next campaign.
   d. Identify and recruit volunteer campaign leadership for the silent phase of the next campaign.
   e. Begin to implement recommendations of Bentz Whaley Flessner on staffing and infrastructure for the next campaign.
   f. Implement the Principal Gift Program. More effectively leverage the time of the Chancellor on Advancement initiatives, and use the Residence more effectively to advance significant discussions about philanthropy.
   g. Implement an automatic and on-going wealth screening process for parents of incoming freshmen and transfer students, CVM hospital clients, new donors and existing donors who have moved into the major gift age range, with a goal to increase the number of potential major and principal gift prospects for the campaign.
   h. Continue to enhance an Advancement technology platform and efficient standard operating procedures that can support the next campaign.
      i. Institutionalize data standards across campus to ensure data quality.
      ii. Stream-line the processing of gifts on the on-line giving site, and help migrate all Foundations at NC State to one system.
iii. Build operational efficiency and effectiveness by implementing new technologies like document management for Advance and bar-coding for gift processing.

iv. Maintain state-of-the-art Advancement database and reporting systems through routine upgrades and implementation of new related technologies.

v. Track outcomes of all discovery efforts; create system so as not to lose track of great future prospects.

i. Restructure the Life Time Giving Societies.

j. Develop internal and external campaign newsletters.

k. Working closely with the NC State Foundation, convene the college-based foundations on a regular basis to identify opportunities and issues for collaboration.

2) Engage a larger number of alumni and friends to the University, in ways that are meaningful to alumni and the University.

a. Focus efforts to develop stronger networks in Tier 1 regions where the University has both large numbers of alumni, and large numbers of major gift donors and potential donors. Enhance the quality of the experience for those attending the events, and track attendance, with expectations that it will grow each year. Increase the frequency of events.

b. Expand cultural arts programming by the Alumni Association.

c. Double the number of major gift officers meeting the minimum 12-15 face-to-face calls per month.

d. Continue efforts to enhance our capacity to generate engagement and donations from alumni living overseas.

3) Increase private financial support.

a. Increase gifts and new commitments goal by 8% to $120.3 million and gift receipts goal by 3.0% to $103.3 million.

b. Increase the number of Chancellor Circle members through Annual Giving initiatives.

c. Increase private support through the Annual Giving program by 7.3%, exceeding $2 million in gift revenues.

d. Achieve $40 million in new gifts and commitments for endowment.

e. Implement a new major gifts program directed at potential parent donors.

f. Close at least three eight-figure gifts.

g. Develop a better system for reporting, tracking and analyzing major gift proposals solicited and funded, and calculate rate of success by unit and by development officer.
h. Complete fund raising for the Carol Johnson Poole Clubhouse. Work with units to complete the NC 4-H Campaign for Clover and the NC State University Club’s A Bright Future Campaign.

i. Hold everyone at the University accountable for achieving their fund raising goals.

4) In collaboration with AD Yow, develop approaches to university events that promote NC State pride in students, faculty, staff and alumni.

5) Assess the efficiency and effectiveness of the current development organizational structure at NC State, and make recommendations to align fundraising with resource needs and eliminate duplicative effort/costs between central and constituent fund raising organizations.

6) Increase membership in NC State Alumni Association by 3%. Establish a formal process to explore alternative business models for funding the Alumni Association. Consider consequences of eliminating membership dues approach, a trend among many of our peer institutions.

7) Create a cohesive messaging architecture that advances the University’s mission.
   a. Focus UComm’s efforts and resources on “impact” work involving Advancement, Admissions, Alumni Relations, Athletics and Research.
   b. Manage a successful campus-wide rebranding effort. Hire and manage an outside consultant to lead the project. Building on momentum from the 125th anniversary year, complete a brand refresh determined to ensure a smooth transition into the quiet phase of the next campaign.
   c. Assemble a business plan to expand the alumni magazine to six issues per year.

8) Evaluate, plan and begin implementation of organizational and process improvements within UComm to achieve goals.
   a. Working with Human Resources, implement a reorganization to ensure positions and resources are utilized to effectively support UComm’s focus and best serve the university. Strengthen organizational capacities in project management, marketing and social media, and implement process improvements in project tracking, fact checking, and client services.

9) Evaluate and implement improvements to UComm’s business model to ensure the ability to support the department’s focus and best serve the university.
   a. Determine the appropriate ratio of funded vs. receipt-based support and implement multi-year plan to optimize this model.
   b. Address UComm’s multiple charge structure by working with VC for Business and Finance to implement same fee for services to all clients making it consistent across the university.

10) Expand efforts to improve collaboration among the NC State network of communications professionals.

11) Successfully complete the 125th Anniversary Celebration, with enhanced Homecoming program.
12) Achieve diversity goals as articulated in University Advancement’s Equal Opportunity Plan

a. Hire an African-American or Hispanic fund raiser in University Development.

b. Engage African-American, Hispanic and females on the NC State Foundation, Inc. and the Alumni Association Board of Directors.
Agenda

Board of Trustees
University Advancement Committee Work Plan

2012-2013

September 20, 2012

University Advancement Goals – 2012-2013
Internal Campaign Readiness Assessment

November 15, 2012

Campaign Feasibility Study
Watauga Medal Selection

February 21, 2013

Campaign Planning

April 18, 2013

NC State Brand Refresh

Other Topics

- Review fund raising reports
- Approve campaign counting guidelines
- Approve facility and program namings
UNIVERSITY AFFAIRS COMMITTEE
NORTH CAROLINA STATE UNIVERSITY
September 20, 2012

BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY
AGENDA

University Affairs Committee
2:45 p.m. – 4:15 p.m. September 20, 2012
Winslow Hall Conference Room

Norris Tolson, Chair
Members: Ben Jenkins, Bob Mattocks, Jim Owens, Andy Walsh and Susan Ward

CALL TO ORDER
Norris Tolson, Committee Chair

ROLL CALL

READING OF STATE GOVERNMENT ETHICS ACT CONFLICT OF INTEREST STATEMENT

1. AGENDA
A. Approval of April 19, 2012 University Affairs Committee Minutes 7.1A
B. Approval of June 8, 2012 University Affairs Committee Minutes 7.1B
C. Approval of July 26, 2012 University Affairs Committee Minutes 7.1C

2. DISCUSSION ITEMS
A. SACS Accreditation Review
   Overview of NC State's next accreditation review by the Southern Association of Colleges and Schools (SACS), including a compliance audit and a plan to improve students' critical and creative thinking.
   Presenter: Karen Helm, SACS Accreditation Liaison and Director, University Planning and Analysis 7.2A

3. REQUESTED ACTION
✓ A. Revision to Policy 04.20.05: Illegal Drug Policy
   Reason for Revision: The Division of Academic and Student Affairs, Department of Human Resources, and Environmental Safety & Health seek to revise POL 04.20.05, Illegal Drugs, ("Policy") in order to reflect the UNC Board of Governors' changes and amendments to the UNC Illegal Drugs Policy, 1300.1, adopted on June 15, 2012, which permit the University to now allow for a range of penalties to be enforced depending on the nature and the seriousness of the offense, rather than the previous prescribed minimum penalties that the University must enforce for certain offenses. The policy is also being revised to reflect current University practices for drug education and enforcement. 7.3A
4. INFORMATIONAL REPORTS

A. December, 2012 Commencement Speaker (no materials)
   Presenter: Chancellor W. Randolph Woodson

B. Student Body President Report
   Presenter: Robert A. "Andy" Walsh, Student Body President

C. Provost Update (no materials)
   Activities in Academic Affairs since the April 19, 2012 Board Meeting
   Presenter: Warwick A. Arden, Provost and Executive Vice Chancellor
   a. College of Science Implementation
   b. Faculty Interdisciplinary Cluster Hire Process
   c. Faculty Scholars Program
   d. Faculty Retention Data

5. PERSONNEL MATTERS*

Executive Summary of All Personnel Actions Requiring Approval

A. To establish or to instruct the staff or agent concerning the amount of
   compensation and other material terms of an employment contract or proposed
   employment contract.

6. ADJOURN

* Discussed in Closed Session
✓ Requires Full Board Approval
MINUTES

UNIVERSITY AFFAIRS COMMITTEE
Board of Trustees
North Carolina State University
April 19, 2012

The University Affairs Committee of the Board of Trustees of North Carolina State University met April 19, 2012 at 2:45 p.m. in the Winslow Hall Conference Room.

Members Present: Norris Tolson, Committee Chair
Lawrence Davenport
Jim Owens
Chandler Thompson
Susan Ward
Barbara Mulkey, Board Chair

Others Present: Randy Woodson
Warwick Arden
John Ambrose
Betsy Brown
Barbara Carroll
Susan Colby
David DeFoor
Krista Domnick
Eileen Goldgeier
Cecile Hinson
Marc Holt
Louis Hunt

Amy Jinnette
Hans Keliner
Mick Kulikowski
Sarah Lannom
Duane Larick
Christy Scheid
Dan Solomon
Tom Stafford
PJ Teal
Shawn Troxler
Deborah Yow

Chair Tolson called the meeting to order. A quorum was present.

He reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the University Affairs Committee at this meeting. Hearing none, Chair Tolson proceeded with the Committee agenda.

A motion was made by Mr. Owens and seconded by Ms. Ward to approve minutes from the open and closed sessions of the February 16, 2012 meeting as distributed. The motion carried.

Ms. Krista Domnick, Interim Director of Scholarships and Financial Aid, provided an annual report on the list of recognized entities awarding full scholarships to undergraduates and the number of students receiving full scholarships from each entity. Per North Carolina General Statute, students who receive full scholarships from the list of recognized entities will be considered to be residents of North Carolina and will receive the resident tuition benefit. There are a total of 73 new and continuing students benefitting from this provision. Of these 73 students, 59 are Park Scholars. Effective July 1, 2010, the General Assembly rescinded the ability to include athletes as eligible for this benefit. This process is governed by REG 02.70.3, "Residency for Full Scholarship Undergraduate Students," which requires an annual update to the Board of Trustees.

Dr. Louis Hunt, Vice Provost and University Registrar, gave a report on the special consideration process of undergraduate admissions and the performance of students previously admitted through that process. This process is governed by REG 02.10.4, "Identification and Performance Monitoring of
Selected Students Requiring Special Consideration for Admissions," which requires an annual update to the Board of Trustees. The presentation examined the number of students admitted through this process; their previous academic performance; and their persistence, performance, and graduation rates.

Ms. Barbara Carroll, Associate Vice Chancellor for Human Resources, explained that as a condition of management flexibility delegated by the UNC Board of Governors, the BOT (delegated to the University Affairs Committee) establishes pay ranges for senior academic and administrative officer (SAAO) "Tier Two" positions. UNC-General Administration determines the ranges for all SAAO-Tier One positions (the Chancellor and Vice Chancellors). The proposed SAAO Tier II salary ranges for 2012-13 remain unchanged from the 2011-12 ranges; however, a few new positions and position title changes were added. Mr. Owens made a motion, seconded by Ms. Thompson, to approve the salary ranges as presented. The motion carried.

Provost Arden discussed revisions to the Code of Student Conduct, Policy 11.35.1. These revisions were initiated by the Division of Student Affairs to make the Code more user friendly, update the definitions section including the definition of misconduct, update to coincide with current university practice, update to comply with federal regulations and to remove academic integrity probation as a mandatory, minimum sanction. These revisions have been vetted widely among student leadership, faculty and administrators. The revised Code will be implemented and take effect the first day of the first summer session. Discussion focused on the process for informing students about the Code of Student Conduct. Dean Ambrose noted that the Code is introduced to students at new student orientation and is also referenced in each instructor’s syllabus. The Code is also available to students on-line. Ms. Ward made a motion to recommend revisions to Policy 11.35.1, Code of Student Conduct, to the full board for approval. Ms. Thompson seconded the motion. The motion carried.

The committee received an update on Faculty Senate activities from Dr. Hans Kellner, Chair of the Faculty. The General Faculty Meeting on March 13 featured remarks by the Chair of the Faculty, the Provost and the Chancellor as well as statements by the final two candidates for Chair-Elect of the Faculty. Following these presentations, the Quality Enhancement Plan on the topic of “Critical and Creative Thinking” was discussed at length. Subsequent to the General Faculty Meeting, Dr. David Zondeman, Professor in the Department of History, was elected Chair-Elect of the Faculty effective July 1, 2012. Dr. Kellner cited the work of the Faculty Senate Executive Committee in beginning the discussion of developing regulations for two academic departments in the newly created Division of Academic and Student Affairs. He also noted the discussion at the most recent Faculty Senate meeting of the Campus Compact which spells out the values and ethical expectations of the University. Finally, Dr. Kellner cited challenges that lie ahead and noted that five resolutions have been passed by the faculty this year, including one in recognition of Dr. Tom Stafford.

Mr. David DeFoor, Chair of the Staff Senate, reported on work in progress by the Staff Senate including a successful February Food Drive for the Food Bank of Eastern and Central NC (a 65% increase over last year), a series of Lunch & Learn brown bag lunches on campus, and discussions with campus leaders regarding topics such as the Quality Enhancement Plan for the SACS reaffirmation process, and the business operations restructuring. He also reported on efforts to educate staff about the proposal to transfer personnel authority from the State Personnel Commission to the UNC Board of Governors. Forums have been held on campus to solicit feedback on the Guiding Principles drafted by General Administration that will provide a framework for policy development if the proposal is approved. The responses have been consolidated into recommendations to strengthen the Guiding Principles and reassure anxious staff members. Mr. DeFoor noted that the election of Senators is approaching and introduced Ms. Susan Colby, Chair-Elect of the Staff Senate.

In the Provost’s Update, the committee was informed about the following items: new graduate certificates in City Design and Energy and Technology in Architecture; the Department of Physical Education has changed its name to the Department of Health and Exercise Studies to reflect the
scope and depth of its programs; an update was given on the university’s new, long-range enrollment plan; Provost Arden and Chandler Thompson discussed the proposed Statement of Community Compact that has been developed by the University Values and Ethics Administrative Advisory Council; Provost Arden discussed the University Faculty Scholars Program which will identify up to twenty tenured or tenure-track faculty each year who will, for a five-year period, carry the title of University Faculty Scholar and receive a $10,000 annual supplement, which may be used for supplemental salary or programmatic support; an update was provided on the Chancellor’s Faculty Excellence Program; implementation of the strategic plan is moving forward; the nominating committees for the Dean of the College of Agriculture and Life Sciences, Dean of the College of Natural Resources, and Vice Chancellor and Dean of the Division of Academic and Student Affairs have all selected their first round candidates and interviews are being scheduled; in accordance with the UNC Policy on the Employment of Related Persons (Anti-Nepotism Policy), Provost Arden reported that NC State does not have any reported employees out of compliance with the policy; and finally, Provost Arden gave an update on salary adjustments that have been submitted by the university and approved by the President or Board of Governors under the 2011 Appropriations Act as well as notification, per Board of Governors requirement, of salary increases approved where the final annual salary exceeds $100,000.

A motion was made by Mr. Tolson to go into closed session to consider the qualifications, competence, performance, and condition of appointment of a public officer or employee or prospective public officer or employee according to North Carolina General Statute, Section 143-318.11(a)(6), to establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract according to North Carolina General Statute, Section 143-318.11(a)(5), and to prevent the premature disclosure of an honorary award or scholarship according to North Carolina General Statute, Section 143-318.11(a)(2).” Mr. Owens seconded the motion. The motion carried.

After coming out of closed session, Mr. Tolson announced the meeting in open session.

Mr. Davenport made a motion, seconded by Ms. Ward, to approve the personnel actions discussed in Closed Session, including conferral of tenure to 7 new faculty members, conferral of tenure to 51 faculty members reviewed during the annual reappointment, promotion and tenure process, approval of an appointment to a distinguished professorship supported by the Distinguished Professorship Endowment Trust Fund, conferral of emeritus status to three SAAO Tier I employees upon their retirement, approval of a non-salary compensation request and approval of two head coach employment agreements. The motion carried.

With no further business, Chair Tolson announced the meeting adjourned at 4:35 p.m.

Norris Tolson, Chair
MINUTES
UNIVERSITY AFFAIRS COMMITTEE
Board of Trustees
North Carolina State University
June 8, 2012

The University Affairs Committee of the Board of Trustees of North Carolina State University met via teleconference on June 8, 2012. The call originated from the Chancellor’s Conference Room, Holladay Hall.

Members Present: Norris Tolson, Committee Chair
Lawrence Davenport
Jim Owens
Andy Walsh
Susan Ward
Barbara Mulkey, Board Chair

Others Present: Randy Woodson
Warwick Arden
Barbara Carroll
Amy Jinnette
Sarah Lannom
PJ Teal

Chair Tolson called the meeting to order at 4:05 p.m. A quorum was present.

Chair Tolson reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the University Affairs Committee at this meeting. Hearing none, Chair Tolson proceeded with the Committee agenda.

Mr. Tolson made a motion to go into closed session to consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee according to North Carolina General Statute, Section 143-318.11(a)(6) and to establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract according to North Carolina General Statute, Section 143-318.11(a)(5). Mr. Owens seconded the motion. The motion carried.

After coming out of closed session, Chair Tolson announced the meeting in open session.

Ms. Ward made a motion to approve the personnel actions discussed in Closed Session related to the appointment, compensation and retreat rights of the Vice Chancellor and Dean of the Division of Academic and Student Affairs, conferral of tenure to two new faculty members and appointment of a faculty member to a distinguished professorship supported by the Distinguished Professorship Endowment Trust Fund. Mr. Owens seconded the motion. The motion carried.

With no further business, Chair Tolson announced the meeting adjourned at 4:30 p.m.

Norris Tolson, Chair
MINUTES
UNIVERSITY AFFAIRS COMMITTEE
Board of Trustees
North Carolina State University
July 26, 2012

The University Affairs Committee of the Board of Trustees of North Carolina State University met via teleconference on July 26, 2012. The call originated from the Chancellor’s Conference Room, Holladay Hall.

Members Present: Norris Tolson, Committee Chair
Ben Jenkins
Bob Mattocks
Jim Owens
Andy Walsh
Susan Ward
Barbara Mulkey, Board Chair

Others Present: Randy Woodson
Warwick Arden
Eileen Goldgeier
Amy Jinnette
Michael Liptiz
PJ Teal
Deborah Yow

Chair Tolson called the meeting to order at 4:00 p.m. A quorum was present.

Chair Tolson reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the University Affairs Committee at this meeting. Hearing none, Chair Tolson proceeded with the Committee agenda.

Mr. Jenkins made a motion to go into closed session to consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee according to North Carolina General Statute, Section 143-318.11(a)(6) and to establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract according to North Carolina General Statute, Section 143-318.11(a)(5). Ms. Ward seconded the motion. The motion carried.

After coming out of closed session, Chair Tolson announced the meeting in open session.

Mr. Owens made a motion to approve the personnel actions discussed in Closed Session related to the conferral of tenure to three new faculty members, a non-salary compensation request in the form of house-hunting expenses for one new employee and a head coach employment agreement. Mr. Walsh seconded the motion. The motion carried.

With no further business, Chair Tolson announced the meeting adjourned at 4:22 p.m.

Norris Tolson, Chair
Preparing for NC State's Next Accreditation Review

NC State Board of Trustees
September 2012
Karen Helm, SACS Liaison

Southern Association of Colleges and Schools (SACS)

- SACS: one of six regional accreditors with more than 800 members
- Accredits the institution as a whole
- Self-regulatory
- Peer review
- Voluntary
- Gatekeeper for federal funding, reviewed by US Department of Education

The Review Process

- Every ten years, with an interim Fifth Year Report

- Two components:
  - Compliance certification
  - Quality Enhancement Plan

Compliance Certification

- Description and documentation of NC State's policies and practices that demonstrate compliance with 92 standards and policies

- Standards emphasize the teaching mission while addressing the full range of programs and services
Quality Enhancement Plan (QEP)

- "...a carefully designed course of action that addresses a well-defined and focused topic or issue related to enhancing student learning and/or the environment supporting student learning."

- NC State's 2014 QEP: improving undergraduates' critical and creative thinking abilities

Organization

Leadership Team
- Provost/Adler, chair
- Karen Hahn, SACS Liaison
- Hans Kellner, Chair of Faculty
- Diane Lathis, Sr Vice Provost
- Jo-Ann Cohen, Assoc Dean, FAMS
- Chancellor Weidman

Compliance Certification
- 6 teams
- 25 authors

Quality Enhancement Plan
- Steering Team, co-chairs: Professor Chris Anson, Professor Steve Reynolds
- Coordinating Committee
- "30 faculty, students, staff"
- Working Groups

Schedule

Compliance
- Submit 9/13
- Off-site review 1/13
- Focused reports 1/14

QEP
- Submit 1/14

On-Site Visit: March 18-20, 2014
Commission Action: December 2014
Follow up as needed
PROPOSED REVISION TO: POL 04.20.05, Illegal Drugs

Rationale: The Division of Student Affairs, Department of Human Resources, and Environmental Safety & Health seek to revise POL 04.20.05, Illegal Drugs, ("Policy") in order to:
---Reflect the UNC Board of Governors' changes and amendments to the UNC Illegal Drugs Policy, 1300.1, adopted on June 15, 2012;
---Revise the penalties for a violation of the Policy to now allow for a range of penalties to be enforced depending on the nature and the seriousness of the offense, rather than the previous prescribed minimum penalties that the University must enforce for certain offenses;
---Update the Policy to reflect current University practices for drug education and enforcement;
---Reformat the Policy to divide the section on Penalties and Enforcement for violations of the Policy to reflect those penalties and sanctions applicable to students and those that are applicable to employees only;
---Bring the Policy into compliance with the Drug-Free Schools and Communities Act Amendments of 1989, and sections 120(a)(1) and (2) of Title I of the Higher Education Act of 1965, as Amended, which require the University to certify to the Secretary of Education that it has adopted and implemented a program to prevent the use of illicit drugs by students and employees, including an annual distribution of specified drug abuse prevention information to each student and employee, and a biennial review of the effectiveness of its educational programs regarding illegal drugs and consistency of sanction enforcement.

Consultation Process:

4/17/12 Transmittal of Policy for review
5/01/12 General Counsel preliminary review
5/23/12 Division of Student Affairs and Office of Student Conduct review
8/07/12 Department of Human Resources review
NC Environmental Safety and Health review
8/8/12 General Counsel final review
8/21/12 Provost and Executive Vice Chancellor Review
8/21/12 EOM Review
9/21/12 Board of Trustees approval

NC submitted for review; no comments provided.

Authority
Title
Classification
PRR Subject

Board of Trustees
Illegal Drugs
POL04.20.05

Health, Safety and Welfare

NC STATE UNIVERSITY
Policies, Regulations and Rules
1. PURPOSE

Illegal drugs endanger the health and safety of members of the North Carolina State University (NC State) community and imperil the integrity of the pursuit of learning and working. In order for NC State to continue its efforts to address the threat of illegal drugs, and to comply with UNC policy, the Board of Trustees adopts the policy set forth below. This policy is intended to demonstrate the University’s primary commitment to education, counseling, rehabilitation, and elimination of illegal drugs. This policy additionally provides for the imposition of penalties in the event of violation of the policy.

2. EDUCATION, COUNSELING, AND REHABILITATION

2.1 The University shall maintain an educational program designed to help all members of the University community avoid involvement with illegal drugs. The educational program shall emphasize the incompatibility of the possession, use, sale or distribution of illegal drugs with the goals of the University, the legal consequences of involvement with illegal drugs, the medical and psychological implications of the use of illegal drugs, and the ways in which illegal drugs jeopardize an individual’s present accomplishments and future opportunities. Specific elements of the education program include, but are not limited to:

2.1.1 Publicizing the University’s policy in the Student Code of Conduct, the undergraduate and graduate catalogs, and other publications distributed to students and employees;

2.1.2 Continuing and expanding the drug education program conducted by Student Health Services;

2.1.3 Continuing development of courses on drug education; and

2.1.4 Continuing the drug education component of the employees’ Wellness Program; and

2.1.5 Increasing the awareness and utilization of the University’s Faculty and Staff Assistance Program (F: SAP).

2.2 The University shall disseminate information about drug counseling and rehabilitation services that are available to members of the University community. Persons who voluntarily avail themselves of
such services shall be assured that applicable professional standards of confidentiality will be observed and that such participation will not be the basis for disciplinary action. Specific counseling and rehabilitation efforts include, but are not limited to:

2.2.1 Continuing the evaluation and referral services of the Counseling Center for out-patient and in-patient rehabilitation;

2.2.2 Continuing the consultation and evaluation portions of the Student Health Service’s drug education program; and

2.2.3 Utilizing FASAP’s referral to existing community-based counseling and rehabilitation services.

3. COMPLIANCE AND PENALTIES FOR VIOLATIONS

3.1 Compliance

Students and employees are responsible for knowing about and complying with the provisions of North Carolina law that make it a crime to possess, sell, deliver or manufacture those drugs designated collectively as “controlled substances” in Article 5 of Chapter 90 of the North Carolina General Statutes.

3.2 Penalties

3.2.1 The University will initiate its own disciplinary proceedings against a student or employee when the violation of applicable law or University policies concerning illegal drugs is deemed to affect the interests of the University. The University may also refer matters to law enforcement for prosecution.

3.2.2 A student or employee found to have violated applicable law or University policies concerning illegal drugs may be required to participate in a drug education and counseling program, consent to regular drug testing, and accept such other conditions and restrictions, including a program of community service, as the Chancellor or the Chancellor’s designee deems appropriate. Refusal or failure to abide by such conditions and restrictions may result in additional disciplinary action.

3.2.3 For second or other subsequent offenses involving illegal drugs, progressively more severe penalties shall be imposed.

3.2.4 The penalties imposed by the University for students or employees found to have violated applicable law or University policies concerning illegal drugs will vary depending upon the nature and seriousness of the offense and may include a range of disciplinary actions up to and including expulsion from enrollment (for students) or discharge (for employees).

3.2.4 Students

The penalties imposed by the University for students found to have violated applicable law or University policies concerning illegal drugs will vary depending upon the nature and seriousness of the offense and may include a range of disciplinary actions up to and including expulsion from enrollment. Penalties will be imposed by the University in accordance with procedural safeguards applicable to disciplinary actions against students as provided in the Student Discipline Procedures (REG 11.35.07).
3.2.4.2.5 Employees

The penalties imposed by the University for employees found to have violated applicable law or University policies concerning illegal drugs will vary depending upon the nature and seriousness of the offense and may include a range of disciplinary actions up to and including discharge from employment. Penalties will be imposed by the University in accordance with procedural safeguards applicable to disciplinary actions against faculty members (Section 603 of The Code of the Board of Governors), EPA non-faculty employees (the Employees Exempt from the State Personnel (EPA) Policy, (POL 05.15.01), and SPA Employees (by regulation of the State Personnel Commission and SPA Successive Discipline (REG 05.70.01)).

3.3 Interim Actions Pending Final Disposition

When a student or employee has been alleged by the University to have violated this policy, interim action may be implemented prior to final disposition of the matter.

3.3.1 Students

A student may be suspended from enrollment or before initiation or completion of regular disciplinary proceedings if, assuming the truth of the allegations, the Chancellor or Chancellor’s designee concludes that the student’s continued presence within the University community would constitute a clear and immediate danger to the health or welfare of other members of the University community; provided, a hearing on the charges against the student shall be held as promptly as possible thereafter.

3.3.2 Employees

An employee may be placed on administrative leave (EPA employees) or investigatory placement (SPA employees) with pay in order to allow the university to investigate the allegations and to make a determination as to whether to initiate disciplinary action.

4. COORDINATOR OF DRUG EDUCATION

4.1 The Substance Abuse Prevention Educator, Health Promotion, will serve as the Coordinator of Drug Education. Acting under the authority of the Chancellor, the Coordinator will be responsible for overseeing all actions and programs relating to this institutional policy. The Associate Vice-Chancellor for Human Resources will be responsible for coordinating with the EASAP vendor/provider for conducting programs for employees and for providing an annual report to the Coordinator regarding such programs.

4.2 In accordance with state and federal law regarding illegal drugs including, without limitation, the Drug Free Workplace Act of 1988 and the Drug-Free Schools and Communities Act Amendments of 1989, the Coordinator shall be responsible for conducting a biennial review of the effectiveness of its educational programs regarding illegal drugs and the consistency of sanction enforcement. The Coordinator shall maintain the results of these reviews on file.
STUDENT
BODY
PRESIDENT
REPORT
SBP REPORT TO THE BOARD OF TRUSTEES

September 20, 2012

This summer Student Government was working hard to enhance and improve the NC State student experience by laying the foundation for what should be an exciting year. In this report you will find highlights of recent accomplishments and information for on-going projects.

STUDENT GOVERNMENT INITIATIVES AND PROJECTS

PACKAPALOOZA CELEBRATION – 125TH ANNIVERSARY EVENT
-On August 18th, Wolfpack Welcome Week concluded with a signature 125th Anniversary event called: Packapalooza.
-The concept of the event was pushed by student leaders to shift away from the traditional concert to instead have an event that would attract the community at-large. The traditional Wolfpack Welcome Week concert attendance is around 5,000 students.
-For the first time ever we shut down Hillsborough Street and had an all day street festival that included over 170 student organizations, two music stages, exciting project unveilings and 7 specific activity zones that provided entertainment for everyone.
-The event was a collaboration with the 125th Committee, Union Activities Board, Hillsborough Street Community Service Corporation and Student Government.
-The street was packed for the entire event from 2-10 pm and their was an estimated attendance of over 30,000.

PRIMETIME WITH THE PACK “CAMPOUT”
-On October 12th, Student Government and the Men’s Basketball team will be hosting the first ever Campout at the PNC Arena.
-What is traditionally an event that happens outside of Reynolds Coliseum in early January for students who want tickets to the State/UNC-Chapel Hill Basketball game is now being moved to October as a part of the new “Primetime with the Pack” event.
-Students will get to campout inside the PNC Arena on the different concourses and there will be many activities planned throughout the evening including: live music, free food and a chance to play on the PNC Arena court in the 3rd Annual Campout 3 v 3 basketball tournament.
-Students who campout receive a loyalty point incentive that helps with getting tickets to future games.
-Coach Gottfried will be the host of the event which kicks off with the unveiling of the men’s basketball team, that portion of the event is open to the general public.

North Carolina State University is a land-grant university and a constituent institution of The University of North Carolina.
FOCUS ON TRADITIONS
- 6,000 copies of The Brick, a book of history and traditions at NC State, distributed to new and transfer students.
- The Tradition Keeper Program is a Student Government partnership with the Alumni Association; a student who completes 40 of the 50+ traditions in The Brick will receive a medal to wear at graduation.
- 54 Things to Do at NC State deck of cards distributed to students for the third year in a row.

COACHES' CORNER MEMORIAL PLANS
- Student Government has focused on finishing the second phase of the Coaches’ Corner with statues of Coach Everett Case, Coach Norm Sloan, and Coach Jim Valvano. They will join the Kay Yow Memorial outside Reynolds.
- After the second phase was announced last year, an arts acquisition committee was formed with representation from the Athletics Department, Arts NC State, the College of Design, former basketball players, University Development and students to identify and select the artists for this project.
- In May, the committee announced that two artists had been selected: Benjamin Victor and Jon Hair. Benjamin will be sculpting both the statues of Coach Everett Case and Jim Valvano. Jon Hair, a North Carolina artist, will be sculpting a relief panel of Coach Norm Sloan.
- Student Government created a committee of students and faculty for the arts acquisitions process and the total estimated cost is $200,000 which is being funded through private donations.
- The project is due for completion and unveiling in the fall of 2013 and renderings have been made available to the public.

WEAR RED ON FRIDAY'S
- Every Friday Student Government gives out free stuff to students, faculty and staff wearing red on campus and those not wearing red may be given a spirit citation.
- This is a collaborative effort with University Communications, the Campus Bookstore, LRG and the Athletics Department.
- The goal is to grow school spirit each week and this year Student Government is hoping to push the effort off campus into the local and national communities.

WOLFSOCK CONCERT SERIES
- The new concert series on campus was created in a collaborative effort between Student Government, UAB, WKNC 88.1 and Arts NC State to better promote the many music and concert offerings on campus.
- The concert series consolidated all of the on-campus concerts to one centralized calendar for students to access and follow.

ATHLETICS INITIATIVES

BUSES TO ATLANTA FOOTBALL GAME VS UNIVERSITY OF TENNESSEE
- Thanks to a gift from the Wolfpack Club, Student Government will be providing 2 buses for students to go to the Atlanta Chick-fil-A kickoff game against the University of Tennessee on August 31st.
- Students who originally did not have access to transportation to the game can now attend on a direct down and back trip.
IMPROVEMENTS TO TICKETING SOFTWARE
-The student ticketing software was changed to better serve student needs for the upcoming season. The old software had many issues and with the new updates students will have an easier time accessing student ticketing information and requesting tickets.

STUDENT LIFE AND CAMPUS EVENTS

UNIVERSITY DINING CHANGES
-New commuter student meal plan options are now offered.
-Students who had classes in areas on campus that did not have any permanent dining options nearby now have options thanks to the new University Dining “Food Trucks” program. On a rotating calendar different food truck vendors come and serve students during lunch hours.
-Both Clark Dining Hall and Fountain Dining Hall made updates and have new furniture in the dining areas.
-Talley Student Center food options are now open late until 11 pm to better serve late night dining needs.

RESPECT THE PACK EVENT – 125th ANNIVERSARY EVENT
-The newest NC State tradition took place for the second time on August 15th. Student Government helped host the event in partnership with the GLBT Center, IRC, UAB, CSLEPS, OIED and the 125th anniversary committee.
-A marquee diversity event on campus, Respect the Pack is a Wolfpack Welcome Week event at the Free Expression tunnel celebrating the values of equality and mutual respect for all people in our diverse community.

TRANSPORTATION UPDATES AND NEW WOLFLINE ROUTES
-The Dan Allen Drive Gate Project, which is the placement of a gate underneath the railroad tracks on Dan Allen Drive, limiting the North-South flow of traffic from Western Boulevard and Hillsborough Street is currently on hold.
-When installed the gates will be down from 9-5 pm during the week.
-There were many Wolflines Route changes this summer but two are especially important to student life. Cameron Village has now been added as a stop on Route 2 which will make one stop in Cameron Village every 18 minutes between 10 a.m. and 10 p.m. Monday through Friday.
-The WOLFPROWL Evening Downtown Route was also upgraded significantly for weekend service. Student Government and University Transportation wants students to enjoy the proximity and connection to downtown Raleigh and the many great things it has to offer. This route will now serve new locations including Wolf Village, Fraternity Court, a new stop in front of and across from the IHOP on Hillsborough Street. Students will hopefully take advantage of this new bus route to get them to and from downtown safely.
COMMUNICATIONS PROJECTS

CURRENT STUDENTS WEBPAGE
- University Communications web development team and Student Government have been developing a one stop shop webpage for all students.
- The webpage will include quick links, a centralized calendar for student organizations, student relevant news stories and host blogs from the Student Body President and Dr. Mike Mullen, the Vice Chancellor and Dean of Academic and Student Affairs.

SOCIAL MEDIA
- Communication through Student Government Facebook and Twitter sites.
- “Wolfpack Students” Facebook group.
- Communication through Student Body President’s Twitter Account.

STUDENT BODY PRESIDENT BLOGS
- Weekly blogging through “Student Voices” on ncsu.edu website.

STUDENT FEEDBACK

REPRESENTING STUDENTS
- Staying true to our mission, Student Government has provided the student voice in a variety of important negotiations throughout the summer including the Talley Student Center project.
- A group that included students was sent to Chicago in June to the NeoCon furniture expo to help select final pieces for the new Talley Student Center.
- All University Standing Committee student appointments have been filled.

Thank you for your time.

In the spirit and pride of the Wolfpack,

Robert A. “Andy” Walsh
Student Body President, 2012-2013

slbp@ncsu.edu
919-271-3022 [C]
919-513-4002 [O]

350 Harrelson Hall “The Satellite Student Center”
Campus Box 7306
Raleigh, NC 27695-7318
The Faculty Senate begins the year with many new members and an open agenda.

Several concerns remain from last year, including the ban on any work, even voluntary, by retired faculty, a rule which can place a burden on graduate students. New concerns of the moment are large and small – wildlife safety on Centennial Campus as traffic there increases, the merits of a non-stop shuttle between the Hunt and Hill libraries, the status of academic support for student athletes, a call for an ombudsman at NCState, and the need for a better website and communication strategies for faculty governance.

At their first meeting the Senators selected from a list the issues of greatest interest to them as a focus of discussion. Distance Education was the topic most selected, reflecting the rapid and expansive proliferation of programs and a sense of the difficulty of grasping them all, academically and professionally. Our next two meetings will feature conversations with the new Director of Academic and Student Affairs, Mike Mullen, and two weeks later with Louis Hunt, university registrar, who will talk with us about enrollment trends, coordination of academic metrics among the UNC institutions and much else.

Summer saw activity as well for the Faculty Assembly (of UNC schools' faculty governments). In July, the Executive Committee of the Assembly met for a 2 day retreat at ECU, where we stayed in a dormitory and were royally fed. System President Ross and Vice-President Ortega also attended parts of the retreat, and insisted on staying in the dorms. Three major topics of interest came out of the deliberations: faculty well-being in
its broad sense; articulation of standards for students; and international programs. The question of articulation, in particular, is of concern this year at State. Do our student athletes get an education that is of value to them? Are the transfer students arriving at the university ready to thrive in our academic majors? Can foreign students show the general skills, especially language skills, needed to function in the classroom? The Faculty Assembly has a new chair with an ambitious direction. (If only its meetings didn’t conflict with the Board of Trustees so often!)

Since the last meeting of this Board a number of difficult situations have brought prominent universities into the news. The events at Virginia, Penn State, and Chapel Hill are quite different, but they remind us that the unexpected can and will happen, that athletics must play a subsidiary role, and above all that open lines of communication are essential to recovery from challenging events. In all these cases, the role of the Faculty Senate changed quickly, as they found themselves a major voice in the universities. The trustees found themselves in a similar position. I believe it is important that trustees and faculty begin to forge a closer relationship, so that when the unforeseen occurs we are without communication and understanding.

Respectfully submitted,

Hans D. Kellner
Professor of English
Chair of the NC State Faculty
August 29, 2012

Honorable Trustees:

The NC State Staff Senate is proud to take part in celebrating NC State’s 125th anniversary. This year we will follow the anniversary theme “Tradition and Transformation” by providing programs and services to all staff as a means to aid in educating members of our campus community on the impact NC State has had across our state and the plans to continue in transforming our world. We began our journey during our Staff Senate retreat as we heard from retired English professor, and author, Jim Clark on the history of the land grant university and how our roots were established. This was followed by Alice Warren’s presentation on Jane S. McKimmon, her work throughout NC and how her legacy lives on today. Dr. Jose Picart shared his views on how an emphasis on diversity has helped transform NC State and continues to do so. Melanie Rivera gave us a quick history tour of Centennial Campus and shared plans for future growth on that campus. We essentially participated in time travel from 1862 – 2020 in a matter of four hours. Amazing what can be accomplished at NC State!

Our new senators are eager to begin work in their committees. Committees have met and prepared plans for a multitude of activities which include, but are not limited to, campus-wide “Lunch and Learn” sessions dealing with a variety of human resource topics, efficient uses of campus resources and enhancing the campus environment, and working with the Office of Equity and Inclusion on special events and activities celebrating the varying cultures that comprise our University.

It is my pleasure to serve as Chair of this year’s NC State Staff Senate. My hope is that the work we do throughout the year will have a positive and lasting impact on the lives of all our staff, which will have a direct impact on the lives of our students, faculty and community members served throughout the state in our county extension offices and research stations. I look forward to sharing the results of our work with you at future meetings.

Respectfully submitted,

Susan Colby
Staff Senate 2012-13
NC State University