THURSDAY, April 18, 2013

8:00 – 8:30 a.m.  Nominating Committee
Chancellor’s Conference Room, Holladay Hall
(Ward, Mattocks, Sall)

8:45 – 10:45 a.m.  Trustee Self-Assessment
Winslow Hall Conference Room
(All Trustees, Executive Officers)

1:00 – 2:30 p.m.  Audit, Finance and Planning Committee
Winslow Hall Conference Room
Jim Owens, Chair; Davenport, Lanier, Sall, Tolson, Walsh

1:00 – 2:30 p.m.  University Advancement Committee
Chancellor’s Conference Room (12), Holladay Hall
Ben Jenkins, Chair; Clark, Cozort, Mattocks, Ramsey, Ward

2:30 – 2:45 p.m.  Break

2:45 – 4:15 p.m.  Buildings and Property Committee
Primrose Hall Conference Room
Gayle Lanier, Chair; Clark, Cozort, Davenport, Ramsey, Sall

2:45 – 4:15 p.m.  University Affairs Committee
Winslow Hall Conference Room
Norris Tolson, Chair; Jenkins, Mattocks, Owens, Walsh, Ward
BOARD OF TRUSTEES  
NORTH CAROLINA STATE UNIVERSITY  
FRIDAY, APRIL 19, 2013  
PARK ALUMNI CENTER

AGENDA

FRIDAY, APRIL 19, 2013

8:30 - 9:15 a.m.  ■ Dean’s Briefing: Paul Lunn, College of Veterinary Medicine  
                    Hood Board Room

FULL BOARD MEETING, HOOD BOARD ROOM, PARK ALUMNI CENTER

9:00 a.m.  ■ Call to Order and Ethics Statement  
            Barbara Mulkey, Chair of the Board

■ Roll Call

■ Approval of Minutes  TAB 1
  ✔ — February 22, 2013 Meeting of the Full Board
  ✔ — February 22, 2013 Closed Session of Full Board

■ Chair’s Report  TAB 2
  Chair Mulkey
  ✔ — Endowment Board Reappointments
  ✔ — Centennial Authority Appointments

■ Chancellor’s Report  TAB 3
  Randy Woodson

COMMITTEE REPORTS:

■ Audit, Finance and Planning Committee  TAB 4
  Jim Owens, Chair
  On the Agenda: Committee Approvals and Informational
  — Approval of Internal Audit Plan for Fiscal Year 2014
  ✔ — Revision of POL 10.00.02 – Research Policy
  — Informational Reports including:
    — Internal Audit Update
    — Budget/Legislative Update
    — University Debt Update
    — Review of Associated Entities
    — IT Security Update

✔ Requires Full Board Approval
Gayle Lanier, Chair

On the Agenda: Board, Committee Approvals; Informational Reports

— Property Matters
  — Disposition by Deed: ±80 acres at Trenton and Trinity Roads, Raleigh, NC by means of a land exchange of equal or greater value of multiple parcels to be identified by NC State as highly strategic properties to be acquired by Reedy Creek Investments, LLC for the exchange
  — Acquisition by Lease: ± 17,000 square feet (sf) on Centennial Campus for DELTA for a term of up to 5 years at a rate to be negotiated but not to exceed $33.31/sf full service rent or a total initial rent of $560,000 annually
  — Acquisition by Lease: ± 22,500 sf from Capital Associates in the proposed CBC Flex Laboratory Building on the Centennial Biomedical Campus for use by the College of Veterinary Medicine for interdisciplinary research including additional colleges. The lease would be for a term of 10 years
  — Disposition by Severance: Four modular office units located at 1509 Varsity Drive measuring ±3,450 sf in total. The units were constructed in 1982 and obtained from the USDA. The Units are non-code compliant and are no longer suitable for their intended use. The University will arrange for their demolition

— Designer Selections
  — Reynolds Coliseum Renovation (Central Campus)
  — Main Campus and Trailwood Drives Gateway (Centennial Campus)
  — Approval of Designer Selections Less than $500,000

— Acceptance of Completed Buildings and Projects
  — Proposed Revision to Policy 7.60.1 Parking and Transportation Ordinances 2013-2014

— Physical Master Plan
— Plan Review and Approval
— Informational Reports
  — Centennial Campus Update
  — Capital Projects Update
  — Status of Projects in Planning
  — Sustainability Program Update

— Closed Session

Requires Full Board Approval
University Advancement Committee  
Ben Jenkins, Chair  
On the Agenda: Board, Committee Approvals; Informational Reports  
— Informational Reports including: Fund-Raising and Annual Giving Reports and Capital Projects  
— Communications Update and Discussion  
— Campaign Planning Update  
— Closed Session  

University Affairs Committee  
Norris Tolson, Chair  
On the Agenda: Board, Committee Approvals; Informational Items and Closed Session  
— Residency for Full Scholarship Undergraduate Students  
— Students Requiring Special Consideration  
— Consideration of Approval of the Proposed Salary Ranges for Senior Academic and Administrative Officers (SAAO)  
— Proposed Revision to Policy 09.00.02: Drug Education, Screening and Counseling Program  
— Department of Athletics Proposed Women’s Basketball Staff Bonus Structure  
— Faculty Senate Update: Report from Chair of the Faculty, Hans Kellner  
— Staff Senate Report: Report from Staff Senate Chair, Susan Colby  
— Provost’s Update, including strategic plan metric goals; College of Sciences Implementation; Chancellor’s Faculty Excellence Program; New Leadership Positions; and Nepotism Report  
— Closed Session:  
— Personnel Matters and Honorary Awards  

Nominating Committee  
Susan Ward, Chair  
On the Agenda: Committee approvals  
— Nomination of a Slate of Officers for 2013-2014  

Chair of the Board of Visitors Report  
Chuck Flink, Chair of Board of Visitors  

Student Government Report  
Andy Walsh, Student Body President  

Requires Full Board Approval
- Presentation of Walter Hines Page Award
  Barbara Mulkey, Chair
  Randy Woodson, Chancellor

- Items of Interest to Members of the Board

- CLOSED SESSION

- Reconvene in OPEN SESSION for Any Additional Items to Come Before the Board

11:00 a.m. * Adjourn

*Ending time is approximate

Requires Full Board Approval
NC State University Board of Trustees
Self-Assessment
April 18, 2013
Winslow Hall Conference Room

8:15 AM  Continental breakfast available

8:45 AM  Discussion with the Board centered on the Characteristics of high performance among Trustees
  • Characteristics of “High performing” boards
  • Trustees review their self-assessment questionnaire in light of these features

(Participants:  Board of Trustees, Chancellor, Executive Officers)

9:45 AM  Group discussion of communication process among Board and Executive Officers, (including agenda setting, follow up to Board decisions and similar topics)

(Participants:  Board of Trustees, Chancellor, Executive Officers)

10:15 AM  Trustees share with the Chancellor their views on how his working relationship with them can be strengthened, and he shares with them what he needs from the Board in order to continue to provide effective leadership for NC State University

(Participants:  Board of Trustees, Chancellor, Executive Officers)

10:45  Self-Assessment Concludes
NC State University
2013 Board of Trustees Self-Assessment Survey
Report

Research Methods
Purpose
UNC Board of Governors policy requires that each Board of Trustees (BOT) conduct a review of its current organization and effectiveness every four years. Results from the 2013 BOT Self-Assessment Survey, in conjunction with further discussion of summary results by the NC State BOT under the guidance of UNC-Charlotte Chancellor Emeritus James Woodward, formed the basis of the required review.

Instrument Development
The questionnaire was originally developed for the 2009 review, which was modeled after those used at other universities and a mutual fund board, with input from Dr. James Woodward, Dr. Nancy Whelchel (University Planning and Analysis), and PJ Teal (Assistant to the Chancellor). The 2013 review used the same questionnaire with a section added that deals with mission and planning. The questionnaire focuses on overall assessment of the Board and a self-assessment of the trustees themselves. The survey included two open-ended questions for trustees to comment in their own words about their experiences on the Board and suggestions for improvements.

Survey Population
The survey population consisted of all current members of the NC State Board of Trustees (N=13). (See Appendix A)

Administration
The 2013 BOT Survey was administered online by NC State's University Planning and Analysis office, with an option to complete a paper survey if necessary. PJ Teal sent Trustees a pre-notification email about the upcoming survey on February 20. Trustees were sent an email announcement from Chair Mulkey with the survey URL when the survey went live on February 27, and follow-up reminder emails on March 7 and March 13. (See Appendix B) The survey closed at noon on March 15.

To control access, each board member was assigned a unique ID to log on to the survey. IDs were not attached to individual survey records.

Results
Survey responses were received from all 13 board members. Twelve trustees completed the online survey and one submitted a paper copy. Overall results are provided in Appendix C. This "annotated questionnaire" provides exact wording for each survey question and corresponding response options, along with the frequency (number) of trustees giving each response. (Due to the small number of respondents, it is more meaningful to present frequencies rather than the percentage of trustees giving each response.) Verbatim comments from open-end questions on the survey are presented in Appendix D.
Appendix A: 2012-2013 NC State University Board of Trustees Members

Barbara Mulkey (Chair)
Jimmy D. Clark
Jack L. Cozort (Secretary)
S. Lawrence Davenport
Benjamin P. Jenkins III (Second Vice Chair)
Gayle S. Lanier
Bob L. Mattocks, II
James W. Owens
Randall C. Ramsey
John Sall
E. Norris Tolson (First Vice Chair)
Susan Ward
Robert A. Walsh
Appendix B: Survey Announcements

1) Pre-notification email (February 20, 2013)

From: PJ Teal
Subject: Trustee Self Assessment

Trustees: Just a reminder that you will receive TWO surveys this week: 1) Vice Chancellor Nevin Kessler's five-year comprehensive review assessment, and 2) your BOT self-assessment survey. Because of the lead time necessary for each, the timing was unfortunate in that they both need to be sent the same week.

Both surveys are very important. Thank you in advance for taking the time to complete two surveys within a narrow window of time.

PJ
2) Announcement email (March 11, 2013)

From: Barbara H. Mulkey  
Subject: Trustee Self-Assessment

Dear Colleagues,

Consistent with Board of Governors policy, I have asked that we commit ourselves to conduct a review of the Board’s current organization and effectiveness. An overview of responses from a brief questionnaire will help produce a composite picture of how we perceive our effectiveness. This will provide a basis for the discussion Friday morning, April 19, 2012 [sic]. Chancellor Emeritus James Woodward (UNC-Charlotte) has agreed to facilitate our discussion.

The survey, which should take less than 20 minutes to complete, is available online now until noon on Friday March 15 at

URL

When you go to the URL above you will be prompted for your ID:

ID: "LOGINID"

You have been randomly assigned this unique ID to protect your identity and enable you to access the survey on a secure web server. This ID will also allow us to check your name off the list when your survey is submitted. The ID will not in any way be connected to your survey responses. Individual responses will be anonymous and summarized results will be confidential to the Trustees, the Chancellor, and Executive Officers.

A summary of the responses will be sent to you in advance of our April 19 meeting [sic]. It is my hope that this process will provide an opportunity for the Board to carefully consider how we can continue and improve upon a tradition of excellent service to NC State. Thank you in advance for your prompt response.

If you have any questions about the survey or problems accessing it on the web, please call Dr. Nancy Whelchel at NC State’s University Planning and Analysis office at (919) 515-4184 or email nancy_whelchel@ncsu.edu.

Sincerely,

Barbara H. Mulkey
Chair

---

1 The date provided in the email was an error. The correct date for the discussion is April 18.
3) First email reminder (March 7, 2013)

From: PJ Teal
Subject: Reminder: BOT Self-Assessment

Dear All:
I am writing to remind you that about a week ago you were sent an email from Chair Mulkey inviting you to participate in a review of the Board's organization and effectiveness.

If you have already completed the survey, thank you! If not, I encourage you to do so now. Your participation is completely voluntary, but the results will be more meaningful and useful if each of you completes the survey and gives your candid assessments. A summary of the responses will be sent to you in advance of our April 18 meeting. Chancellor Emeritus James Woodward (UNC-Charlotte) has agreed to facilitate our discussion of the results at that meeting.

The online survey, which should take less than 20 minutes to complete, is available until noon on Friday, March 15, 2013 at URL

You will need the following ID to access your survey: PASSWORD

The URL above has been created just for you - - please do not forward this email to others. The survey administration process allows University Planning and Analysis to administer the survey on a secure server, assures that only those who are eligible to complete a survey do so, prevents individuals from submitting more than one survey, and allows UPA to send reminders only to non-respondents.

I assure you that no personally identifying information (e.g., your email address, the password above) is connected with the record of your responses to the survey. Results from the survey will only be reported in the aggregate.

If you have any questions about the survey or review process, please contact me at pj_teal@ncsu.edu or 919.515.2191. If you have trouble accessing or completing the online survey, or would like a more detailed explanation of security measures taken to protect your identity, please contact Dr. Nancy Whelchel at nancy_whelchel@ncsu.edu or 919.515.4184.

On behalf of Chancellor Woodson and Chair Mulkey, thank you for your participation in this important process.

Sincerely,
PJ Teal
Secretary of the University and Assistant to the Chancellor
4) Second email reminder (March 13, 2013)

From: Nancy Whelchel
Subject: Final Reminder: BOT Self-Assessment

Dear All:
I am responsible for administering the Board of Trustees Self-Assessment Survey. The deadline for completing it is this Friday, March 15, at noon, so I'm sending you one last reminder. The survey software we use to administer the survey and send email messages about it has identified you as someone who had not completed the survey as of about 9:15 this morning, March 13. If you HAVE completed the BOT survey (and remember, this is different from the one you were recently been asked to do for University Advancement's Vice Chancellor Kessler), please get in touch with me as soon as possible so we can identify and fix any problem.

If you have not yet completed the BOT Self-Assessment Survey, I encourage you to please take just 15 minutes to do so before the deadline on Friday. It is very important that all Trustees share their opinions and experiences in the survey so that Chancellor Emeritus Woodward has the most complete feedback possible to guide the discussion at your April 18 meeting.

The survey is available online

URL

You will need the following ID to access your survey: PASSWORD

Let me assure you that no personally identifying information (e.g., your email address, the password above) is connected with the actual record of your responses to the survey. While I can, via the survey software, identify who has and has not yet completed the survey (and please note that I do not share even that information with others), I cannot connect a person with his/her responses.

If you have any questions about the survey or review process, please contact PJ Teal at pj_teal@ncsu.edu or 919.515.2191. If you have trouble accessing or completing the online survey, or would like a more detailed explanation of security measures taken to protect your identity, please contact me at nancy_whelchel@ncsu.edu or 919.515.4184.

On behalf of Chancellor Woodson and Chair Mulkey, thank you for your participation in this important process.

Sincerely,
Nancy Whelchel, Ph.D.
Associate Director for Survey Research
Appendix C: Annotated Questionnaire

NC State Board of Trustees
2013 Self-Assessment Survey

Section A: Institutional Mission and Planning

<table>
<thead>
<tr>
<th></th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don’t Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The mission statement, as formally approved by the UNC Board</td>
<td>8</td>
<td>5</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>of Governors, provides a clear and useful guide to the trustees</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and the administration.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. NC State plans and operates in concert with the stated</td>
<td>12</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>mission.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. NC State has an effective and successful process for</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>institutional planning.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. The planning process results in clear institutional goals</td>
<td>9</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>and priorities.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. NC State is successfully pursuing its defined institutional</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>goals.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. The periodic program review process appropriately</td>
<td>9</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>13</td>
</tr>
<tr>
<td>incorporates the institutional priorities.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Section B: Organization of the Board

<table>
<thead>
<tr>
<th>Statement</th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don't Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The Board has an adequate number of standing committees.</td>
<td>9</td>
<td>3</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>2. The composition of the committees is appropriate.</td>
<td>9</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>3. The scope of each committee’s charge is appropriate.</td>
<td>9</td>
<td>3</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>4. The information flow from each committee is appropriate.</td>
<td>5</td>
<td>4</td>
<td>2</td>
<td>2</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>5. The Trustees have the experience and expertise to carry out their duties.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>6. The Board policies and practices provide sufficient opportunity for rotating membership within committees and for rotating leadership positions.</td>
<td>6</td>
<td>3</td>
<td>3</td>
<td>0</td>
<td>1</td>
<td>13</td>
</tr>
</tbody>
</table>
### Section C: Meeting Organization and Operation

<table>
<thead>
<tr>
<th></th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don't Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The Board meets with sufficient</td>
<td>8</td>
<td>3</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>frequency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. The length of each Board meeting</td>
<td>5</td>
<td>5</td>
<td>2</td>
<td>1</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>is adequate.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. The process used to set the</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>12</td>
</tr>
<tr>
<td>agenda is satisfactory.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. The Board meeting time is</td>
<td>6</td>
<td>4</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>appropriately allocated among agenda</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>items and between administration</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>presentations and Board discussion.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. The Board materials are adequate</td>
<td>8</td>
<td>1</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>12</td>
</tr>
<tr>
<td>to permit the Trustees to understand</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and act on agenda items.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. The Board materials are</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>distributed sufficiently in advance of</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a meeting to permit Trustees time to</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>prepare.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Open communications and</td>
<td>3</td>
<td>7</td>
<td>2</td>
<td>1</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>meaningful discussions by Trustees and</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>administration are encouraged at Board</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>meetings.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. The minutes of the meetings</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>accurately reflect the deliberations</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and decisions of the Board.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. The Board is accessible to the</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>administration between formal meetings.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Section D: Orientation and Education

<table>
<thead>
<tr>
<th></th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don’t Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The Trustees receive an orientation that properly prepares them for their responsibilities.</td>
<td>7</td>
<td>5</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>2. There is an adequate process in place to keep Trustees abreast of major issues that affect, or potentially affect, the ability of NC State to carry out its mission.</td>
<td>6</td>
<td>6</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>13</td>
</tr>
</tbody>
</table>

### Section E: Board Effectiveness

<table>
<thead>
<tr>
<th></th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don’t Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The Board works to ensure that its decisions reflect the long-term interests of the public.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>2. The Board is provided an adequate opportunity to understand and influence the administration’s long-term goals for the institution and the strategies for pursuing those goals.</td>
<td>5</td>
<td>7</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>3. The Trustees understand and support the difference between their policymaking and oversight role and the administration’s operating role.</td>
<td>8</td>
<td>4</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>4. The Board works well as a team.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
</tbody>
</table>
### Section F: Individual Trustee Self-Assessment

<table>
<thead>
<tr>
<th>Statement</th>
<th>Agree Strongly</th>
<th>Agree Somewhat</th>
<th>Disagree Somewhat</th>
<th>Disagree Strongly</th>
<th>NA / Don’t Know</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. I understand the mission of NC State.</td>
<td>13</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>2. I understand the principal challenges confronting the institution as it pursues its mission.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>3. I keep abreast of principal higher education trends and issues, and public policy developments.</td>
<td>6</td>
<td>7</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>4. I understand my responsibilities as a Trustee.</td>
<td>12</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>5. I attend Board meetings on a regular basis.</td>
<td>13</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>6. I am well prepared for Board meetings.</td>
<td>13</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>7. I actively participate in Board meetings.</td>
<td>12</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>8. I work well with other Trustees.</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>9. I am accessible to address matters that may need my attention between meetings.</td>
<td>12</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>10. I take advantage of opportunities to meet and talk with trustees and representatives from other institutions.</td>
<td>9</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>11. I take advantage of opportunities to promote NC State to state policy makers.</td>
<td>12</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>12. I take advantage of opportunities to promote NC State to other opinion makers and possible supporters.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>Section F: Individual Trustee Self-Assessment (continued)</td>
<td>Agree Strongly</td>
<td>Agree Somewhat</td>
<td>Disagree Somewhat</td>
<td>Disagree Strongly</td>
<td>NA / Don’t Know</td>
<td>Total</td>
</tr>
<tr>
<td>------------------------------------------------------------</td>
<td>----------------</td>
<td>----------------</td>
<td>-------------------</td>
<td>------------------</td>
<td>----------------</td>
<td>-------</td>
</tr>
<tr>
<td>13. I am sensitive to the interests and concerns of students, faculty, staff, and alumni.</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>14. I inform the administration of any important interaction I might have with internal groups and individuals.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>15. I know the institution's key administrators and key student, faculty, staff, and alumni leadership.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>16. I am aware of the scope and quality of NC State's educational, research, and service programs.</td>
<td>11</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>17. I understand the physical facilities needs of NC State.</td>
<td>10</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>18. I consider myself to be an effective trustee.</td>
<td>12</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>13</td>
</tr>
</tbody>
</table>

19. I have introduced a person or organization with financial means to the University, and assisted the University in developing an engaged relationship with the person or organization.

<table>
<thead>
<tr>
<th>N</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>11</td>
</tr>
<tr>
<td>No</td>
<td>2</td>
</tr>
<tr>
<td>Total</td>
<td>13</td>
</tr>
</tbody>
</table>
Section G: Individual Trustee Information

1. For how many years have you served as an NC State University Trustee?

<table>
<thead>
<tr>
<th></th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>More than four years</td>
<td>5</td>
</tr>
<tr>
<td>Four years of less</td>
<td>8</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>13</td>
</tr>
</tbody>
</table>

2. From the following list, please indicate your strongest areas of expertise based on your background and personal experience, and your primary areas of interest. (mark all that apply)

<table>
<thead>
<tr>
<th></th>
<th>Primary Areas of Expertise</th>
<th>Primary Areas of Interest</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Budget / Finance</td>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>b. Investments</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>c. Management</td>
<td>9</td>
<td>6</td>
</tr>
<tr>
<td>d. Planning</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>e. Legal affairs</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>f. Plant management</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>g. Real estate</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>h. Insurance risk management</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>i. Student affairs</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>j. Faculty affairs</td>
<td>1</td>
<td>5</td>
</tr>
<tr>
<td>k. Fund-raising</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>l. Public relations</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>m. Marketing</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>n. Government relations</td>
<td>5</td>
<td>6</td>
</tr>
<tr>
<td>o. Education</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>p. Health policies</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>q. Other (please specify)</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>r. Other (please specify)</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Interaction/other universities
3. Other than Board meetings, how many campus events have you attended within the past year?

<table>
<thead>
<tr>
<th></th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>0</td>
</tr>
<tr>
<td>One or two</td>
<td>0</td>
</tr>
<tr>
<td>Three to five</td>
<td>1</td>
</tr>
<tr>
<td>Six to ten</td>
<td>1</td>
</tr>
<tr>
<td>More than 10</td>
<td>11</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>13</td>
</tr>
</tbody>
</table>

4. How often do you read campus news publications such as the Technician, etc.?

<table>
<thead>
<tr>
<th></th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regularly</td>
<td>5</td>
</tr>
<tr>
<td>Often</td>
<td>6</td>
</tr>
<tr>
<td>Sometimes</td>
<td>2</td>
</tr>
<tr>
<td>Seldom</td>
<td>0</td>
</tr>
<tr>
<td>Never</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>13</td>
</tr>
</tbody>
</table>

5. How often do you receive reports from the leaders of faculty or student organizations?

<table>
<thead>
<tr>
<th></th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regularly</td>
<td>5</td>
</tr>
<tr>
<td>Often</td>
<td>5</td>
</tr>
<tr>
<td>Sometimes</td>
<td>2</td>
</tr>
<tr>
<td>Seldom</td>
<td>1</td>
</tr>
<tr>
<td>Never</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>13</td>
</tr>
</tbody>
</table>

6. I have made a personal financial commitment to the Achieve Campaign during my service on the Board of Trustees.

<table>
<thead>
<tr>
<th></th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>10</td>
</tr>
<tr>
<td>No</td>
<td>3</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>13</td>
</tr>
</tbody>
</table>
Appendix D: Verbatim Open-End Comments

NC State Board of Trustees
2013 Self-Assessment Survey

Section H: Conclusions

1. How can your service as a Trustee be made more effective and satisfying?

Become more comfortable with meeting with potential donors to help grow our endowment.

During my time on the board I have sometimes felt less engaged than I feel we should be. I recognize the board has specific authority granted by the BOG but believe we should be more engaged in the decisions that will shape the Universities future. At times I have the feeling the administration doesn’t want to bother the trustees on an issue, but if we are willing to serve we should always want to be engaged. I recognize it is up to an individual trustee to be involved and that should be encouraged. One way I feel the trustees could be more involved would be to have an all day meeting on Thursday so trustees would have the opportunity to attend all committee meetings whether they are on the committee or not. If they were given the opportunity to attend and speak (even though they couldn’t vote) it would allow them to have a better understanding of all that is taking place on campus.

Continued strong communication with staff

More time for open discussion in addition to formal meetings.

INERACT WITH OTHER TRUSTEES. NEED TO SHARE OPINIONS WITH ALL TRUSTEES. BE INVOLVED IN DECISION-MAKING- NOT JUST INFORMED [AM AWARE OF LIMITED AUTHORITY] ADMIN IS USUALLY GOOD AT INFORMING TRUSTEES PRIOR TO PRESS RELEASES, BUT THERE HAVE BEEN Instances WHEN SOMEONE ELSE INFORMED ME PRIOR TO BEING CONTACTED BY UNIVERSITY. "FIRE SIDE " CHAT WITH CHANCELLOR AND TRUSTEES, WHERE PROPER. ALSO NEED PLATFORM TO NETWORK WITH POLITICAL NC LEADERS. HEAR THEM BUT ALSO EDUCATE THEM ABOUT THE WONDERFUL WORLD OF NCSU.

The administration needs to make all trustees feel they are truly a part of the Board.

I have enjoyed my experience as a Trustee -- reconnecting with NCS after many years away from the campus. I would like to bring more "best practices" from the corporate world to the NCS BOT -- notably, restructure the Audit, Finance, and Planning Committee to focus more on internal Audit and institutional risk management. Also, I am ever convinced that the BOTs need a Compensation Committee to effectively understand how our leadership compensation stacks up competitively -- we need to position ourselves to effectively advocate for compensation that allows us to attract, incentivize excellence, and retain top talent. Obviously this needs to be done with sensitivity to the President of the UNC system and BOGs.
I need to take initiative beyond the presented materials to learn more about upcoming issues in preparation for board meetings. There are so many issues that deserve more in depth study. Those who prepare the agenda and background materials do an excellent job, but there is need at times to go beyond those materials. I would be a better trustee if I picked up the phone, called the proper person, and asked a few questions.

I believe we have a great Board and the trustees we have that compose the Board are excellent but I like many others would like to see how we can maximize/understand the authority we have over our campus and how we can work closely with the BOG to keep lines of communications open between both boards. Also, I think it would be beneficial in more meetings to identify more of our weaknesses and how we can help as Trustees to address those as we move forward as a University. Sometimes it feels in the information dump we could get more information regarding the challenges on the horizon and can help advise the Chancellor and his team to make the best vision for our future.

We could discuss more important issues in closed sessions if it were not for the open meetings law.
2. Please use this space to share any additional comments and suggestions about NC State and about your service as a Trustee.

Let's continue to grow Centennial Campus and the focus on Engineering there. Centennial Campus is a differentiator for NC State and we must not get behind. We need to keep the pressure on to complete the Engineering buildings, get them named, and grow our research.

During my time on the board I have been encouraged by all NC State has been able to accomplish and am pleased with the leadership team. It is obvious the University is making strides in national rankings and is being recognized for the accomplishments. For NC State to continue to prosper I feel that the BOG should grant more authority to the boards at each University and allow them to have more flexibility to manage the affairs of the University. An example of this would be retention of faculty and staff.

Great institution - very proud of my university

Staff is excellent at preparing the Trustees for meetings.

VERY SATISFYING CONTINUE TO BE "AMAZED" AT CUTTING EDGE ACTIVITIES AT NCSU. FRI AM PRESENTATIONS ARE VERY INFORMATIVE AND IMPRESSIVE. IT HAS BEEN EXCITING TO SEE NCSU IMPROVE AND EXCEL TOWARD BEING A WORLD LEADER UNIVERSITY. WOULD BE INFORMATIVE TO HAVE MAJOR SPORTS COACHES MEET WITH BD. SAD TO SEE FINANCIAL RESTRICTIONS LIMIT ENROLLMENT. GOOD STUDENTS WHO REALLY WANT TO ATTEND NCSU CAN'T MAKE THE CUT. GREAT FACULTY, STAFF AND STUDENTS. APPRECIATE THE DEDICATION OF STAFF TO ACCOMMODATE TRUSTEES. SUGGEST MEETING WITH LEADERS OF HOUSE AND SENATE TO EXCHANGE THOUGHTS

I'm very encouraged by the current leadership of our University and the long term strategy which has been developed and widely communicated -- our University is well positioned to improve it's leadership position among American Universities and make even greater contributions to economic development in the State. Continuing to attract and retain great leadership is a key to success!

Albeit time consuming it has been a very meaningful experience and I have enjoyed it immensely.

I answered "no" to statement No.6 of the Individual Trustee section because, although I made a personal financial commitment to the Achieve campaign, it was before my service on the board.

It has been an immensely gratifying experience for me. The University is on its way to true greatness, and I have been pleased to play a small part in that process. To improve, I would like to see the meetings last longer and formatted so that every trustee can attend every committee meeting. The committee meetings are where the real issues are discussed and decided.

I have thoroughly enjoyed my time as a Trustee at NC State because we have such a special institution. I can tell NC State has great things in store on the horizon and can't wait to witness them, especially under the fabulous leadership of Chancellor Woodson and his staff. Go Pack!
I am firmly committed to supporting NC State University, especially in its mission to support the economic growth of North Carolina, as well as helping create an educated state conducive to business expansion here.
The North Carolina State University Board of Trustees met in regular session in the Hood Board Room of the Dorothy and Roy Park Alumni Center on Centennial Campus, Raleigh, NC, on Friday, February 22, 2013. Chair Barbara Mulkey presided.

Members present:  Barbara H. Mulkey, Chair  
Jimmy D. Clark  
Jack L. Cozort  
Lawrence Davenport  
Benjamin P. Jenkins III  
Gayle S. Lanier  
Robert L. Mattocks II  
James W. Owens  
Randall C. Ramsey  
E. Norris Tolson  
Susan P. Ward  
Andy Walsh, ex officio

Chair Mulkey called the meeting to order at 9:25 a.m. She reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearance of conflict with respect to any matters coming before the board at this meeting. Gayle Lanier recused herself from voting on an item on the Buildings and Property Committee agenda, and Bob Mattocks recused himself from voting on another matter. There being no other conflicts, the Chair called on Assistant Secretary PJ Teal for the roll call.

ROLL CALL
Assistant Secretary PJ Teal called roll and certified that a quorum was present.

MINUTES
Mr. Tolson made the motion, seconded by Ms. Ward, to approve the open and closed session minutes of the January 24, 2013, meeting of the full board and the minutes of the January 24, 2013, joint meeting of the NC State University and UNC-Chapel Hill Boards of Trustees. The motion carried.

CHAIR’S REPORT
Chair Mulkey spoke briefly about the January joint meeting of the NC State and UNC-Chapel Hill Boards of Trustees. The current collaborations as well as the potential to grow our partnerships are impressive. The self-assessment pre-retreat was a valuable opportunity to reflect on the purpose and function of the board. The self-assessment on-line survey will be sent to all Trustees on February 27. The survey is confidential and will close on March 15. A discussion of
the survey results will take place on April 18, and Chancellor Emeritus Jim Woodward will facilitate the assessment. The survey results will then be forwarded to President Ross and followed by a meeting with President Ross, Chancellor Woodson and Chair Mulkey.

Chair Mulkey reported on upcoming events. Founders’ Day and the 125th anniversary conclusion will be held on March 4 at the McKimmon Center. 2013 Watauga Medal recipients are Tom Cabaniss, Tom Kenan and Bob Kennel. May Commencement is on Saturday, May 11, and Phil Freelon is the Commencement speaker. Honorary degrees will be given to Indra Nooyi, CEO of PepsiCo, Inc., and Michael Wingfield, a world-renowned scientist in the fields of forest science, forest pathology and pest management.

Chair Mulkey stated that the Board of Trustees’ Bylaws give the nominating committee responsibility for “presenting a slate of nominees to the board at its last meeting before the beginning of each fiscal year. The slate of nominees shall contain at least one nominee for each of the offices of Chair, First Vice Chair, Second Vice Chair and Secretary.” She appointed the 2013 nominating committee as follows: Susan Ward, Chair; Bob Mattocks and John Sall.

Chair Mulkey closed her remarks by giving the Endowment Fund Board report. The Endowment Fund Board accepted gifts of $2,235,300 since the last meeting. They also received an investment performance report as of December 31, 2012. The NC State Investment Fund, Inc. returned 5.3% for the fiscal year-to-date as compared to the UNCMC composite benchmark of 5.7%. The board was updated on the annual NACUBO Commonfund Endowment survey. NC State was ranked 118 in endowment size among the 843 participating colleges and universities. The board heard reports on fiscal year 2013-14 endowment spending budgets and specific endowment-owned real estate including the Hofmann Forest, Centennial Campus projects and the Bell Tower block on Hillsborough Street. The board also went into executive session to discuss several real estate and gift matters. After careful deliberation and based on the recommendation of the NC State Natural Resources Foundation, Inc., the board approved unanimously a resolution authorizing the Treasurer to negotiate the sale of Hofmann Forest provided price and other conditions can be achieved.

**CHANCELLOR’S REPORT-W. RANDOLPH WOODSON**

Chancellor Woodson began by discussing the recent Emerging Issues Forum that focused on manufacturing opportunities in North Carolina and collaboration possibilities with universities like NC State. Governor Pat McCrory, Senators Kay Hagan and Richard Burr and Andrew Liveris from Dow Corning Chemical all spoke, and Trustee Jim Owens led a panel discussion on the view from Capitol Hill. Chancellor Woodson also reported that NC State would host an important symposium on February 26 titled *Transforming Economies: The Role of Public University Innovation in Economic Growth.* Leaders from across the state and nation will explore a big-picture conversation about the role of and challenges confronting higher education in America, particularly in regards to economic development.

Chancellor Woodson stated that Dr. Carl Koch, Distinguished Professor of Material Science Engineering, has been elected to the National Academy of Engineering. He is NC State’s 11th member of the Academy of Engineering, and this is the fourth consecutive year that we have had
a faculty member elected to the National Academy of Science or Engineering. Chancellor Woodson said that the Princeton Review has named NC State the 6th best value in public higher education. And U.S. News and World Report’s rankings of “2013’s Best Online Education Programs” named three of NC State University’s programs to its list: the College of Engineering ranked 7th among Engineering online programs, Computer Information Technology ranked 9th and the College of Education ranked in the top 20.

Chancellor Woodson reported that the 9th annual Krispy Kreme Challenge was a huge success, raising $177,000 for the NC Children’s Hospital. He discussed other activities including the University Council retreat, his annual half-day visits to the colleges and alumni outreach trips. He stated that Vice Chancellor Nevin Kessler’s five-year review is scheduled for the spring.

Chancellor Woodson closed by saying that NC State has taken a number of steps in recent years to position itself for creating the next generation of innovators. He mentioned the 125th anniversary celebration, the completion of the Hunt Library, the new College of Sciences, the reinvention of the College of Agriculture and Life Sciences, and Phase I of the Talley Student Center, among others. NC State remains committed to striking a balance between our historic strengths and the future need for greater adaptability and collaboration.

COMMITTEE REPORTS
Audit, Finance and Planning Committee-Lawrence Davenport
Mr. Davenport stated that State Auditor Beth Wood and her staff were available to report on the University’s financial audit. The University was complimented on its clean audit opinion and healthy financial position as of June 30, 2012. The committee authorized the establishment of two centers. The first was the Advanced Self-Powered Systems of Sensors and Technologies (ASSIST). The vision of ASSIST is to use nanotechnology to improve global health by enabling correlation between personal health and personal environment and by empowering patients and doctors to manage wellness and improve quality of life. The second center that was approved was the Center for Dielectrics and Piezoelectrics, a joint effort between NC State University and Pennsylvania State University. The mission of this center is to serve as a leading international research and education center dedicated to improving the science and technology of dielectric and piezoelectric materials and their integration into various devices. Both of these approved centers will work with the National Science Foundation.

Mr. Davenport said the committee was given a budget and legislative update. The legislative agenda for fiscal year 2013-14 is expected to focus on operational efficiencies and effectiveness and personnel efficiencies and services. Board of Governors budget priorities for fiscal years 2013-15 focus on the new strategic plan and include funding to support student success, academic quality, research and innovation. Other priorities include savings by shared services, strategic sourcing, improved energy savings and carry-forward reform.

Mr. Davenport said that University Treasurer Mary Peloquin-Dodd reported on the NC State Investment Fund, Inc. Performance was up for the quarter at 2.2% which is in line with the BNY Mellon index. The committee also received a report on Internal Audit activities which included one update reported in closed session.
Buildings and Property Committee-Chair Gayle Lanier

Ms. Lanier reported that the committee reviewed and approved three property matters that require full board approval. Ms. Lanier recused herself from the first property matter; Mr. Ramsey will report on that particular matter. The two other property matters were:

- Disposition by lease of approximately 3,500 usable square feet to Mann + Hummel in Partners I Building, 1017 Main Campus Drive, Centennial Campus, for up to five years. The amount represents an increase over the previous amount requested on November 15, 2012.
- Disposition by deed of approximately 0.6 acres to the City of Raleigh near the intersection of Trailwood Drive and I-40 at the southernmost corner of Centennial Campus in exchange for an approximately equal sized tract at the southeast corner of Trailwood Drive and Main Campus Drive to facilitate the installation of a Centennial Campus gateway road improvement.

Ms. Lanier made the motion, seconded by Mr. Cozort, to approve these two property matters. The motion carried.

Mr. Ramsey stated that the property matter from which Ms. Lanier recused herself was the disposition by easement of approximately 100 square feet of North Carolina State University’s property at 2620 Hillsborough Street (Hillsborough Building) along the western boundary and adjacent to Gardner Street to Progress Energy in connection with the development of the property located at 2604 Hillsborough Street. The State Property Office will determine if there is any value associated with the disposition. Mr. Ramsey made the motion, seconded by Mr. Clark, to approve this property matter. The motion carried.

Ms. Lanier said the committee approved seven designer selections and accepted 16 completed projects with a combined value of $9.1 million. The list includes acceptance of the Hunt Library Gallery Exhibit at a cost of $1.8 million and acceptance of the Hunt Library Plaza between Corporate Research I Building and Partners I Building at a cost of $900,000. The committee approved the design plan for the Gregg Museum of Art & Design relocation and approved four plans and specifications of formal projects less than $2 million. They received an update on the status of capital projects and projects in planning and a description of three property matters approved under the new delegation authority. The committee also received a detailed study concerning strategic space utilization.

University Advancement Committee-Chair Benjamin P. Jenkins III

Mr. Jenkins stated that the committee was updated on capital projects and progress on fund raising through January 31, 2013. New gifts and commitments are up 36% year to date, totaling $34.5 million. Annual Giving has raised 77% more than last year at this time. The committee approved the counting guidelines that will be used July 1, 2013, through June 30, 2021, for the upcoming campaign.
Mr. Jenkins reported that the committee was updated on a task force being established to recommend priorities for the next campaign. There was also discussion about the profile for volunteer leadership in the pre-phase of the campaign. In closed session, the committee reviewed and approved two proposals to name specific university facilities. A motion will be made at the end of this meeting to go into closed session to consider these naming opportunities.

University Affairs Committee-Chair Norris Tolson
Mr. Tolson stated that the committee received a summary of Fall graduation activities and graduation rate trends. They were also briefed on technological enhancements that are being used to assist with student success. The committee also reviewed the data submitted for the annual Board of Governors Intercollegiate Athletics Report on Minimum Course Requirement exceptions, distribution of student-athletes among majors, and the incoming academic profiles of football and men’s and women’s basketball student-athletes. They received a document entailing the NC State practices and processes to monitor the academic status of student-athletes. They also reviewed the NCAA Division I Academic Progress Rate data to be shared publicly in early summer 2013.

Mr. Tolson said that the committee recommended approval of revisions to Policy 05.20.01, Appointment, Reappointment, Promotion and Permanent Tenure. The revisions reflect the formation of the Division of Academic and Student Affairs (DASA) as the academic home for the departments of Music, and Health and Exercise Studies; specifies that faculty in these departments can function as a departmental voting faculty for appointment and promotion decisions; and specifies that DASA will have a Division Promotion Committee for promotion decisions comparable to a College Reappointment, Promotion and Tenure Committee. Mr. Tolson made the motion, seconded by Ms. Ward, to approve these revisions to Policy 05.20.01. The motion carried.

The committee also recommended approval of the request to change the degree program title of Master’s in Agricultural Education to Master’s in Agricultural and Extension Education. The department is not requesting a new degree program. The new name would be less confusing to our students in deciding which program to apply to and would more realistically reflect what graduates of the program do after graduation. The department proposes this change take place in Fall 2013. Separately, the department requests that the Master’s programs in Extension Education be dropped. Mr. Tolson made the motion, seconded by Mr. Mattocks, to approve the change in the degree program title. The motion carried.

Mr. Tolson reported that the committee recommended approval of two degree program discontinuations. The first is the request to discontinue the Master and Master of Science in Extension Education. The second is the request to discontinue the Associate of Applied Sciences in Pest Management Technology. This program is being discontinued due to consistently low enrollment. The program will not be terminated until May 2015 in order to allow remaining majors to complete their programs. Mr. Tolson made the motion, seconded by Mr. Ramsey, to approve these two degree program discontinuations. The motion carried.
Mr. Tolson stated that the committee approved the updated Department of Athletics football bonus structure as required by Non-Salary and Deferred Compensation Policy 05.15.03. The new bonus structure is for football staff aligned with Coach Doeren’s contract bonus categories. The new structure includes increased opportunities for academic achievement – both for federal graduation rates and for academic progress rates (APR).

Mr. Tolson reported that award winning architect and NC State alumnus Phil Freelon will deliver the May 2013 Commencement address. Student Government has established a committee to develop a strategic plan for the organization. Student Government has prioritized an agenda that includes the introduction of core signature student events, representation of the student voice, gathering feedback from students across campus and realigning statutes for future successes. The committee was notified of a new undergraduate certificate in Microbiology and the change in title of the Graduate Certificate in Agricultural Education to Agricultural and Extension Education. The committee was informed that 133 faculty members submitted dossiers for consideration in the 2012-13 reappointment, promotion and tenure process. Faculty will be notified of decisions at the end of April.

Mr. Tolson said that in closed session, the committee approved conferral of tenure to a new faculty member, a non-salary compensation request, new employment agreements for four head coaches (volleyball, women’s soccer, gymnastics and football) and recommended for approval by the Board of Governors a salary increase that was greater than 15% and $10,000 from the employee’s previous June 30 salary. The committee also made recommendations for the awarding of an honorary degree and the Holladay Medal. These recommendations will be considered by the full board in closed session at the conclusion of this meeting.

**Chair of the Faculty Report-Chair-elect David A. Zonderman**

Dr. Zonderman reported that the Faculty Senate has been focused on the issue of an ombuds for NC State. The Senate has passed a resolution and has written the proposal for this office. In January, two ombuds from UNC-Chapel Hill addressed our Faculty Senate.

Dr. Zonderman stated that there has been much discussion in the Faculty Senate and the UNC Faculty Assembly of various aspects of the UNC strategic plan. The faculty believes that campus-based faculty expertise must remain the decisive factor in academic decision making. The Faculty Senate, along with other senates and councils across the UNC system, has endorsed the UNC Faculty Assembly resolutions on the strategic plan.

Dr. Zonderman said that the faculty is pleased about the recent joint meeting of the NC State and UNC-Chapel Hill Boards of Trustees. Relationships among the campuses are valuable, and we can all benefit from each other. He also hopes to begin regular lunch discussions involving the Chairs of the Staff Senate, Student Government and Faculty Senate. The faculty will also closely follow the branding refresh process, the current legislative session, and the proposed sale of the Hofmann Forest.
**Chair of the Staff Senate Report-Chair Susan Colby**

Ms. Colby reported that the Staff Senate appreciates the recognition from our administration that the staff adds value to NC State and supports staff through participation, attendance and financial support of University and Staff Senate-sponsored events. She has been studying the Staff Senate and how the organization can best help staff. After evaluating NC State’s strategic plan to see where staff can have the most influence, the Staff Senate will focus primarily on “enhancing organizational excellence by creating a culture of constant improvement.”

Ms. Colby stated that the Staff Senate collaborated with the Department of Athletics and the Office of Institutional Equity and Diversity to host an employee appreciation event at the NC State vs. Duke women’s basketball game. The Senate is also collaborating with the Faculty and Staff Assistance Program to provide personal improvement seminars for employees. In February, the Staff Senate will collect canned goods and non-perishable food items across campus for the “Feed the Pack” on-campus food pantry and the Food Bank of Central and Eastern N.C. The Staff Senate looks forward to continuing to enhance our community and advocate for our staff.

With no further business in open session, Ms. Ward made the motion, seconded by Mr. Clark, at 10:45 a.m. to go into closed session to prevent the premature disclosure of an honorary award and to instruct University staff concerning the position to be taken in negotiating the price and other material terms of proposed contracts for the acquisition of two parcels of real property under NC General Statute 143-318.11(a)(2)(5). The two parcels are:

- The parcel located at the rear of 1520 Blue Ridge Road in Raleigh from the Board of Trustees of the Endowment Fund of North Carolina State University for the construction of a redundant data center for the University’s Office of Information Technology
- The parcel located at 3501 Avent Ferry Road in Raleigh from the NU Club, Inc. for the accommodation of administrative space needs and to allow for the reassignment of space in the North and Central Campus Precincts

The motion carried.

At 11:00 a.m., the board came out of closed session. With no further business, Chair Mulkey adjourned the meeting at 11:05 a.m.

Respectfully submitted,

_______________________             _____________________
Assistant Secretary   Secretary

Approved:

_______________________
Chair of the Board
In accordance with the State Government Ethics Act, it is the duty of every [Board] member to avoid both conflicts of interest and appearances of conflict.

Does any [Board] member have any known conflict of interest or appearance of conflict with respect to any matters coming before the [Board] today?

If so, please identify the conflict or appearance of conflict and refrain from any undue participation in the particular matter involved.
The Chancellor’s Report  
April 2013

James B. Hunt, Jr. Library Dedicated
On Wednesday, April 3, The Hunt Library was formally dedicated on Centennial Campus. NC State faculty, staff, students and university leaders were in attendance to celebrate the opening of one of the most advanced libraries in the world. Attendees witnessed the revolutionary bookBot, home to 1.5 million books, in action and saw some of the world’s largest ultra-HD monitors. In fact, the five Christie MicroTiles displays, at 21 feet wide, surpass in size even the display at the NASCAR Hall of Fame in Charlotte. The dedication and reception also provided an opportunity to explore the library’s game lab, 3D printers and visualization rooms.

Princeton Review Ranks NC State Among Nation’s Top Educational Values
NC State made a big jump on the Princeton Review/USA Today ranking of the best values in higher education, rising to sixth place on the list of public colleges this year. The university scored high on measures of academics, campus life, financial aid and admissions. The assessment is based on more than 30 data points covering academics, cost and financial aid. NC State didn’t make the Top 10 list last year and was ranked ninth in 2011.

Freshman Admissions Most Competitive Ever
For the first time ever, more than 21,000 students applied for freshman admission for Fall 2013, the eighth year in a row NC State has received a record number of freshman applications. The number of applications is up 59 percent from just eight years ago. For only the second time ever, the university has admitted less than half of the freshmen applicants. The applicants’ SAT average is 1263, up 16 points from last year. Their weighted high school GPA is 4.43, and 91.2 percent of applicants ranked in the top 25 percent of their high school classes. 58.5 percent are in the top 10 percent. NC State expects to enroll 4,200 freshmen in the class of 2017.

Goldwater Scholarships
Three of four student NC State nominees are recipients of the Barry M. Goldwater Scholarship for Excellence in Education, the most prestigious award in the U.S. conferred upon undergraduates studying the sciences. We congratulate Morgan Carter, a junior Biochemistry major and member of the University Honors Program and the CALS Honor Program; Cody Melton, a junior in Physics and Applied Mathematics and member of the University Honors Program; and Eric Whitmire, a junior studying Biomedical Engineering and Computer Science, a Park Scholar and member of the University Scholars Program. A total of 43 NC State students have now earned this honor, with seven in just the last two years.

Udall Scholarships
Congratulations to Caroline Hansley, a junior in Interdisciplinary Studies (Agroecology and Social Entrepreneurship) and University Honors Program, for being named a Udall Scholar. Carolina represents our fourth Udal Scholar in the last three years. She’s also a finalist for the Truman Scholarship. Congratulations also to Tyler Confrey-Maloney, a junior studying Materials Science and Engineering, Park Scholar and University Honors Program. He received an Honorable Mention.
Award-Winning Student Entrepreneurial Initiative Heads to Hong Kong
Pennies for Progress, a non-profit founded and led by a team of five NC State undergraduate students, continues to succeed. Having already won UNC’s Social Business Plan Competition in the fall, the team of students will head to Hong Kong this spring. The team was selected as a semi-finalist for the Hong Kong Social Entrepreneurship Competition. Pennies for Progress aims to make it easy for any business to make a positive social impact in their local community and abroad by enabling businesses to add on a one-cent donation from each customer to the total of every transaction in their store which can support local community projects, such as food drives, community gardens and hospitals.

President’s Higher Education Community Service Honor Roll.
NC State was named to the 2012 President’s Higher Education Community Service Honor Roll. The university was named to the honor roll with distinction. Launched in 2006, this recognition annually highlights the role colleges and universities play in solving community problems and placing more students on a lifelong path of civic engagement by recognizing institutions that achieve meaningful, measurable outcomes in the communities they serve. Of the 690 institutions on the honor roll, only 113 were recognized with distinction.

Service Close to Home
More than 1,600 students woke up early the morning of Saturday, March 23, for the 16th annual Service Raleigh. Students, faculty, staff and community members spread across Raleigh to serve organizations like Raleigh Parks and Recreation, the Hillsborough Street Community Service Corporation, Adopt-a-Highway and Litchford Falls Healthcare. Students cleared hiking trails, restored natural habitats, made crafts with senior citizens and worked behind-the-scenes at area museums. Raleigh City Councilmember Russ Stephenson and Student Body President Andy Walsh joined the festivities as keynote speakers. Three Park Scholars co-chaired the event, overseeing five committees and more than 70 service activities.

NC State Researcher Leads Fight Against Climate Change
Dr. Robert Kelly, Alcoa professor of chemical and biomolecular engineering, is working with a team to genetically engineer the *Pyrococcus furiosus* bacteria. This tiny bacteria takes carbon dioxide from the atmosphere and turns it into useful products, but typically only at temperatures of 100 degrees Celsius. Kelly and his team have taken genes from another bacteria that is active at 73 degrees Celsius to create a bacteria that will transform carbon dioxide rather than use it to grow. If Kelly and his team are successful at scaling this process, they could create a clean burning fuel that is created using the same amount of carbon dioxide it emits when it’s burned.

At Home in the World Fellows
Ten faculty members have been named At Home in the World Faculty Fellows as part of an initiative sponsored by the American Council on Education to promote global and multicultural collaboration in higher education. The faculty represent a broad spectrum of disciplines including English; Art + Design; History; Food, Bioprocessing and Nutrition Sciences; Social Work; International Studies; Civil, Construction and Environmental Engineering; Crop Science and Psychology. NC State is one of eight institutions participating in the initiative.
The past year has been one of transformation at NC State. As the university celebrated its 125th anniversary, the chancellor oversaw the successful implementation of realignment strategies, new partnerships bringing millions of dollars and expert faculty into the university and continued faculty, staff and student success.

**Strategic Planning**

The strategic plan, adopted in April 2011, continues to guide the University's decision-making processes at the campus and unit levels. Several strategic initiatives have been implemented and strategic plan metrics are helping us measure success in areas achieving the five goals of the strategic plan.

**Goal 1: Student Success**

- From an applicant pool of more than 20,000 people, the largest in the university's history, NC State welcomed 4,316 new freshmen to campus this fall, accepting fewer than 50% of applicants for the first time in the university's history. With an average SAT score of 1219, the impressive group of students is making history as the first group of NC State freshmen with an average SAT score above 1200. The freshmen class boasted an average weighted high school GPA of 4.37. Fifty-one percent of freshmen were in the top ten percent and seventy-nine percent in the top 20 percent of their graduating classes. The class represents 99 of North Carolina’s 100 counties, 41 states and 20 foreign countries.

- NC State awarded 5,029 degrees at the 2012 spring commencement ceremony, including 3,390 bachelor’s, 1,368 master’s, 195 doctoral and 76 Doctor of Veterinary Medicine. With the 2,377 degrees NC State conferred in December 2011, the university awarded a total of 8,289 degrees in 2011-2012 academic year, the largest in the UNC System.

- A record-setting blood drive took place in August, with students, faculty and staff donating nearly 125,000 pints of blood. This February, the 2013 Krispy Kreme Challenge saw more than 8,000 runners raise $177,000 for the North Carolina Children's Hospital, surpassing $500,000 raised since its inception. In March, nearly two-thousand students completed service projects around the Triangle as part of the student-run Service Raleigh. Student leaders in NC State's Habitat for Humanity chapter put on Shack-a-thon, raising more than $28,000 for Habitat for Humanity. Students formed the Dance Marathon organization this year and hosted the First Annual NC State Dance Marathon on February 22, 2013, raising $60,103 for the Duke Children’s Hospital, part of the Children’s Miracle Network. This puts NC State in the top three largest for first time Dance Marathon fundraisers.
• Three NC State students got a taste of stardom, when their Campus MovieFest submission “The Strong One” was awarded Best Picture and Best Director at the international competition in Hollywood, taking top honors over thousands of other entries. The three students wrote, directed and filmed the short picture. The film featured original poetry by English student Tim Reavis, cinematography by Business student Josh Bielick and direction by Design student Nicholas Sailer. The film was also screened at the Cannes Film Festival in France.

• Sina Bahram, a PhD student in Computer Science, who is also blind, was recognized by President Obama as one of 14 “Champions of Change,” recognizing Sina for significant efforts to make STEM more accessible to people with disabilities. Sina’s research focuses on improving the interaction between users and technology.

• Environmental engineering graduate student Tate Rogers has developed technology to improve sewage management in developing countries, utilizing an auger to reduce costs and improve sanitation. Rogers’ work was recognized by the Gates Foundation, who, out of a pool of 2000 applicants, awarded Rogers a water and sanitation grant valued at $100,000 to help design, produce and test his technology.

• Students also added to the number of significant scholarships with four Goldwater and two Udall Scholarship recipients in 2012. NC State was one of only four universities to have all four of its Goldwater nominees selected. Twenty students were also named National Science Foundation graduate research fellows.

We supported student success by integrating more fully with Google and redesigning enrollment tools, opening up new pathways for academic exploration and enhanced student success.

• The university completed its transition to Google, granting students access to all of Google’s applications.

• In summer 2012, NC State established flexible length summer sessions to accommodate innovative course delivery and meet the needs of targeted populations such as public school teachers. Enrollment Management and Services has been working with departments to increase specific summer course offerings, with plans to begin piloting new hybrid courses, which blend of face-to-face and online instruction, in summer 2013.

• NC State implemented a new tuition and fee model based on student classification rather than course classification effective in the fall 2012 semester. The new model no longer financially disadvantages full-time, on-campus students who want to enroll in online courses.
• Major upgrades and improvements were made to the internal transfer process through the creation of a centralized Change of Degree Application (CODA) site for students, fostering academic exploration and multi-disciplinary pursuits. CODA provides a convenient interface that allows students to see if they meet transfer requirements and how much time will remain toward their degree if they change or add majors.

• The new Enrollment Wizard was introduced to NC State’s student, faculty and staff website MyPack Portal. The Wizard simplifies the enrollment process by allowing students to track courses and align all aspects of their schedule, ensuring that students have opportunities to take challenging and enriching courses while maintaining an extracurricular balance. Students can even automatically add their courses to their Google calendars.

Goal 2: Scholarship and Research

• The Chancellor’s Innovation Fund selected its second group of awardees this summer. Seven projects—ranging from wearable robotics and computer security to shape-shifting antennas and lighter body armor for soldiers—received funding designed to help drive these technologies to the marketplace.

• Centennial Campus is the headquarters for the NSF Nanosystems Engineering Research Center for Advanced Self-Powered Systems of Integrated Sensors and Technologies (ASSIST), a five-year, $18.5 million grant to lead a national nanotechnology research effort to create self-powered devices to help people monitor their health.

• The inaugural group of University Faculty Scholars, a recognition and reward program for top NC State early- and mid-career faculty who will receive $10,000 for each of the next five years to support their academic endeavors, was announced in November. The 24 faculty selected represent a wide variety of disciplines including microbiology, accounting, landscape architecture, psychology and computer engineering.

• Carl Koch, Kobe Steel Distinguished Professor in the Department of Materials Science and Engineering, was elected to the National Academy of Engineering, and Joe DeSimone, William R. Kenan Jr. Professor of Chemical Engineering, was named a member of the National Academy of Sciences. Election to the National Academies is the most prestigious honor that any engineer or scientist can receive. NC State ranks among the top public universities with 21 National Academy members.

• Jay Baliga, director of the Power Semiconductor Research Center, received the state’s highest civilian honor, the North Carolina Award. Jay was recognized for his outstanding contributions to science through groundbreaking work in electronics engineering. He is among the “Eight Heroes of the Semiconductor Revolution” named by Scientific American.
• Paul Turinsky, professor of nuclear engineering and Chief Scientist at the Consortium for Advanced Simulation of LWRs, was appointed by President Obama to the U.S. Nuclear Waste Technical Review Board, an independent federal agency that provides expert advice to Congress on nuclear waste management.

• Chih-Hao Chang, assistant professor of mechanical engineering, was one of only 10 faculty members nationally to receive an Early Career Faculty Award from NASA.

• Seven faculty members received National Science Foundation Career Awards, the most prestigious NSF award for new faculty members.

• NC State’s Center for Environmental Farming Systems received a $3.9 million grant from USDA’s National Institute of Food and Agriculture (NIFA) to build and evaluate supply chains for local farmers and fishers to service large-scale markets in North Carolina.

• For the first time in our history, NC State exceeded $400 million in research expenditures.

• During the last year, 10 new start-up companies were launched.

Goal 3: Interdisciplinary Scholarship Addressing Grand Challenges

• NC State and Eastman Chemical Company are partnering to form the Eastman Chemical Company Center of Excellence and the Eastman Innovation Center laboratory to conduct multidisciplinary research. The lab will be located on Centennial Campus, and will be supported by a minimum of $10 million in grants provided by Eastman over the next six years. At least six colleges across the university will participate in the joint research projects. The agreement also allows visiting Eastman scientists to work in NC State labs and allows NC State researchers to do the same at Eastman labs worldwide.

• The College of Textiles helped create fire protection turnout suits that can withstand up to 1,000-degree heat and are three times lighter than typical turnout gear. The suits’ innovated features were developed and tested in the College’s Textile Protection and Comfort Center (T-PACC), the only academic center of its kind in the world. Globe Manufacturing, one of T-PACC’s corporate partners, donated the turnout suits to NC State.

• Several key faculty hires are underway through the Chancellor’s Faculty Excellence Program, an initiative designed to attract groups of scholars in strategically important areas. Thirty-eight new faculty will be hired in 12 selected multidisciplinary cluster areas as a result of this program; to date 10 hires have been made and all clusters have searches underway. Of the 10 new hires, two are already on campus and several are senior professors.
Goal 4: Organizational Excellence

- Through the successful merger of Student Affairs and Undergraduate Academic Programs, the Division of Academic and Student Affairs (DASA) was created, improving services to students while creating increased efficiency. Dr. Mike Mullen was named Vice Chancellor and Dean of DASA on August 1, 2012.

- The new College of Sciences, which will replace the College of Physical and Mathematical Sciences, will go live July 1, 2013. The College of Sciences will be equipped to address some of the most pressing scientific issues of today that lie at the intersection of the mathematical, computational, physical and biological sciences.

- To streamline financial and human resource services for increased efficiencies, the university will establish shared services for functions like hiring, onboarding, and travel. These will be implemented over the next two years, with the first targeted for spring 2013, and will result in more effective and efficient delivery of services to business customers across the university, allowing faculty to spend less time on paperwork and more time on scholarship, research and extension.

- Associate Vice Chancellor for Finance and Resource Management Stephen Keto received the first-ever 2012 North Carolina State Controller’s Fiscal Integrity Award – a significant honor given to a state employee or agency demonstrating “exemplary adherence to the ethical principles of fiscal integrity and honesty.”

- The university completed its PeopleSoft Financial System Upgrade on March 26, 2012 and the HR/Student Upgrade on October 1, 2012, while also assisting UNC-Chapel Hill with its software implementation.

- The chancellor engaged university leadership in revisiting enterprise risk management with a special focus on illuminating potential risks at the office, department and division levels. The development of risk prevention and mitigation strategies enhances the university’s ability to achieve its strategic vision.

- Fiscal year 2011-12 was a record breaking year for NC State fund raising. What made this year’s results particularly noteworthy is the rate of increase over FY11, when the university recorded the $40 million pledge from Lonnie and Carol Poole, the largest in its history.
  - Gifts & new commitments totaled $111.4 million, exceeding the FY12 goal of $110 million, and outpacing the FY11 total of $106.9 million by 4%.
  - Gift receipts totaled $100.3 million, a record since the university adopted industry standards for gift accounting. Receipts surpassed the FY12 goal of $97.8 million, and outpaced the FY11 total of $94.8 million by 6%.
Fund raising for endowment continued to be strong, with $36.7 million in gifts and new commitments, and $26.3 million in gift receipts designated for endowments.

Annual Giving raised $1.9 million, increasing by 34.5% the $1.38 million raised in FY11.

The number of Annual Giving donors grew by nearly 3,000 to 16,397, bringing NC State’s alumni participation rate to 13.5%, second after Georgia Tech in our peer group.

The number of face-to-face visits climbed for the third straight year. Face-to-face visits increased 26% over the previous year. Especially encouraging is the growth in first time visits to potential donors; activity in this category was up 57%.

Fiscal year 2012-13 looks to be much stronger than FY’12. As of January 30, 2013, gifts and new commitments are up 77% year to date ($100.7 million vs. $56.8 million), and gift receipts are up 74% ($86.1 million vs. $49.5 million). The number of alumni donors is up 8.6%, which should enable us to report an increased level of alumni participation for the third year in a row. Gifts to endowment are setting new records. With five months to go in the fiscal year, we have raised $58.5 million for endowment, which exceeds what we raised during the entire previous year by 41%.

Lisa Eberhart, our registered dietician, received the Governor’s Award for Excellence, the highest honor a state employee can receive. Eberhart builds upon a legacy of Governor’s Award for Excellence recipients at NC State, recognizing commitment and innovation from the NC State Bookstore to University Dining.

The chancellor also continued hosting his annual Chancellor Forums for the entire campus community, providing an opportunity for open communication about events and issues concerning the university.

The chancellor hosts monthly lunches and informal “chats” with students, where students can ask questions, voice concerns and speak directly in a personal setting with the Chancellor.

The chancellor continued annual visits to each college on campus, meeting with its students, faculty, staff and leadership. These meetings provide an opportunity for the colleges to update the chancellor on their current work and initiatives, as well as share concerns or issues.

Each month, the chancellor communicates to campus and beyond through a homepage letter, discussing topics important to university life.
Goal 5: Engagement and Partnerships

- Chancellor Woodson continued telling NC State’s story, this year beyond just North Carolina. The chancellor visited China, Taiwan and South Korea to visit with alumni and encourage academic partnerships.

- A focused marketing communications strategy promoting the 125th Anniversary theme of Tradition and Transformation led to a new level of unified, on-brand messaging for the university. The strategy pushed broad awareness of the excellence of NC State throughout the region and nationally, including the placements of over 70 different ad placements across more than 25 unique print and digital outlets including Time, Forbes, the Chronicle of Higher Education, WSJ.com and WRAL.com. These efforts topped more than 20 million impressions nationally.

- In conjunction with the university’s newly focused media relations strategy, Chancellor Woodson made a priority of talking with media whenever possible, including editorial board visits and individual reporter interviews. As a result, the university received editorial endorsements from the News & Observer along with several positive stories quoting the Chancellor. In addition, the university generated more than 16,000 known print, broadcast and online stories throughout the nation, more than doubling numbers from the previous year.

- The Prestage family donated a $10 million naming gift to NC State, creating the Prestage Family Department of Poultry Science. The gift comprises two endowments that will provide income in perpetuity to support a strategic combination of teaching, research and extension programs. Among other things, the fund will allow the department to recruit a renowned faculty member in turkey physiology, nutrition and immunology.

- NC State has been tapped to lead a national nanotechnology research effort made possible by an $18.5 million National Science Foundation grant. The Nanosystems Engineering Research Center for Advanced Self-Powered Systems of Integrated Sensors and Technologies (ASSIST) is headquartered on NC State’s Centennial Campus and is a joint effort between NC State, Florida International University, Pennsylvania State University and the University of Virginia. The ASSIST center focuses on developing self-powered devices for monitoring health, potentially transforming environmental policy and health care by improving the way doctors, patients and researchers gather and interpret important health data. NC State is the only university in the country currently leading two active NSF Engineering Research Centers.

- A new grant to NC State and several partners could make installing rooftop solar energy systems much less expensive and time consuming. Researchers will use the five-year, $9 million grant from the U.S. Department of Energy to design solar energy systems and installation and connection procedures that require little or no customization by homeowners and installers. The systems would set up quickly and connect to the power grid easily, while still meeting building and
electrical codes. The FREEDM Systems Center, a National Science Foundation Engineering Research Center headquartered at NC State that is developing smart grid technologies, and also includes the NC Solar Center at NC State, the National Rural Electric Cooperative Association, the University of Toledo, Isofoton, ABB and Quanta Technology.

- NC State celebrated the two year anniversary of its partnership with SKEMA, one of the top five leading business schools in France with satellite campuses in China and Morocco. NC State is home to SKEMA’s first U.S. campus. This mutually beneficial partnership creates opportunities for greater international exposure and brings up to 300 world-class students to NC State each year.

- NC State faculty and staff broke all previous giving records to the State Employees Combined Campaign. “The Wolfpack Gives Back Campaign” raised $647,000 in 2012, a 10% increase over last year’s record of $589,500, and a 17% increase over the last four years. No other university or public agency in North Carolina has shown this level of consistent growth in their campaigns. The campaign also set a record participation rate of 41%, an increase of 7 percentage points over the previous year. At the state-level, NC State won the Gold Chairman’s Award of Merit with the highest per capita giving per employees among all the universities in the system.

- NC State received the highest federal recognition a college or university can receive for its commitment to volunteering, service-learning and civic engagement – a Presidential Award – as part of the 2012 President’s Higher Education Community Service Honor Roll. NC State is one of only five Presidential Awardees nationwide, and one of two in the “general community service” category.

- NC State was issued 120 patents, 42 of them U.S. patents, and boasted 274 invention disclosures, 707 agreements, 59 commercialization partnerships and supported $30 million in new industry collaborations.

Facilities

Even during these times of economic hardship, NC State is continuing to expand and bring cutting-edge, world-class facilities to campus for the benefit of current and future students. These initiatives are supported by an active capital program funded from a widening variety of sources including state appropriations in the form of cash and debt issuance, gifts, debt supported from student fees, receipts and energy savings.

- James B. Hunt, Jr. Library
  - New library on Centennial Campus with inspiring learning spaces and state-of-the-art technologies. Designed at the LEED Silver level.
  - Completed January 2, 2013

- Carol Johnson Poole Club House
o New two-story club house located at Lonnie Poole Golf Course on Centennial Campus, and to include research, instruction, and athletics space.
  o Facility will serve public, university golf teams and Turf Grass and Professional Golf Management curriculums.
  o Broke ground on October 24, 2012

- **Phytotron NSF Grant Project**
  o Funded by National Space Foundation grant to convert existing dark room and greenhouse space to a Bio-Safety Laboratory Level 3 containment facility.
  o Allows for installation of new specialized chillers to cool some chambers to freezing, allowing for experiments involving the cold-hardiness of crops, and allows for control of the amount of carbon dioxide in chambers, making global warming studies possible.
  o Construction began October 2012

- **Wake Early College High School**
  o Interior infrastructure renovation to Cherry Building located on Centennial Campus.
  o Allows for collaboration between university and Wake County Public School System to provide academically challenging learning environment for students underserved in traditional high school settings and underrepresented in STEM disciplines.
  o Construction began August 2012

- **Sigma Nu Fraternity House**
  o New two-story fraternity house located in Greek Village on South Campus, with bedroom, dining, and assembly spaces.
  o Part of phased redevelopment of Greek housing into a vibrant neighborhood. Sigma Nu is the second Greek house underway for the first phase. Kappa Delta Sorority House was the first completed, opening on October 23, 2013.
  o Construction began January 2013

- **Cates and Yarbrough Cogeneration Utility Plant Renovations**
  o Renovations to expand chilled water capacity and provide combined heat and power ( cogeneration) capability.
  o Increased facility’s efficiency by approximately 35 percent and will reduce the university’s greenhouse gas emissions by 8 percent. Efficiently services approximately 8 million gross square feet.
  o Guaranteed $4.3 million of energy savings in the first year. Facility will pay for itself in energy savings over 17 years, without additional state funding.
  o Also serves as a resource for teaching students about energy efficient technologies.
  o Completed November 2012

- **Yarbrough Chiller Plant Expansion**
Installation of 2,000-ton electrical centrifugal chiller, two new cooling towers and auxiliary equipment to provide additional chilled water production capacity.
Construction began June 2012

- Talley Student Center
  - Complete renovation of existing building and addition of two wings. Building will house University Bookstore, dining venues, student organizations, Arts NC State, and Stewart Theater. Construction began June 2011; more than 25% complete. Phase II to begin June 1, 2013.

- Centennial Campus Student Housing
  - First student housing facility for Centennial Campus. Will have dining facility and house more than 1,100 students.
  - Construction began November 2011; more than 40% complete

- Sullivan Substation Redundancy
  - New transformer to be installed for increased reliability and electrical redundancy on campus.
  - Construction began July 2012

- Broughton Hall Renovation
  - Complete renovation and new construction of four-story lab building, to house faculty offices and research labs for the Department of Chemistry. Designed at the LEED Silver level.
  - Schematic design approved. Advanced planning and fundraising

Legislative and Budget Efforts

Chancellor Woodson continued building strong relationships with the state's elected leadership this year. During a time of budget cuts, he has continued to champion the needs and opportunities for NC State. Both need-based financial aid and management flexibility funds were received to restore earlier reductions in these priority areas. Funding for faculty recruitment and retention was approved, helping NC State retain and grow a tremendous source of expertise on campus – outstanding faculty, who generate significant economic value for the state. For the first time in several years, university employees received a raise of 1.2 percent. The chancellor has been committed to providing open communication across campus regarding these budget decisions through emails, homepage messages and forums. Through tough economic times, the chancellor has led a team devoted to working efficiently to keep NC State as one of North Carolina's greatest assets.

Rankings and Recognition

NC State has been continually recognized as a world-class institution and premiere research university during the chancellor's tenure.
• U.S. News and World Report named NC State in the top five best values among public universities.

• Fox Business listed NC State among only a handful of U.S. Colleges—the only one in North Carolina—named a Top Value.

• NC State made a big jump on the Princeton Review/USA Today ranking of the best values in higher education, rising to sixth place on the list of public colleges this year. Last year, NC State was not ranked in the top 10.

• Because of its value, NC State students graduate with lower-than-average debt. U.S. News recently ranked NC State among the top national universities with the lowest debt load for its students upon graduation.

• NC State was recognized by U.S. News and World Report as a top-20 “up-and-comer” that has recently made the “most promising and innovative changes…”

• Three of NC State’s online graduate programs were recognized in another U.S. News and World Report ranking of “2013’s Best Online Education Programs.” The College of Engineering’s online programs ranked 7th among graduate Engineering online programs, a huge leap from the previous year’s 36th ranking. The Computer Information Technology program ranked 9th in the nation, and the College of Education’s online graduate program reached the top 20.

• Ten graduate programs placed in the top 30 in new rankings released this month by U.S. News & World Report.
  o 5th in graduate nuclear engineering
  o 6th in graduate biological and agricultural engineering
  o 13th in graduate industrial engineering
  o 18th in graduate higher education administration
  o 20th in graduate chemical engineering
  o 20th in graduate materials engineering
  o 26th in graduate civil engineering
  o 27th in graduate computer engineering
  o 29th in overall graduate engineering
  o 30th in graduate environmental engineering

• NC State also had other programs ranked in the top 35: electrical engineering was 32nd; aerospace engineering was 33rd; and mechanical engineering was 35th.

• Another 10 graduate programs ranked in the top 80.

Athletic Excellence

• A record 86 NC State student-athletes graduated in May of 2012.
• In the spring of 2012, NC State named a record number 381 Scholar Athletes and had 186 student athletes make the Dean’s List. Sixty-nine Wolfpack student athletes were named to ACC All-Academic teams and six were named Academic All-Americans, both all-time highs.

• In the spring of 2012, three Wolfpack student-athletes were inducted into the Zeta of North Carolina chapter of Phi Beta Kappa, one of the highest academic honors an NC State student can earn.

• NC State’s Director’s Cup ranking of national competitive excellence has risen from 89th in 2010 to 37th in 2012, the biggest two-year jump in ACC history.

• In October 2012, NC State inducted the inaugural class of its Athletic Hall of Fame, including the legendary David Thompson and Coaches Jim Valvano and Kay Yow.

• NC State was one of only three programs nationally last year to win its football bowl game, have its men’s basketball team advance to the Sweet 16 and its baseball team advance to a Super Regional in 2012.

• NC State hired Dave Doeren as the Wolfpack’s new head football coach in December 2012. Doeren was an Academic All-American at Drake before launching a 17-year coaching career. Most recently, Doeren was a finalist for the 2012 National Coach of the Year Award after leading No. 18 Northern Illinois so a BCS berth in the Orange Bowl.

• In Fall 2012, the women’s volleyball team earned its first NCAA Championship bid since 1987. Men's and women’s cross country also represented the Wolfpack in NCAA competition.
Leadership Changes

- Dr. Mike Mullen took over as the Dean and Vice Chancellor of the new Division of Academic and Student Affairs.
- Dr. Rich Linton took over as Dean of the College of Agriculture and Life Sciences.
- Dr. Mary Watzin joined NC State as the Dean of the College of Natural Resources.
- Gayle Lanier was appointed to NC State’s Board of Trustees in June.

Selected Presentations

- 125th Birthday Celebration, Raleigh, NC, April 2012
- City Council Recognition for Service Award, Raleigh, NC, April 2012
- Visit with President Ross, Western NC, April 2012
- Greenville/Spartanburg Alumni Event, Greenville, NC, April 2012
- Celebration of Faculty Excellence, May 2012
- Inside Higher Ed visit, May 2012
- Chronicle of Higher Education visit, May 2012
- Durham Sports Club, June 2012
- Association of Public and Land-Grant Universities Meeting, Raleigh, NC, June 2012
- International BB Service Trip, Israel, July 2012
- 4-H Congress Dinner, Raleigh, NC, July 2012
- Greensboro Alumni Event, Greensboro, NC, August 2012
- Asheville Alumni Event, Asheville, NC, August 2012
- US/China Education Event Summit Lunch, September 2012
- Park Foundation Board, September 2012
- Jewish Federation of Raleigh-Cary Annual Meeting, Raleigh, NC, September 2012
- CALS Research Foundation Board Lunch, Raleigh, NC, September 2012
- Asia Trip, China, September 2012
- White House Panel, Washington, DC, October 2012
- Welcome at AgBiotech Summit, Raleigh, NC, October 2012
- News & Observer Editorial Board Meeting, Raleigh, NC, October 2012
- Chancellor’s Forum, Raleigh, NC, October 2012
- BASF Innovation Event Keynote Address, Raleigh, NC, October 2012
- Association of Retired Faculty in the Triangle Banquet, Raleigh, NC, October 2012
- Society of College and University Planner, Raleigh, NC, October 2012
- Raleigh Kiwanis Club Meeting, Raleigh, NC, October 2012
- WUNC Town Hall Meeting, Raleigh, NC, October 2012
- NC Diversity and Inclusion Partners Conference, Raleigh, NC, November 2012
- Wake County Alumni Event, Raleigh, NC, November 2012
- SAS Alumni Event, Raleigh, NC, November 2012
• Silicon Valley Alumni, California, December 2012
• Mt. Airy Rotary, Mt. Airy, NC, January 2013
• Raleigh Sports Club, Raleigh, NC, January 2013
• Beaufort County Alumni, Beaufort, NC, January 2013
• Charlotte Alumni event, Charlotte, NC, January 2013
• Wilmington Alumni event, Wilmington, NC, February 2013
• Transforming Economies: The Role of University Innovation in Economic Growth, Raleigh, NC, February 2013
• Wilmington Rotary, Wilmington, NC, March 2013
• Hickory Rotary, Hickory, NC, March 2013
• Dr. Lawrence Clark University Community Dinner, Raleigh, NC, March 2013
• Hispanic Educational Summit, Raleigh, NC, March 2013
• Asheville Alumni Event, Asheville, NC, March 2013
• NC Chamber Annual Meeting, Greensboro, NC, March 2013
• Caterpillar Scholar Athlete Banquet, Raleigh, NC, April 2013
• James B. Hunt, Jr. Library Dedication, Raleigh, NC, April 2013
• Wolfie Awards, Raleigh, NC, April 2013
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Audit, Finance and Planning Committee
1:00 pm – 2:30 pm, April 18, 2013
Winslow Conference Room
Jim Owens, Chair
Members: Davenport, Lanier, Sall, Tolson, Walsh

AGENDA

CALL TO ORDER
Reading of Conflicts of Interest Inquiry

1. APPROVAL OF MINUTES TAB 4.1

* Approval of Minutes from February 21, 2013 meeting 4.1A
Committee members will act on a motion to approve minutes as presented.

* Approval of Minutes from February 21, 2013 closed meeting 4.1B
Committee members will act on a motion to approve minutes as presented.

2. REQUESTED ACTION TAB 4.2

* Approval of Internal Audit Plan for Fiscal Year 2014 4.2A
Internal Audit
Cecile Hinson, Director

Committee members will act on a motion to approve Internal Audit Plan as presented.

✓ Revision to POL 10.00.02 – Research Policy 4.2B
Office of Research, Innovation and Economic Development
Terri Lomax, Vice Chancellor for Research, Innovation and Economic Development

Committee members will act on a motion to recommend approval to the Full Board of Trustees to approve revisions as presented.

3. INFORMATIONAL REPORTS TAB 4.3

* Internal Audit Update 4.3A
Internal Audit
Cecile Hinson, Director, Internal Audit

✓ Denotes full Board approval required
Audit, Finance and Planning Committee
April 18, 2013
Page 2

* Budget/Legislative Update 4.3B
Finance and Business
Charles D. Leffler, Vice Chancellor for Finance and Business
Chancellor’s Office
Kevin Howell, Assistant to the Chancellor for External Affairs

* University Debt Update 4.3C
Treasurer’s Office
Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business
and University Treasurer

* Review of Associated Entities 4.3D
Treasurer’s Office
Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business
and University Treasurer

* IT Security Update 4.3E
Office of Information and Technology
Marc Hoit, Vice Chancellor for Information and Technology

ADJOURN
Agenda Item / Issue: 4.1A & 4.1B

Approval of Minutes

Requested / Required Action:

Committee Approval

Suggested Motion:

Approve minutes as presented
Meeting of Audit, Finance and Planning Committee
North Carolina State University
Board of Trustees
February 21, 2013

Chair Owens was unable to attend in person and joined the meeting via conference call. He previously asked Mr. Lawrence Davenport to act as chair in his stead. Acting Chair Davenport opened the meeting at 1:10 p.m. in the Winslow Hall Conference Room. Committee members present for the meeting were:

Mr. James Owens, Chair (via phone)
Mr. Lawrence Davenport, Acting Chair
Ms. Gayle Lanier
Mr. Norris Tolson
Mr. Andy Walsh

Others present were:

Ms. Barbara Mulkey, Chair, University Board of Trustees
Chancellor W. Randy Woodson
Dr. Warwick Arden, Provost and Executive Vice Chancellor
Mr. Charles Cansler, University Controller, Controller’s Office
Ms. Mary Crumpler, Audit Supervisor, Office of State Auditor
Ms. Eileen Goldgeier, General Counsel, Office of General Counsel
Ms. Tabitha Groelle, University Program Specialist, Treasurer’s Office
Ms. Cecile Hinson, Director, Office of Internal Audit
Dr. Marc Hoit, Vice Chancellor, Office of Information Technology
Mr. Kevin Howell, Assistant to the Chancellor, External Affairs
Ms. Lori Johnson, Director, Strategic Debt Management
Mr. Steven Keto, Associate Vice Chancellor for Resource Management
Ms. Kim Miller, Assistant Controller, Controller’s Office
Mr. Charles Leffler, Vice Chancellor for Finance and Business
Dr. Terri Lomax, Vice Chancellor for Research, Innovation and Economic Development
Ms. Mary Peloquin-Dodd, Associate Vice Chancellor for Finance and Business and University Treasurer
Mr. Mike Poterala, Deputy General Counsel, Office of General Counsel
Ms. PJ Teal, Secretary of the University and Assistant to the Chancellor
Ms. Beth Wood, State Auditor, Office of State Auditor

Mr. Davenport reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the Committee at this meeting. Being none, the meeting continued.

Mr. Norris Tolson made a motion to approve the minutes from the November 15, 2012 meeting as presented. Ms. Gayle Lanier seconded. They were approved unanimously.
Mr. Charles Cansler provided a brief overview of the University’s financial position as of June 30, 2012. He noted that the auditors’ comments highlighted the significance of no reportable findings being provided as well as the efforts of institutional staff in these accomplishments.

The State Auditor, Ms. Beth Wood, and Ms. Mary Crumpler, were present and commented on the University’s financial audit. The University was complimented on its clean audit opinion and healthy financial position as of June 30, 2012. The auditors’ comments highlighted the significance of no reportable findings being provided as well as the efforts of institutional staff in these accomplishments.

Dr. Terri Lomax requested the authorization to establish the Center for Dielectrics and Piezoelectrics (CDP). This is a joint effort between NC State University and Pennsylvania State University. The mission of CDP is to serve as a leading international research and education center dedicated to improving the science and technology of dielectric and piezoelectric materials and their integration into various devices. The Center will support fundamental research and training across numerous technical disciplines and will serve to accelerate the transition of technologies from conception to development to implantation. CDP will reside in the College of Engineering. Funding for the Center will come from a planning grant from the US National Science Foundation and membership fees. Mr. Tolson made a motion to approve the Center as presented. Ms. Lanier seconded and the motion was passed.

Dr. Lomax next requested the authorization to establish the Advanced Self Powered Systems of Sensors and Technologies (ASSIST) Center. ASSIST uses nanotechnology to improve global health by enabling correlation between personal health and personal environment and by empowering patients and doctors to manage wellness and improve quality of life. Basic support for the Center comes from the National Science Foundation’s Engineering Research Centers program. Ms. Lanier made a motion to approve the Center as presented. Mr. Walsh seconded and the motion was passed.

Mr. Charles Leffler and Mr. Kevin Howell gave an overview of the Budget and Legislative activity. The State’s 2013 economic outlook shows a slow steady growth. NC State’s outlook includes a projected balanced budget for the fiscal year ending June 2013. Planned changes to NC State’s distance education tuition schedule resulted in shortfalls to tuition revenue. Strategic investments are being made in faculty and space.

Turnover in Legislature resulted in 60% of members having two years or less of experience. There are new leaders in Appropriations, Education and Finance committees. The Legislative Agenda for FY13-14 is expected to focus on operational efficiencies and effectiveness and personnel efficiencies and services. Board of Governors budget priorities for FY13-15 were approved at the last meeting. Initiatives focus on the new BOG strategic plan and include funding to support student success, academic quality, research and innovation. Other priorities
include savings by shared services, strategic sourcing, improved energy savings and carry-forward reform.

Ms. Mary Peloquin-Dodd reported on the NC State Investment Fund. Performance was up for the quarter at 2.2%, which is in line with the BNY Mellon Index of 2.1%. She noted that staff continue to look at strategies due to growth. Ms. Peloquin-Dodd also informed the Committee that staff have been working with graduate or master’s degree students in the College of Management on socially responsible investing.

Ms. Cecile Hinson provided the Committee with an update on audit-related activities. They have issued three reports since November. Progress on the FY2013 audit plan has been slow due to numerous unplanned audits, investigations and consulting on projects. The State Auditor’s office requested a list of audits that have been completed and those planned. They are looking at a state-wide initiative regarding financial responsibility. In April, a summary of all fiscal year 2013 audit reports issued will be provided as well as the proposed fiscal year 2014 annual audit plan which will require Committee approval.

Ms. Tabitha Groelle read a motion requesting that the Committee move to closed session to prevent the disclosure of information that is privileged or confidential under sections 132-6.19(c), 132-1.7(a) and 143-318.11(a)(1) of the North Carolina General Statutes to hear an informational report from Ms. Cecile Hinson. The motion was moved by Ms. Lanier, seconded by Mr. Walsh and approved unanimously at 2:20 p.m.

The Committee reconvened to open session at 2:35 pm.

With no further business, Mr. Davenport adjourned the Committee at 2:36 p.m.

Submitted by ___________________________________

Secretary to the Committee

Approved ___________________________________

Chair of the Committee
Agenda Item / Issue: 4.2A

Approval of Internal Audit Plan for Fiscal Year 2014

Requested / Required Action:

Committee Approval

Suggested Motion:

Approve audit plan as presented
NORTH CAROLINA STATE UNIVERSITY
INTERNAL AUDIT DIVISION

AUDIT PLAN
FISCAL YEAR 2014
# NCSU Internal Audit Division
## Fiscal Year 2014 Audit Plan

<table>
<thead>
<tr>
<th>Type of Audit</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operational Audits</strong></td>
<td></td>
</tr>
<tr>
<td>Non-Instructional Summer Salary (Payments Against Contract and Grant Projects)</td>
<td>The objective of this audit is to review non-instructional summer salary payments against contract and grant projects for Summer 2013. This is the second audit of summer salary. The first audit of summer salary was of the Summer 2011 payments and resulted in the implementation of a new process.</td>
</tr>
<tr>
<td>Controls Over Purchase Cards</td>
<td>The objective of the audit is to review both the University-level and Departmental-level purchase card processes, internal controls, and compliance with College/University policy and funding source requirements.</td>
</tr>
<tr>
<td><strong>Information Technology Audits</strong></td>
<td></td>
</tr>
<tr>
<td>Security Governing External-facing Network Perimeter Entry Points</td>
<td>The objective of this audit is to test the security governing external-facing entry points through the perimeter of the network. This is one in a series of audits related to security of the NCSU network infrastructure. Other audits in the series include:</td>
</tr>
<tr>
<td></td>
<td>• University Network Firewall Protection Service Audit (FY12 Audit Plan; completed)</td>
</tr>
<tr>
<td></td>
<td>• WolfTech Active Directory: Security and Operational Controls (FY13 Audit Plan; completed)</td>
</tr>
<tr>
<td></td>
<td>• University Applications Web Interface Security Controls (FY14 Audit Plan, scheduled)</td>
</tr>
</tbody>
</table>
# NCSU Internal Audit Division
## Fiscal Year 2014 Audit Plan

<table>
<thead>
<tr>
<th>Type of Audit</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>University Applications Web Interface Security Controls</strong></td>
<td>The objective of this audit is to determine whether the web interfaces of major University applications that provide access to sensitive data are adequately protected from well-known external threats. This is one in a series of audits related to security of the NCSU network infrastructure. Other audits in the series include:</td>
</tr>
<tr>
<td></td>
<td>• University Network Firewall Protection Service Audit (FY12 Audit Plan; completed)</td>
</tr>
<tr>
<td></td>
<td>• WolfTech Active Directory: Security and Operational Controls (FY13 Audit Plan; completed)</td>
</tr>
<tr>
<td></td>
<td>• Security Governing External-facing Network Perimeter Entry Points (FY14 Audit Plan; scheduled)</td>
</tr>
</tbody>
</table>

## Investigative Audits

The Audit Plan allows time for investigations reported through the Internal Audit Division (IAD) or Office of the State Auditor (OSA) or other external sources.

## Follow-up Audits

IAD performs follow-up audits on all audit issues subsequent to the issuance of audit reports by our office or the OSA. As of March 2013, 77 issues reported in the prior year audit reports listed below are scheduled for follow-up:

- Department of Electrical and Computer Engineering (ECE) - Faculty Performance Review Audit
- Department of ECE - Contracts and Grants Audit
- Department of ECE - Special Review of Internal Allegations of Misuse of State Property/Assets
- Cooperative Extension Service (CES) – Warren County
- The Future Renewable Electric Energy Delivery and Management Systems Center
### NCSU Internal Audit Division
**Fiscal Year 2014 Audit Plan**

<table>
<thead>
<tr>
<th>Type of Audit</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chemistry Electronic Instrumentation Shop Investigation</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences Distance Education Investigation</td>
<td></td>
</tr>
<tr>
<td>Horticultural Department - Misuse of State Assets Investigation</td>
<td></td>
</tr>
<tr>
<td>Audit of Compliance to Federal Financial Aid Requirements (Office of Management and Budget Circular A-133)</td>
<td></td>
</tr>
<tr>
<td>Student Affairs - Information Technology (IT) General Controls</td>
<td></td>
</tr>
<tr>
<td>University Network Firewall Protection Service</td>
<td></td>
</tr>
<tr>
<td>Follow-up to OSA Audit Letter Issued to the Vice Chancellor for Information Technology</td>
<td></td>
</tr>
<tr>
<td>Office of Information Technology – WolfTech Active Directory: Security and Operational Controls</td>
<td></td>
</tr>
</tbody>
</table>

### Consulting

<table>
<thead>
<tr>
<th>Consultation</th>
<th>Team is supporting activities of the University’s reaffirmation of SACS accreditation in 2014; includes drafting and review of reports that demonstrate NCSU’s compliance with SACS Principles of Accreditation.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Southern Association of Colleges and Schools (SACS)</td>
<td></td>
</tr>
<tr>
<td>Cooperative Extension Service</td>
<td>Team is providing training on University policies, best practices in business and financial activities/transactions, use of Internal Audit self-assessment tools (available on IAD website), and awareness of common issues. On-site reviews as necessary. Other ad hoc consulting as requested.</td>
</tr>
<tr>
<td>University of North Carolina (UNC) Finance Improvement and Transformation (FIT) Team</td>
<td>Director is on UNC FIT Internal Audit Advisory Team. Internal Audit will participate in FIT monitoring visits on campus and follow-up on any issues reported as needed.</td>
</tr>
</tbody>
</table>
## NCSU Internal Audit Division
### Fiscal Year 2014 Audit Plan

<table>
<thead>
<tr>
<th>Type of Audit</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>University Information Technology Strategic Advisory Committee (ITSAC) and Subcommittees</td>
<td>Director is a member of the ITSAC committee which focuses on ensuring that the University makes the best possible decisions in advancing the use of technology to meet its mission, vision and goals. The Information Technology Audit Manager and other audit staff consult on various ITSAC subcommittees to ensure adherence to University policies, rules and regulations and other requirements.</td>
</tr>
<tr>
<td>Internal Control Assessment Committee</td>
<td>Team is participating in committee which assesses internal controls across the University to support the University's annual certification to the Office of the State Controller.</td>
</tr>
<tr>
<td>Business Operations Centers (BOC) Implementation</td>
<td>Team is consulting on BOC implementation.</td>
</tr>
</tbody>
</table>

### Special Assignments and Administration

Includes:

- Continuous Risk Assessment Process
- Continuous Quality Assurance and Improvement Program (Institute of Internal Auditors requirement)
- Continuous TeamMate Audit Management Software Enhancements & Optimization
- Administrative activities such as staff development and appraisal; reporting to executive management, BOT, and external stakeholders; training; staff meetings; and other management activities
NCSU Internal Audit Division
Effort Distribution of Planned Engagements and Administration
Fiscal Year 2014 Audit Plan

- Audits: 63%
- Investigative Audits: 7%
- Audit Issue Follow-ups: 11%
- Consulting: 2%
- Special Assignments & Administration: 17%
Overview

Internal Audit (IA) continuously performs risk assessments (RA) of University units, processes, and services to identify areas of high risk. The RA process is at the core of our audit and consulting engagements and is used as an objective tool in the development of our Audit Plans. Our assessment focuses on exposures relating to the University’s governance, operations, and information systems regarding:

- Reliability and integrity of financial and operational information
- Effectiveness and efficiency of operations
- Safe-guarding of assets
- Compliance with University and UNC System policy
- Compliance with legal, regulatory, and contractual obligations
- Detection and prevention of fraud

Audit Plan Development

All objective and subjective information and data gathered through our continuous RA process is analyzed when received and again every six months. This on-going analysis process allows IA to determine areas that may need immediate attention, areas that are potential near-term or future audits, and areas that we will continue to watch and monitor through our process.

Our Audit Plan reflects the results of our continuous assessment and analysis process as of the end of the first quarter of each calendar year. Each year’s Plan is presented for approval at the regularly scheduled April meeting of the NC State Board of Trustees and is implemented at the start of the new fiscal year on July 1.
Information continuously gathered during internal audit activities such as:
- Formal risk assessment meetings
- State and Federal audits
- Informal conversations
- Out of scope items from audit fieldwork
- Current events and news
- Literature / documentation from units

Audit Plan may include items that do not require risk ranking:
- Executive requests
- Hot Line allegations

Audit Plan may include items that do not require risk ranking:
- Executive requests
- Hot Line allegations

New risks, exposures and control weaknesses are identified, analyzed, monitored and re-prioritized throughout the year
NCSU Internal Audit Division
Risk Assessment and Audit Planning Process

< -------- MID YEAR AUDIT PLAN RE-ANALYSIS (OCTOBER) -------- >

October 1 Cutoff for “Snapshot of Current Risk” and Audit Plan Revision

From Part A

Audit Plan

Internal Audit Risk Data

Risk Ranking

Higher Risk? Y

Revised Audit Plan

Presented at November BOT Meeting

N

Remain in Internal Audit Risk Data

Revised Audit Plan from Mid Year Re-Analysis Consists of Audits in Progress and Audits Not Started

FISCAL YEAR END AUDIT PLAN CLOSE
<----------------------- (JUNE 30) ----------------------->

Audit Plan

Audits Not Started

Potential Audit List (PAL)

To Part A

Roll Forward (Remain in Audit Plan)

Audits In Progress

Audits In Process

Audits In Process
NCSU Internal Audit Division
Team Profiles
As of March 2013

Director
Cecile M. Hinson, CISA
Cecile joined the Internal Audit Division in February 2001. Prior, she worked for Lockheed Martin and PricewaterhouseCoopers, LLP, in the areas of financial, operational, and information technology auditing. Cecile received her Bachelor of Science in Business with an emphasis in Accounting from Meredith College. She is a Certified Information Systems Auditor (CISA). She is also a graduate of the NC State Equal Opportunity Institute and holds an Information Technology Audit Certificate from the MIS Training Institute.

Manager
Jordan P. Holaren
Jordan joined the Internal Audit Division in March 2007. Previously, she worked for the Department of Defense - Office of the Inspector General. Jordan has a Bachelor of Science in Business Administration in Accounting from Geneva College and is pursuing her Certified Internal Auditor (CIA) certification.

Manager
Leo F. Howell, CISA, CISSP, CBRM, CEH
Leo joined the Internal Audit Division in June 2007. Prior, he worked for NC State’s Finance and Business Division as an information security and network analyst. Leo received his Bachelor of Science in Computer Science and Electronics from the University of the West Indies. He holds several certifications, including Certified Information Systems Auditor (CISA), Certified Information Systems Security Professional (CISSP), Certified Business Resiliency Manager (CBRM), and Certified Ethical Hacker (CEH).

Nancy L. Burgart, CISA, CFE
Nancy re-joined the Internal Audit Division in October 2010. Nancy started her career at NC State working in various departments across campus, including Telecommunications, NCSU Libraries, and Internal Audit. Prior to re-joining Internal Audit, she worked as an IT Compliance Auditor in the NC Office of the State Chief Information Officer. Nancy has a Bachelor of Science in Accounting from East Carolina University. She is a Certified Information Systems Auditor (CISA) and a Certified Fraud Examiner (CFE).
NCSU Internal Audit Division
Team Profiles
As of March 2013

Hunter T. Moore, CIA
Hunter joined the Internal Audit Division in October 2010. Previously, he worked for Bank of America as an auditor covering Global Markets, Chief Financial Officer, Home Loans and Insurance, and Card Services. Hunter has a Bachelor of Science in Business Management with a concentration in Finance from NC State University. Hunter is a Certified Internal Auditor (CIA).

Darren G. Betbeder
Darren joined the Internal Audit Division in April 2012. Previously, he worked for Lowe’s Home Improvement in various Technology and Auditor Roles. His most recent role was as a Technical Analyst in the Real Estate, Engineering, and Construction Department. Darren has a Bachelor of Science in Business Administration with a double Major in Computer Information Systems and Marketing from Appalachian State University. Darren is currently pursuing a Certified Information Systems Auditor certification (CISA).

Denise W. Hall
Denise joined the Internal Audit Division in September 2012. Prior, she worked for the Office of General Counsel on the NC State campus as an Administrative Assistant. Denise is a Certified Paralegal and a graduate of the NC State Equal Opportunity Institute.
Agenda Item / Issue: 4.2B
Revision to POL 10.00.02 – Research Policy

Requested / Required Action:
Committee recommendation for approval by full Board of Trustees

Suggested Motion:
Recommend approval by Board of Trustees as presented
Summarized Changes to POL 10.00.02 Research Policy (last updated 1997)

Rationale for update

- Overlapped or contradicted other NC State policies and regulations.
- No longer aligned with UNC Board of Governors and federal policies.
- Redundant and overly complex and contained material that is more appropriate in a regulation, rule, or operating procedure than a policy.

Changes

- Shortened and tightened preamble (Sections 1, 2, and 4) without losing intent or meaning.

- Deleted Section 3 – Sources of Research Support. That information was informational rather than policy and is constantly updated and available at: http://research.ncsu.edu/for-researchers/

- Replaced sections on Proprietary Information, Publications, and Classified Research (formerly Sections 5, 6, and 7) to align directly with current UNCGA policy (now Sections 3 and 4).

- Deleted Section 8 – Consulting Activities. Consulting by faculty is covered by the UNC Policy Manual 300.2.2 (Policy on Conflicts of Interest and Commitment); by NC State Policy 10.00.01 – Patent and Tangible Research Policy; and by REG 01.25.01 – Conflicts of Interest and Conflicts of Commitment.

- Deleted Section 9 – Use of Human Subjects in Research. Use of human subjects is covered by REG 10.10.03 – Human Subject Research.

- Deleted Section 10 – Safety and Health in Research. Area is covered by POL 04.20.07 – Occupational Health and Safety Policy and REG 04.20.09 – Procurement of Chemicals, Compressed Gases, Cryogenic Liquids, Radioactive Materials and Biological Agents.

- Deleted Section 11 – Use of Animals in Research. Material is covered in REG 10.10.01 – Animal Care and Use Procedures.

- Deleted Section 12 – Patents and Copyrights. The subject of patents and copyrights is covered extensively by UNC Policy 500.2 – Patent and Copyright policy; by NC State Policy 10/00/01 – Patent and Tangible Research Policy (which was updated just last year), and by REG 01.25.03 – Copyright Implementation Pursuant to Copyright Use and Ownership Policy of the University of North Carolina.

Additional References:
UNC Code: Appendix I, Section II
UNC Policy Manual Chapter 500
NCSU Research and Sponsored Activities PRRs

1. INTRODUCTION

1.1 The University is dedicated to the pursuit of knowledge and its preservation and propagation, to the search for truth, to a fuller understanding of humankind and the universe in which we live, and to the education and intellectual development of its students and constituents to the end that they may be better informed, better adjusted, and more useful members of society. The development, protection, encouragement, and promotion of the spirit and habits of inquiry of faculty and students lie at the heart of the University’s purpose and vitality.

1.2 As a Land-Grant Institution, this University is charged with a further responsibility of concern for the well-being and economic and cultural improvement of the citizens throughout the State of North Carolina. This concern necessitates development of new and improved technological applications and the utilization of these innovations in agriculture, industry, business, society, and in other areas of local and global need.

1.3 Most importantly, the University must retain the public’s trust by engaging in research activities that are consistent in nature, quality, scope, and importance with its mission, and that are conducted under conditions that ensure its academic integrity.

2. CRITERIA FOR ACCEPTANCE OF RESEARCH SUPPORT THROUGH CONTRACTS AND GRANTS

Any proposed research or service, to merit favorable interest, should be of such nature that its pursuit augments and is compatible with the regular program of research or graduate/undergraduate teaching conducted by the individual or the department. All tenure-track
faculty members may submit proposals for support of research and scholarly projects. All proposals must be approved by a faculty member's unit or department head and Dean before submission to the Associate Vice Chancellor for Research Administration for final campus approval. Non-tenure-track faculty members and employees with termed appointments may submit proposals with prior approval of their Dean and the Vice Chancellor for Research, Innovation, and Economic Development.

3. PROPRIETARY INFORMATION AND PUBLICATIONS

3.1 Faculty and students of the University must have the right to disseminate freely and openly their research findings and research sponsors may not abridge this basic right. However, in certain cases, the sponsor may be in possession of proprietary and confidential information that the institution and the research sponsor must share to conduct the research project. Any agreement to protect proprietary information must comply with applicable laws.

3.2 Restrictions on publication of the results of sponsored research are incompatible with the basic concept of an educational institution as a source of knowledge. Short-term restrictions, usually up to six months duration but not to exceed twelve months may be permitted in the interest of actual or potential patent considerations. Any agreement that involves the joint use of University facilities for proprietary purposes, or that purports to restrict faculty or students from publishing freely the results of their own work, must first be approved by the Chancellor and reported in writing to the President of the UNC System prior to its execution. No agreement, however, may interfere with the publication or oral defense of research theses and dissertations of graduate students.

4. CLASSIFIED RESEARCH

Research conducted under any form of sponsorship must maintain the University's open teaching and research philosophy and must adhere to a policy that prohibits secrecy in research. However, in cases involving United States government classification that impose actual or potential limitations on publication or dissemination of research findings, or in any other case which clearly involves exceptional circumstances, the Chancellor may waive this requirement if it is in the national, state, or institutional interest to do so. All such agreements must be reported in writing to the President of the UNC System prior to their execution.
Agenda Item / Issue: 4.3A

Internal Audit Update

Requested / Required Action:

None

Suggested Motion:

None
Agenda Item / Issue: 4.3B

Budget/Legislative Update

Requested / Required Action:

None

Suggested Motion:

None
Agenda Item / Issue: 4.3C

University Debt Update

Requested / Required Action:

None

Suggested Motion:

None
New Issue: Moody's assigns Aa1 ratings to North Carolina State University's $276 million of Series 2013A&B General Revenue Bonds; outlook is stable

Global Credit Research - 31 Jan 2013

University has $617 million of pro forma rated debt with commercial paper program at full $100 million program size

BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA SYSTEM, NC
Public Colleges & Universities
NC

Moody's Rating

<table>
<thead>
<tr>
<th>ISSUE</th>
<th>RATING</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Revenue Bonds, Series 2013A (Tax-Exempt)</td>
<td>Aa1</td>
</tr>
<tr>
<td>Sale Amount</td>
<td>$133,855,000</td>
</tr>
<tr>
<td>Expected Sale Date</td>
<td>02/12/13</td>
</tr>
<tr>
<td>Rating Description</td>
<td>Revenue: Public University Broad Pledge</td>
</tr>
</tbody>
</table>

| General Revenue Bonds, Series 2013B (Taxable) | Aa1 |
| Sale Amount | $141,690,000 |
| Expected Sale Date | 02/12/13 |
| Rating Description | Revenue: Public University Broad Pledge |

Moody's Outlook STA

Opinion

NEW YORK, January 31, 2013 — Moody's Investors Service has assigned Aa1 ratings to North Carolina State University's $276 million of General Revenue Bonds, Series 2013A and Series 2013B. The rating outlook is stable. We have also affirmed our existing Aa1 and Aa1/VMG 1 ratings on the university's outstanding general revenue bonds.

SUMMARY RATING RATIONALE

The Aa1 rating and stable outlook reflect North Carolina State University's clear strategic and operating plans, strong market position for resident and non-resident students, history of healthy operating and capital support from the state, growing financial resources and improved operating performance. Challenges include increase in debt, thin financial resources relative to Aa1-rated peers, and limited coverage of demand debt from monthly liquidity relative to Aa1-rated peers.

The VMG 1 rating on the Series 2008A bonds reflects the terms of the standby bond purchase agreement with Wells Fargo Bank, NA (rated Aa3/P-1) supporting the tender feature of those bonds. The VMG 1 rating on the Series 2003B bonds reflects the standby bond purchase agreements supporting the tender feature of those bonds provided by Bayerische Landesbank (agreement qualifies for grandfathering of the public law guarantee of the federal state of Bavaria).

STRENGTHS

*Well-established position as land-grant university with nearly 25,000 full-time equivalent students and solid student demand profile for growing state. Net tuition per student increased 13% in FY 2012 to $6,517 and points to considerable market demand from in-state and out-of-state students.
*Strong sponsored research program with $228 million of expenses in FY 2012 and diverse funding sources.

*Healthy although reduced support for operations (37% of operating revenues in FY 2012) and capital from the Aa1-rated State of North Carolina. State appropriation per student of $15,305 at NCSU in FY 2012 remains much higher than Moody’s median for Aa1-rated public universities of $8,935.

*Improved annual operating performance averaging 7.0% over the last three years (FY 2010 through 2012) resulting from management’s strategic restructuring. Fiscal 2012 operating cash flow of 12.4% supported debt service coverage by 5.0 times.

*Healthy financial resource cushion with total financial resources at the end of FY 2011 of $912 million. Expendable financial resources of $556 million cushion pro forma debt 0.93 times and annual operating expenses by 0.48 times.

*Solid fundraising history for university, which raised $111 million in new gifts and pledges in FY 2012 with strategy to increase portion of gifts for endowment purposes.

CHALLENGES

*Substantial increase in debt as pro forma debt of $600 million is up 39% from June 30, 2012 and debt to operating revenue increases to 0.48 times. Increased operating leverage underscores need to maintain strengthened operating cash flow. Future borrowing plans in line with schedule debt retirement over next five years.

*Political climate that limits in-state tuition price increases with stated goal to remain in bottom quartile of peers and restrictions on the number of non-resident students.

*Limited pool of unrestricted reserves relative to $1.2 billion expense base with monthly liquidity of $401 million translating into 133 monthly days cash on hand.

*Material amount of variable rate demand debt for NCSU with monthly liquidity to pro forma demand debt of 328%, below the 614% median for Aa1-rated public universities with demand debt.

*Fundraising for endowment has been limited as prior campaigns have focused more on capital needs and current operations. Of the prior $1.4 billion campaign, just 19.4% was for endowed funds. Management hopes to increase the portion of the next campaign for endowment to 33%.

DETAILED CREDIT DISCUSSION

USE OF PROCEEDS: Proceeds from the Series 2013A and Series 2013B bonds will be used to fund the Wolf Ridge Housing/Dining improvements, provide funds for the improvements to the Talley Student Center, refund a portion of the Series 2005A general revenue bonds, refund amounts outstanding on the commercial paper program related to the Wolf Ridge and Talley projects as well as to pay costs of issuance.

LEGAL SECURITY: The general revenue bonds are payable from a broad basket of Available Funds, which include all unrestricted general fund and unrestricted quasi-endowment fund balances, except those derived from tuition charges, state appropriations, and donor-restricted funds and revenues generated from Special Facilities. Available Funds totaled $535 million in FY 2012, including $178 million of unrestricted fund balances, and provided approximately 20 times coverage of debt service.

INTEREST RATE DERIVATIVES: NCSU has entered into three swap agreements related to its Series 2003B and 2008A bonds with a total notional amount of $74.7 million. Counterparties are JPMorgan Chase Bank NA (bank deposit rating of A3/P-1) and Bank of America NA (bank deposit rating of A3/P-2). As of December 31, 2012, the three agreements had a combined negative fair market value of $16.5 million to the university. The university does not have to post collateral. We have incorporated the exposure into our rating. NC State Student Aid Association, a component unit of the university, has entered into swaps as hedges against its variable rate debt. The contracts had a negative fair market value of $1.3 million to the Association as of June 30, 2012.

MARKET POSITION: STRENGTHENING STUDENT DEMAND REFLECTS DEMOGRAPHIC TRENDS IN NC AND VALUE FOR LAND GRANT UNIVERSITY WITH LARGE RESEARCH ENTERPRISE

Moody's expects NCSU will continue to experience growing student demand and enrollment as a land-grant university in a demographically vibrant state. NCSU is the largest public university in the state, enrolling 28,924 full-time equivalent (FTE) students in fall 2012 with students in its diversified undergraduate and graduate programs.
Management hopes to moderate enrollment growth from prior years of rapid growth, with a growing portion of growth expected in graduate and transfer students. Under its strategic plan, the university expects to grow to 37,000 headcount students by 2020 from the approximately 34,400 in fall 2011.

Student demand and student quality have been enhanced as the university receives more applications from North Carolina high school students than any other state university and applications from out of state have been growing. Freshman selectivity improved in fall 2012 to 49.6% and the yield on admitted students remained healthy at 41.7%. The university maintains a considerable price advantage, especially for in-state undergraduates who pay tuition and fees totaling $7,788 for the 2012-2013 academic year. Through increased admissions standards and active intervention efforts the university remains focused on increasing retention of students and some of these efforts have already yielded results as the freshmen to sophomore retention rate improved to 82% from 88% between fall 2010 and fall 2012.

Reflecting its traditional strengths in science and engineering, the university has a growing base of sponsored research activity, with expenditures up 20% between FY 2008 and FY 2012. We believe research activities are further enhanced through the development of the Centennial Campus, a research and development park designed to attract a combination of university, industry, government and non-profit partners. NCSU enjoys a diverse base of research sponsors. In fiscal 2012 a variety of federal agencies made up 53% of the total related expenditures with the remainder made up of private foundations, state agencies and industry. Management is actively seeking to increase sponsored research activity at the university even in light of potential reductions in federal support.

OPERATING PERFORMANCE: IMPROVED OPERATING PERFORMANCE REFLECTS RESTRUCTURING AS STATE OPERATING SUPPORT DOES NOT KEEP PACE WITH ENROLLMENT GROWTH

Moody's Aa1 rating and stable outlook reflect expectations of continued healthy operating cash and debt service coverage. In the summer of 2009 as the university faced diminished prospects for state support, management took early and effective action to reduce administrative costs and realign academic programs to improve operating efficiency. These actions have translated into enhanced operating performance as calculated by Moody's with an average operating margin of 7.0% between in FY 2010 through FY 2012 (ended June 30) as compared to 3.3% for the prior three fiscal years. In FY 2012 the operating cash flow margin was 12.4% as debt service coverage averaged 5.7 times. Even with cuts in state funding on a per student basis, NCSU remains uncommonly reliant on the state for operating funds, with state appropriations accounting for 37% of the university's $1.2 billion operating revenue base in FY 2012. Other revenue sources beyond state appropriations include student fees and auxiliaries (31%), grants and contracts (23%), and gifts (5%).

A recent downturn in revenues for the state of North Carolina has produced cuts in state operating support for NCSU, a portion of which was replaced with federal stimulus funds through FY 2011. State operating support declined by $8 million, or 1.7%, for the FY 2012 budget, with an expected slight increase in FY 2013 with enrollment growth targeted funds. State appropriation per student was $15,305 in fiscal 2012, much higher than our Aa1 median of $8,965 for public universities, and second highest amongst the state's public higher education institutions rated by Moody's. State capital support has also been uncommonly strong, totaling $762 million in the decade ending with the FY 2012 period. The state's funding formula also allows for ongoing maintenance needs of the facilities. Our rating incorporates an expectation that a substantial portion of the university's academic building needs will be met by the state in the future.

The state's Aaa rating reflects North Carolina's history of conservative fiscal management, moderate debt levels, and a fundamentally diverse economy that, like other states, has experienced a slowdown. The rating outlook is stable. Challenges include managing through the economic downturn's effect on the state finances, in light of reduced budget reserve fund levels. For more information on Moody's ratings of North Carolina, see our report dated January 17, 2013.

BALANCE SHEET PROFILE: GROWING FINANCIAL RESOURCE BASE HELPS OFFSET SUBSTANTIAL INCREASE IN DEBT

With a 15% return on financial resources in FY 2012, NCSU's overall wealth reached a new high with total financial resources of $912 million. Expendable financial resources were $557 million at June 30, 2012 covering pro forma debt by 0.93 times and operations by 0.48 times. Our calculation of pro forma direct debt is $600 million. Future borrowing plans call for approximately $65 million to be issued over the next five years, an amount under the scheduled principal retirement of general revenue bonds over that period. Operating leverage has been remarkably low but is increasing with the current borrowing to a still manageable 0.48 times. The two projects being financed with the general revenue bonds do bring expectations of expected revenue growth through student
housing and dining revenue as well as a mandatory student fee in support of the student center. While the current increase in debt is manageable, maintenance of healthy operating cash flow combined with the anticipated additional revenue and resource growth, will be needed over time to support the additional debt capacity at the Aa1 level.

While the university’s monthly liquidity is healthy it remains somewhat thin relative to Aa1-rated peers. Monthly liquidity of $401 million for FY 2012 translates to 133 monthly days cash on hand, below our median of 154 days for Aa1-rated public universities. Similarly, monthly liquidity to pro forma demand debt of 328% is below the 614% median for Aa1-rated public universities with demand debt.

The university has $110 million of variable rate debt supported by standby bond purchase agreements, which, when combined with other demand debt including that at various component units, points to a considerable total $123 million of pro forma demand debt. The P-1 rated commercial paper program notes are issued on behalf of the University of North Carolina at Chapel Hill (UNC) and North Carolina State University at Raleigh (NCSU) and liquidity support for the program comes from UNC. NCSU’s portion of the total program size is $100 million. NCSU plans to retire the outstanding CP through the current issuance.

The university completed a comprehensive campaign in June 2008 having raised $1.38 billion against a $1 billion target, and is planning to begin the silent phase of its next campaign in 2013. The university received gifts and new commitments of $111 million in fiscal 2012, up from $107 million in the prior year. The portion for endowment for the prior campaign was limited at 19.4% of funds raised, but management hopes to focus one-third of the next campaign for endowment. With total financial resources per student of $31,526 as of FY 2012, well below the Aa1 median of $54,864, increasing wealth per student would help move the university to be more in line with its reputational peers. The university has recently announced several gifts over $1 million that will help propel the campaign.

In FY 2012, the pooled endowment recorded a 2.3% return, aided somewhat by direct forest land investments. The asset allocation as of September 30, 2012 was 40% global equities, 18% hedge funds, 7% fixed income/cash, 23% private equity, 6% energy and natural resources, and 6% real estate. The UNC Management Company, affiliated with sister institution University of North Carolina at Chapel Hill (rated Aaa), managed 87% of the endowed funds across the campus as of June 30, 2012. For more information about Chapel Hill’s investment management see Moody’s report dated July 10, 2012.

GOVERNANCE AND MANAGEMENT: STRATEGIC PLANNING REFLECTED IN IMPROVED OPERATING PERFORMANCE

NCSU was established in 1887 and was one of the three original institutions that formed the University of North Carolina System in 1931. Although each of the system’s 16 campuses has its own Board of Trustees, the system is governed by a single legislature-appointed Board of Governors. North Carolina State University’s relationship with the state and its role in economic development of the capital city reflects its political prominence. We believe management’s corrective actions given post-2008 funding challenges are indicative of solid and forward looking stewardship as reflected in the improved operating performance across the period. In November 2011, management presented an implementation plan for its 2011-2020 strategic plan, The Pathway to the Future.

Outlook

The stable outlook reflects our expectation of continued strong student demand and healthy support from the state of North Carolina. The outlook also anticipates continued healthy operating cash flow and further growth in revenues and financial resources with limited increases in debt.

WHAT COULD MAKE THE RATING GO UP

Substantial growth in financial resources, enhanced revenue diversity, increased donor support and strengthening of market position while limiting additional debt.

WHAT COULD MAKE THE RATING GO DOWN

Increased debt; decline in operating cash flow; decline in unrestricted liquidity; material reduction in state operating or capital support.

KEY INDICATORS (FY 2012 financial data, fall 2012 enrollment data)

Full-Time Equivalent Enrollment: 28,924 students
Primary Selectivity: 49.6%
Primary Matriculation: 41.7%
Net Tuition per Student: $6,517
Educational Expenses per Student: $26,402
Average Gifts per Student $3,659
Total Cash and Investments: $700 million
Total Pro-Forma Direct Debt: $600 million (assumes CP balance of $0)
Total Pro-Forma Comprehensive Debt*: $646 million
Expendable Financial Resources to pro forma Direct Debt: 0.93 times
Expendable Financial Resources to Operations: 0.48 times
Monthly Days Cash on Hand: 133 times
Monthly Liquidity to pro forma Demand Debt: 328%
Operating Revenue: $1.25 billion
Operating Cash Flow Margin: 12.4%
Three-Year Average Debt Service Coverage: 5.7 times
Reliance on State Support (% of Operating Revenues): 37%
State General Obligation Rating: Aaa, with stable outlook
* Comprehensive Debt includes direct debt, operating leases, and pension obligation, if applicable.

RATED DEBT
General Revenue Series 2003B: Aa1/VMIG 1 (SBPA from Bayerische Landesbank, stated expiration date of November 30, 2015)
General Revenue Series 2006A: Aa1/VMIG 1 (SBPA from Wells Fargo, stated expiration date of July 6, 2015)
Commercial Paper Program: P-1 (liquidity provided by University of North Carolina at Chapel Hill)

CONTACTS
NCSU: Mary Pelouquin-Dodd, Associate Vice Chancellor for Finance & Business and Treasurer, 919-515-2143
Underwriter: Tripp Robinson, Wells Fargo, 704-715-8220

RATING METHODOLOGIES
The principal methodology used for rating the long-term general revenue bonds was U.S. Not-for-Profit Private and Public Higher Education published in August 2011. The principal methodology used for rating the short-term variable rate debt was Variable Rate Instruments Supported by Third-Party Liquidity Providers published in November 2006. Please see the Credit Policy page on www.moodys.com for a copy of these methodologies.

REGULATORY DISCLOSURES
For ratings issued on a program, series or category/class of debt, this announcement provides certain regulatory disclosures in relation to each rating of a subsequently issued bond or note of the same series or category/class of debt or pursuant to a program for which the ratings are derived exclusively from existing ratings in accordance with
Moody's rating practices. For ratings issued on a support provider, this announcement provides certain regulatory disclosures in relation to the rating action on the support provider and in relation to each particular rating action for securities that derive their credit ratings from the support provider's credit rating. For provisional ratings, this announcement provides certain regulatory disclosures in relation to the provisional rating assigned, and in relation to a definitive rating that may be assigned subsequent to the final issuance of the debt, in each case where the transaction structure and terms have not changed prior to the assignment of the definitive rating in a manner that would have affected the rating. For further information please see the ratings tab on the issuer/entity page for the respective issuer on www.moodys.com.

Please see www.moodys.com for any updates on changes to the lead rating analyst and to the Moody's legal entity that has issued the rating.

Please see the ratings tab on the issuer/entity page on www.moodys.com for additional regulatory disclosures for each credit rating.

**Analysts**

Dennis M. Gephardt
Lead Analyst
Public Finance Group
Moody's Investors Service

Jenny Lin Maloney
Backup Analyst
Public Finance Group
Moody's Investors Service

**Contacts**

Journalists: (212) 553-0376
Research Clients: (212) 553-1653

Moody's Investors Service, Inc.
250 Greenwich Street
New York, NY 10007
USA

© 2013 Moody's Investors Service, Inc. and/or its licensors and affiliates (collectively, "MOODY'S"). All rights reserved.
DO NOT PROVIDE RECOMMENDATIONS TO PURCHASE, SELL, OR HOLD PARTICULAR SECURITIES. NEITHER CREDIT RATINGS NOR MOODY’S PUBLICATIONS COMMENT ON THE SUITABILITY OF AN INVESTMENT FOR ANY PARTICULAR INVESTOR. MOODY’S ISSUES ITS CREDIT RATINGS AND PUBLISHES MOODY’S PUBLICATIONS WITH THE EXPECTATION AND UNDERSTANDING THAT EACH INVESTOR WILL MAKE ITS OWN STUDY AND EVALUATION OF EACH SECURITY THAT IS UNDER CONSIDERATION FOR PURCHASE, HOLDING, OR SALE.

ALL INFORMATION CONTAINED HEREIN IS PROTECTED BY LAW, INCLUDING BUT NOT LIMITED TO, COPYRIGHT LAW, AND NONE OF SUCH INFORMATION MAY BE COPIED OR OTHERWISE REPRODUCED, REPACKAGED, FURTHER TRANSMITTED, TRANSFERRED, DISSEMINATED, REDISTRIBUTED OR RESOLD, OR STORED FOR SUBSEQUENT USE FOR ANY SUCH PURPOSE, IN WHOLE OR IN PART, IN ANY FORM OR MANNER OR BY ANY MEANS WHATSOEVER, BY ANY PERSON WITHOUT MOODY’S PRIOR WRITTEN CONSENT. ALL INFORMATION CONTAINED HEREIN IS OBTAINED BY MOODY’S FROM SOURCES BELIEVED BY IT TO BE ACCURATE AND RELIABLE. BECAUSE OF THE POSSIBILITY OF HUMAN OR MECHANICAL ERROR AS WELL AS OTHER FACTORS, HOWEVER, ALL INFORMATION CONTAINED HEREIN IS PROVIDED “AS IS” WITHOUT WARRANTY OF ANY KIND. MOODY’S ADOPTS ALL NECESSARY MEASURES SO THAT THE INFORMATION IT USES IN ASSIGNING A CREDIT RATING IS OF SUFFICIENT QUALITY AND FROM SOURCES MOODY’S CONSIDERS TO BE RELIABLE, INCLUDING, WHEN APPROPRIATE, INDEPENDENT THIRD-PARTY SOURCES. HOWEVER, MOODY’S IS NOT AN AUDITOR AND CANNOT IN EVERY INSTANCE INDEPENDENTLY VERIFY OR VALIDATE INFORMATION RECEIVED IN THE RATING PROCESS. UNDER NO CIRCUMSTANCES SHALL MOODY’S HAVE ANY LIABILITY TO ANY PERSON OR ENTITY FOR ANY LOSS OR DAMAGE IN WHOLE OR IN PART CAUSED BY, RESULTING FROM, OR RELATING TO, ANY ERROR (NEGLIGENCE OR OTHERWISE) OR OTHER CIRCUMSTANCE OR CONTINGENCY WITHIN OR OUTSIDE THE CONTROL OF MOODY’S OR ANY OF ITS DIRECTORS, OFFICERS, EMPLOYEES OR AGENTS IN CONNECTION WITH THE PROCUREMENT, COLLECTION, COMPILATION, ANALYSIS, INTERPRETATION, COMMUNICATION, PUBLICATION OR DELIVERY OF ANY SUCH INFORMATION, OR ANY DIRECT, INDIRECT, SPECIAL, CONSEQUENTIAL, COMPENSATORY OR INCIDENTAL DAMAGES WHATSOEVER (INCLUDING WITHOUT LIMITATION, LOST PROFITS), EVEN IF MOODY’S IS ADVISED IN ADVANCE OF THE POSSIBILITY OF SUCH DAMAGES, RESULTING FROM THE USE OF OR INABILITY TO USE, ANY SUCH INFORMATION. THE RATINGS, FINANCIAL REPORTING ANALYSIS, PROJECTIONS, AND OTHER OBSERVATIONS, IF ANY, CONSTITUTING PART OF THE INFORMATION CONTAINED HEREIN ARE, AND MUST BE CONSTRUED SOLELY AS, STATEMENTS OF OPINION AND NOT STATEMENTS OF FACT OR RECOMMENDATIONS TO PURCHASE, SELL OR HOLD ANY SECURITIES. EACH USER OF THE INFORMATION CONTAINED HEREIN MUST MAKE ITS OWN STUDY AND EVALUATION OF EACH SECURITY IT MAY CONSIDER PURCHASING, HOLDING OR SELLING. NO WARRANTY, EXPRESS OR IMPLIED, AS TO THE ACCURACY, TIMELINESS, COMPLETENESS, MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE OF ANY SUCH RATING OR OTHER OPINION OR INFORMATION IS GIVEN OR MADE BY MOODY’S IN ANY FORM OR MANNER WHATSOEVER.

MS, a wholly-owned credit rating agency subsidiary of Moody’s Corporation (“MCO”), hereby discloses that most issuers of debt securities (including corporate and municipal bonds, debentures, notes and commercial paper) and preferred stock rated by MS have, prior to assignment of any rating, agreed to pay to MS for appraisal and rating services rendered by it fees ranging from $1,500 to approximately $2,500,000. MCO and MS also maintain policies and procedures to address the independence of MS’s ratings and rating processes. Information regarding certain affiliations that may exist between directors of MCO and rated entities, and between entities who hold ratings from MS and have also publicly reported to the SEC an ownership interest in MCO of more than 5%, is posted annually at www.moodys.com under the heading “Shareholder Relations — Corporate Governance — Director and Shareholder Affiliation Policy.”

For Australia only: Any publication into Australia of this document is pursuant to the Australian Financial Services License of MOODY’S AFFILIATE, Moody’s Investors Service Service Pty Limited ABN 61 003 399 657 AFSL 336596 and/or Moody’s Analytics Australia Pty Ltd ABN 94 105 136 972 AFSL 383569 (as applicable). This document is intended to be provided only to “wholesale clients” within the meaning of section 761G of the Corporations Act 2001. By continuing to access this document from within Australia, you represent to MOODY’S that you are, or are accessing the document as a representative of, a “wholesale client” and that neither you nor the entity you represent will directly or indirectly disseminate this document or its contents to “retail clients” within the meaning of section 761G of the Corporations Act.
2001. MOODY'S credit rating is an opinion as to the creditworthiness of a debt obligation of the issuer, not on the equity securities of the issuer or any form of security that is available to retail clients. It would be dangerous for retail clients to make any investment decision based on MOODY'S credit rating. If in doubt you should contact your financial or other professional adviser.
The Board Of Governors Of The University Of North Carolina North Carolina State University At Raleigh; CP; Public Coll/Univ - Unlimited Student Fees

Primary Credit Analyst:
Bianca Gaytan-Burrell, Dallas (1) 214-871-1416; bianca_gaytan-burrell@standardandpoors.com

Secondary Contact:
Blake Cullimore, Boston (1) 617-530-8312; blake_cullimore@standardandpoors.com

Table Of Contents

Rationale
Outlook
Enterprise Profile
Financial Profile
Related Criteria And Research
The Board Of Governors Of The University Of North Carolina
North Carolina State University At Raleigh; CP; Public Coll/Univ - Unlimited Student Fees

Credit Profile

US$275.0 mil gen rev & taxable gen rev bnds ser 2013 AB due 10/01/2042

Long Term Rating
AA/Stable
New

North Carolina St Univ at Raleigh ICR

Long Term Rating
AA/Stable
Affirmed

The Brd of Governors of the Univ of North Carolina, North Carolina
North Carolina St Univ at Raleigh, North Carolina


Long Term Rating
AA/Stable
Affirmed

Univ of North Carolina Brd of Governors (North Carolina St Univ at Raleigh) (CP)

Short Term Rating
A-1+
Affirmed

Rationale

Standard & Poor's Ratings Services assigned its 'AA' long-term rating to the North Carolina State University at Raleigh’s (NC State) nearly $275 million series 2013 A and B (taxable) general revenue bonds issued by the Board of Governors of the University of North Carolina. At the same time, Standard & Poor's affirmed its 'AA' long-term and underlying rating (SPUR) on the existing revenue bonds, issued for the university, and its 'A-1+' short-term rating on the board's commercial paper (CP) program, issued for the university. In addition, Standard & Poor's affirmed its 'AA' issuer credit rating (ICR) on the university. The outlook on all ratings is stable.

The rating reflects our view of NC State's strong financial operations, stable enrollment and solid demand metrics, and continued operating and capital support from North Carolina. However, the university's overall debt has grown significantly from $328 million in 2009 to approximately $585 million after the issuance of the series 2013 A and B bonds. Although the university maintains a manageable annual debt burden and internal debt management policies, the rapid issuance of debt has increased pressure on an already weakened balanced sheet.

More specifically, the rating is supported by our view of the university's:

- Role as North Carolina's land-grant university and one of two comprehensive research institutions in the 17-campus University of North Carolina (UNO) System;
- Solid enrollment growth, coupled with highly competitive admissions at both the undergraduate and graduate levels;
- Good financial operations, despite reductions in state operating appropriations;
• Historically strong funding for operations and capital from North Carolina despite recent reductions; and
• Strong annual fund raising that averages about a $100 million per year.

In our opinion, partly offsetting credit factors include the university's:

• Low financial resources for the rating category with adjusted unrestricted net assets of $261.3 million as of June 30, 2012, accounting for 21% of adjusted operating expenses and 45% of pro forma debt;
• Rapid debt issuance in a short period of time; and
• Relatively small endowment compared with peer institutions.

The approximately $275 million series 2013 A and B bond proceeds will be used to construct, equip and furnish the Centennial Campus Housing Complex (Wolf Ridge at Centennial), the renovation of the Talley Student Center, and to refund $48.6 million of the series 2005A general revenue bonds. The bonds are on parity with the university's outstanding general revenue bonds, which are payable from, and secured by, available funds of the university. The available funds pledge includes a broad range of university funds and we consider it to be equivalent to the university's unlimited student fee pledge. Post issuance debt totals $585 million. For fiscal 2012, available funds were $535 million, a 7% increase from the previous year. Management reports it may issue an additional $65 million in 2016-2017 for various campus improvements. We will evaluate the additional debt at the time of issuance, but expect a commensurate growth in financial resources.

North Carolina State University at Raleigh was established in 1887 as a land-grant institution by the North Carolina General Assembly. Today it is a comprehensive research university organized into 10 schools and colleges spread across the 2,100-acre campus. The university offers programs in agriculture and life sciences, design, education, engineering, humanities and social sciences, management, natural resources, physical and mathematical sciences, textiles, and veterinary medicine and is currently the largest four-year institution in the UNC System.

Outlook

The stable outlook reflects our expectation that, during the next two years, the university's financial performance will remain somewhat stable despite a challenging state funding environment, demand for the university's programs will remain strong, and financial resources will remain slightly below average for the rating category.

In our opinion, a positive rating action during the outlook period is unlikely. However, factors that could lead to such action beyond the two-year period could include stabilization of the state funding environment and consistently positive operating results on a generally accepted accounting principles (GAAP) basis as well as significant improvement in the university's financial resources relative to the rating category.

A negative rating action within the outlook period could reflect further reductions in state support that could result in a trend of deficit operations on a GAAP basis or additional debt that is not commensurate with the growth of financial resources or revenues.
Enterprise Profile

Demand
NC State is the largest university in the University of North Carolina System with more than 34,000 students enrolled. For the fall 2012 semester, total headcount enrollment was 34,340, of which 72% was undergraduate. Total enrollment has seen modest growth during the past five years, with the majority of growth coming at the graduate level. Management has indicated that it plans to increase total headcount to roughly 37,000 by 2020 and expects its graduate and doctoral programs to experience the highest levels of growth.

Student demand continues to be strong, with first-year applications totaling 20,298 in fall 2012, a 2.2% increase from the previous year and a 25% increase from fall 2008. The university accepted a selective 50% of freshman applying for fall 2012 enrollment and 42% matriculated. Management reports that out of state applicants have increased, though the board policy dictates that they represent less than 18% of the first year class. Student quality as measured by standardized test scores is above average, with SAT scores of 1218 for fall 2012. First year retention rates are also excellent at approximately 91%, and graduation rates continue to be well above the public university national average. Graduate admissions are also highly competitive. For fall 2012, the university received 14,395 applications for admission and selected a low 25% of those applicants. Like other UNC System schools, the university’s low student charges represent significant flexibility. For the 2012-2013 academic year, undergraduate tuition and fees for state residents was $7,788 and for out-of-state students was $20,953.

Research
North Carolina State University at Raleigh’s campus includes a central campus; the Biomedical Centennial Campus; and Centennial Campus, a 1,334-acre research and technology-transfer park that includes corporate and government research, as well as development centers and business incubators. The university, along with the University of North Carolina-Chapel Hill and Duke University, constitutes the backbone of the Research Triangle. The research triangle is a world-leading research development park, which we believe provides long-term stability to the university’s role in research. The university, in our opinion, conducts a high level of sponsored research for an institution without a medical school. For fiscal 2012, 22% of revenues were generated from grants and contracts. The university recorded $247.1 million in research expenses in fiscal 2012, up from $241 million the previous year and $195 million in fiscal 2008. More than 50% of research expenditures come from the federal government, the largest of which is from the National Science Foundation. The university’s current indirect cost recovery rate is 51.5%. A major institutional goal is to increase research awards by 50% by 2015. Management reports that the effects of the federal sequestration on would be minimal given the area of research that is expected to be reduced. Management expects to mitigate future reductions by hiring more faculty that would bring in more awards and further diversify its research with increased private funding.

Government-related entity
In accordance with our criteria for government-related entities (GRE), our view of a "moderate" likelihood of extraordinary support is based on our assessment that North Carolina State University has a "limited" link with North Carolina given the state's limited involvement with the university's day-to-day operations and history of strong annual operating and capital appropriations but no history of extraordinary support. We also based our assessment on North
Carolina State's important role in the state's economy compared with that of other state GREs, role as the largest of the UNC System schools, and flagship status as a major research and land grant institution. Pursuant to the GRE criteria, a moderate likelihood of extraordinary support from North Carolina does not result in NC State's standalone credit profile of 'aa' being raised.

Management
NC State board of Trustees comprises 13 members -- eight are elected by the UNC Board of Governors, four are appointed by the governor, and one member is the president of the student government (ex officio). The board's purpose is to advise on matters pertaining to the university and serves as adviser to the chancellor.

We view positively management's response to cuts in state appropriations. This is evidenced by a return to positive operations on a full accrual basis since fiscal 2010 after two years of deficits. Through its new strategic plan, management continues to improve efficiencies at both the academic and operational level and has aligned this with student and faculty success. In addition, we view management's debt policy and conservative budget management as best practices.

Financial Profile

State appropriations
State appropriations accounted for approximately 37% of the university's operating revenues in fiscal 2012. After reductions in state appropriations in 2009, federal stimulus funds moderated potential reductions in 2010 and 2011. Fiscal 2012 saw a reduction of about 7% from the previous year to $466 million. However, for fiscal 2013, state appropriations increased to $483.8 million. At this time the university is not aware of any mid-year reductions. Management has responded to state funding reductions through operational and academic restructuring. In addition, the increase in enrollment and subsequent increased tuition revenue, along with improving fund raising to further mitigate these reductions. Public universities in North Carolina also benefit from capital support from the state. The state currently has not made any significant reductions in capital commitments for projects that are already underway, but has resisted making any new commitments at this time. For fiscals 2012 and 2013, capital appropriations were $43.8 million and $18.5 million, respectively.

Operations
The trend of positive operations continued in fiscal 2012 with a 7.1% increase in net assets, compared with 7.6% and 6% in fiscals 2011 and 2010, respectively. Total net assets for fiscal 2012 were $1.7 billion. Expense cuts as well as increased tuition revenue and a positive investment performance resulted in surplus operations on a GAAP-basis in fiscals 2010-2012. Net income before capital appropriations and additions to endowment totaled $59.3 million in fiscal 2012, up from $38.1 million in fiscal 2010. Management expects similar results for fiscal 2013.

Financial resources and endowment
The university's financial resources have been improving but remain low for the rating category, in our opinion. Unrestricted net assets (UNA) totaled $214.4 million as of June 30, 2012, equal to 18% of adjusted operating expenses and 37% of pro forma debt. When adjusted to include the unrestricted net assets (UNA) of the related foundations and debt service reserves, the adjusted UNA improves to $261 million equal to 21% of adjusted operating expenses and
45% of pro forma debt.

Total market value for the NC State Associated Entities and university endowment fund was $635 million as of fiscal year-end 2012. This is an increase from $617.6 million from the previous fiscal year and more than doubled from $289.1 million in fiscal 2003. The majority of the university's endowments are pooled into the North Carolina State Investment Fund (NCSIF). About 87% of these funds along with other investments from other UNC system schools are pooled and managed by the UNC Management Co. Inc. Annual distributions are 4% of the average market value of the endowment during the previous 20 quarters. According to management, as of fiscal year-end 2012, the endowment consolidated in the university's financial statements was approximately $161 million.

NC State has sufficient liquidity as part of its long-term investment portfolio. The endowment has an overall daily liquidity of about 20%, which we view as good. In addition, the university held about $23 million in cash in the NCSIF at June 30, 2012. Operating funds are generally invested in the state treasurer’s investment fund, which provides same-day funds and as of fiscal year-end 2012 covered variable rate demand bonds by 2.5x and operating expenses by 0.33x.

**Fund raising**

A major strategic initiative at the university is fund raising, and the university was able to raise approximately $111.4 million in fiscal 2012, up 4% from fiscal 2010. Management reports that it expects fiscal 2013 to surpass the 2012 year-end result. We view this annual fund raising as very strong given that there is not a formal capital campaign. Annual giving totaled $1.5 million in fiscal 2012 up from $1.1 million in fiscal 2011. Management reports that the alumni participation rate has been steadily increasing and was 13.5% for fiscal 2012. The university completed a successful capital campaign in 2008, under which it raised $1.37 billion, and management indicated in 2013 it expects to begin the silent phase of a major capital campaign to coincide with the university's 125th anniversary.

**Debt**

After the issuance of the 2013 bonds, the university has approximately $585 million in total debt outstanding. Of the $585 million, $506 million are revenue bonds secured by a pledge of available funds. We view the self-supporting nature of the general revenue bonds and internal policy of 1.2x debt service coverage (per project) as positive and helps mitigate some of the concern associated with the large debt issuance. Post issuance, about 78% will be fixed rate, 15% synthetic fixed rate and 7% variable rate.

The university also has two series of variable-rate demand bonds outstanding, supported by bank standby bond purchase agreements (SBPA). The series 2003B bonds are supported by a SBPA with Bayerische Landesbank that expires in 2015. The series 2008A bonds are supported by a SBPA with Wells Fargo N.A. that expires in 2015. The university also entered into a forward swap, which does not begin until 2017, in conjunction with the series 2008 variable-rate demand bonds. The university has an adopted debt and swap management policy, and it actively manages the swap portfolio internally. Involuntary termination risk for the university is remote in our view, due to the very broad ratings trigger spread between its current rating and the credit event.

The university can issue up to $100 million of commercial paper (CP) notes; and with this issuance, the university will not have any CP notes outstanding. UNC-Chapel Hill provides ample and sufficient liquidity for the program to pay the purchase price of CP notes in the event they are not remarshaled.
### Table 1

**North Carolina State University At Raleigh**

<table>
<thead>
<tr>
<th>Enrollment and Demand</th>
<th>2013</th>
<th>2012</th>
<th>2011</th>
<th>2010</th>
<th>'AA' Rated Public College &amp; University Medians</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Headcount (HC)</td>
<td>34,340</td>
<td>34,767</td>
<td>34,376</td>
<td>33,619</td>
<td></td>
<td>34,376</td>
</tr>
<tr>
<td>Full time equivalent (FTE)</td>
<td>28,924</td>
<td>30,453</td>
<td>30,436</td>
<td>29,912</td>
<td></td>
<td>28,352</td>
</tr>
<tr>
<td>Freshman acceptance rate (%)</td>
<td>49.9</td>
<td>52.3</td>
<td>54.0</td>
<td>54.0</td>
<td></td>
<td>69.5</td>
</tr>
<tr>
<td>Freshman matriculation rate (%)</td>
<td>41.7</td>
<td>43.9</td>
<td>43.3</td>
<td>45.2</td>
<td></td>
<td>39.3</td>
</tr>
<tr>
<td>Undergraduates as a % of total enrollment (%)</td>
<td>72.3</td>
<td>72.4</td>
<td>73.4</td>
<td>74.7</td>
<td></td>
<td>76.8</td>
</tr>
<tr>
<td>Freshman retention (%)</td>
<td>N.A.</td>
<td>90.5</td>
<td>89.1</td>
<td>90.9</td>
<td></td>
<td>84.2</td>
</tr>
<tr>
<td>Graduation rates (5 years) (%)</td>
<td>N.A.</td>
<td>67.0</td>
<td>66.6</td>
<td>67.0</td>
<td></td>
<td>60.4</td>
</tr>
</tbody>
</table>

**Income Statement**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Adj. operating revenue ($000s)</td>
<td>N.A.</td>
<td>1,265,712</td>
<td>1,254,103</td>
<td>1,175,558</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Adj. operating expense ($000s)</td>
<td>N.A.</td>
<td>1,205,458</td>
<td>1,201,729</td>
<td>1,136,192</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Change in Net Income before Capital ($000s)</td>
<td>N.A.</td>
<td>59,510</td>
<td>53,421</td>
<td>38,147</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Estimated Operating Gain/Loss before Depreciation ($000s)</td>
<td>N.A.</td>
<td>120,006</td>
<td>108,824</td>
<td>92,828</td>
<td></td>
<td>128,000</td>
</tr>
<tr>
<td>Change in unrestricted net assets ($000s)</td>
<td>N.A.</td>
<td>36,586</td>
<td>11,485</td>
<td>18,863</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>State operating Appropriations ($000s)</td>
<td>N.A.</td>
<td>466,082</td>
<td>499,074</td>
<td>491,931</td>
<td></td>
<td>281,821</td>
</tr>
<tr>
<td>State Appropriations to revenue (%)</td>
<td>N.A.</td>
<td>36.8</td>
<td>39.8</td>
<td>41.8</td>
<td></td>
<td>22.9</td>
</tr>
<tr>
<td>Tuition dependence (%)</td>
<td>N.A.</td>
<td>17.2</td>
<td>15.7</td>
<td>14.5</td>
<td></td>
<td>22.1</td>
</tr>
</tbody>
</table>

**Debt**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Proforma debt ($000s)</td>
<td>N.A.</td>
<td>584,969</td>
<td>394,043</td>
<td>338,589</td>
<td></td>
<td>655,182</td>
</tr>
<tr>
<td>Current debt service burden (%)</td>
<td>N.A.</td>
<td>2.21</td>
<td>2.21</td>
<td>N.A.</td>
<td></td>
<td>3.70</td>
</tr>
<tr>
<td>Current MADS burden (%)</td>
<td>N.A.</td>
<td>3</td>
<td>N.A.</td>
<td>2.58</td>
<td></td>
<td>MNR</td>
</tr>
</tbody>
</table>

**Financial Resource Ratios**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Endowment market value ($000s)</td>
<td>N.A.</td>
<td>161,385</td>
<td>161,402</td>
<td>143,112</td>
<td></td>
<td>456,110</td>
</tr>
<tr>
<td>Related Foundation MV ($000s)</td>
<td>N.A.</td>
<td>230,107</td>
<td>204,637</td>
<td>163,006</td>
<td></td>
<td>518,622</td>
</tr>
<tr>
<td>Cash and investments ($000s)</td>
<td>N.A.</td>
<td>644,644</td>
<td>642,328</td>
<td>582,633</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Unrestricted Net Assets (UNA) ($000s)</td>
<td>N.A.</td>
<td>214,419</td>
<td>177,833</td>
<td>166,348</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Adjusted UNA ($000s)</td>
<td>N.A.</td>
<td>248,789</td>
<td>205,290</td>
<td>185,478</td>
<td></td>
<td>MNR</td>
</tr>
<tr>
<td>Cash and investments to operations (%)</td>
<td>N.A.</td>
<td>53.5</td>
<td>53.5</td>
<td>51.3</td>
<td></td>
<td>67.6</td>
</tr>
<tr>
<td>Cash and investments to debt (%)</td>
<td>N.A.</td>
<td>153.8</td>
<td>163.0</td>
<td>172.1</td>
<td></td>
<td>170.0</td>
</tr>
<tr>
<td>Adjusted Unrestricted net assets to operations (%)</td>
<td>N.A.</td>
<td>20.6</td>
<td>14.8</td>
<td>14.6</td>
<td></td>
<td>26.8</td>
</tr>
<tr>
<td>Adjusted UNA plus debt service reserve to proforma debt (%)</td>
<td>N.A.</td>
<td>44.7</td>
<td>54.0</td>
<td>56.5</td>
<td></td>
<td>84.0</td>
</tr>
<tr>
<td>Average age of plant (years)</td>
<td>N.A.</td>
<td>11.2</td>
<td>11.5</td>
<td>11.1</td>
<td></td>
<td>12.2</td>
</tr>
<tr>
<td>OPEB Liability to total liabilities (%)</td>
<td>N.A.</td>
<td>N.A.</td>
<td>0.0</td>
<td>0.0</td>
<td></td>
<td>0.0</td>
</tr>
</tbody>
</table>

N.A.: not available; MNR: median not reported; Net operating margin = 100*(net adj. operating income/adj. operating expense); Tuition dependence = 100*(gross tuition revenue/adj. operating revenue); Current debt service burden = 100*(current debt service expense/adjusted operating expenses); Current MADS burden = 100*(maximum annual debt service expense/adjusted operating expenses); Cash and investments = cash + short-term & long-term investments; Adjusted UNA = Unrestricted net assets + unrestricted net assets of the foundation; Average age of plant = accumulated depreciation/depreciation & amortization expense.
Related Criteria And Research

- General Criteria: Rating Government-Related Entities: Methodology And Assumptions, Dec. 9, 2010

Ratings Detail (As Of January 31, 2013)

<table>
<thead>
<tr>
<th>Institution</th>
<th>Rating</th>
<th>Outlook</th>
<th>Affirmation</th>
</tr>
</thead>
<tbody>
<tr>
<td>North Carolina State University at Raleigh</td>
<td>AA/Stable</td>
<td></td>
<td>Affirmed</td>
</tr>
<tr>
<td>The Bd of Governors of the Univ of North Carolina, North Carolina</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>North Carolina St Univ at Raleigh</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>University of North Carolina Bd of Governors (North Carolina St Univ at Raleigh)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unenhanced Rating</td>
<td>AA(SPUR)/Stable</td>
<td></td>
<td>Affirmed</td>
</tr>
<tr>
<td>University of North Carolina Bd of Governors (North Carolina St Univ at Raleigh) var rate gen rev bnds ser 2003B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Long Term Rating</td>
<td>AA/NR/Stable</td>
<td></td>
<td>Affirmed</td>
</tr>
<tr>
<td>University of North Carolina Bd of Governors (NC St Univ at Raleigh) var rate gnl rev bnds ser 2008-A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Long Term Rating</td>
<td>AA/A-1+</td>
<td></td>
<td>Affirmed</td>
</tr>
</tbody>
</table>

Many issues are enhanced by bond insurance.
Agenda Item / Issue:  4.3D

Review of Associated Entities

Requested / Required Action:

None

Suggested Motion:

None
<table>
<thead>
<tr>
<th>Entity</th>
<th>Total Net Assets June 30, 2012 In 000's</th>
<th>Unqualified Audit Opinion</th>
<th>Management Letter</th>
<th>Signed Operating Agreement</th>
</tr>
</thead>
<tbody>
<tr>
<td>INVESTMENT ENTITY:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NC State Investment Fund, Inc.</td>
<td>$ 397,192</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>FUNDRAISING ENTITIES:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The North Carolina Agricultural Foundation, Inc.</td>
<td>108,870</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NC State University Alumni Association, Inc.</td>
<td>22,689</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NC State Engineering Foundation, Inc.</td>
<td>62,762</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NC State Natural Resources Foundation, Inc.</td>
<td>24,970</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>North Carolina State University Foundation, Inc.</td>
<td>138,699</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NC State Executive Education, LLC (a)</td>
<td>(216)</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>North Carolina State University Physical and Mathematical Sciences Foundation, Inc.</td>
<td>14,810</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NCSU Student Aid Association, Inc.</td>
<td>91,408</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Wolfpack Club Student Housing Foundation, LLC (a)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Blue Ridge Trinity, LLC (a)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>North Carolina Textile Foundation, Inc.</td>
<td>32,551</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>North Carolina Tobacco Foundation, Inc.</td>
<td>4,473</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>North Carolina Veterinary Medical Foundation, Inc.</td>
<td>39,057</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>SOCIAL CLUB ENTITIES:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>North Carolina State University Club (b)</td>
<td>3,180</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>The NC State Alumni Club, Inc.(b)</td>
<td>382</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>OTHER ENTITIES:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NC-TEC, Inc. (c)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC Ventures I, LLC (a)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC State University Partnership Corporation</td>
<td>9,826</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>NC State University Centennial Development, LLC (a)</td>
<td>9,822</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC State Upfit, LLC (a)</td>
<td>1</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC State Residence, LLC (a, d)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC State CC Holdings I, LLC (a)</td>
<td>1</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>NC State CBC Land I, LLC (a)</td>
<td>1</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Bell Tower Holdings LLC (a)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Leaders in Innovation and Nonwovens Commercialization, LLC (a, e)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Friends of Baseball, LLC (f)</td>
<td>-</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

(a) LLC’s are wholly owned by aforementioned entity
(b) December 31 year end; numbers are as of December 31, 2011
(c) Inactive as of June 30, 2012, and was dissolved November 8, 2012
(d) Inactive as of June 30, 2012, and was dissolved August 22, 2012
(e) Established July 12, 2012
(f) Minor Associated Entity, and was dissolved June 29, 2012

N/A Not applicable
Agenda Item / Issue:  4.3E

IT Security Update

**Requested / Required Action:**

None

**Suggested Motion:**

None
The Castle (An analogy)
The Castle (An analogy)

We must protect the university and all roads & access in or out so people and information can enter and leave safely & securely.

Threats try to attack the gates, guards, people and tunnels both inside, outside and in transit.

The attacks can be internal and from other countries and they are firing at us millions of times a day.
The Castle

• Data has proliferated in both type and total amount. This is both electronic and hard copy.

• The population we protect used to be small, with most critical data in the data center (keep). Now our defenses need to include the entire kingdom--and beyond--rather than just the castle.

• We must work to identify key data according to the level of sensitivity assigned: purple, red, yellow, green in order to protect it.
End User Threats
End-User Threats

• Trojan horses are abundant (unauthorized access through back doors - compromised websites & unpatched software)

• The outside threats combine with internal ones so that our own population is often a threat.

• More and more the outside threats are pretending to be inside friends.
End-User Threats

We can’t send out one knight to slay one dragon.

We have a dragon which grows two heads for every one we cut off; but a limited number of knights.
Data is Everywhere

- BYOD (*Bring your own device*) (iPad, smartphone, laptop)
- The Cloud (Dropbox, Google, iCloud, etc.)
- People need and expect unfettered, device-independent access to their data and services in order to work.
Issues in the Kingdom

- **College of Education** - Data leak; SSNs of school children’s data on a server

- **Cashiers Office** – Scanner sent to surplus with a hard drive containing images of checks

- **University Accounts** - 1% - 2% compromised at any given time (Phishing, weak passwords, etc)
Mitigation Techniques
Mitigation Techniques

Perimeter Defenses

• **Firewall** (Limits access to hardware and software)

• **VPN (Virtual Private Network)** (Secure “tunnel” required to access protected services)

• **Traffic Monitoring** ( Watches for suspicious activity and send alerts)

• **Identity Management - Passwords** (Limits access to data and functions based on who you are and your “role”)
Mitigation Techniques

Internal Defenses

• Scans / Monitoring
• Audits / Logging
• Access Control / Passwords, Roles
• Identity Management
• Malware Defenses *(Anti-Virus, Software Updates/Patches)*
• Network Segmentation
Mitigation Techniques

Coming soon to a kingdom near you....

- **Data Leakage Scanning**
  Identifies what data is not protected

- **Vulnerability Scanning & Penetration Testing**
  Identifies real world vulnerabilities and exploitable situations

- **Encrypted Wireless**

- **Improved Identity Management**

- **Updated Security Training**
  Targeted at specific communities for regulatory compliance and user education
Regulatory Compliance

- Standardized campus-wide policies
- Regulations, rules, & procedures to ensure security
- Data sensitivity framework & mobile device guidelines
- PCI (Payment Card Industry) compliance
- ISO 27002 - New security framework being adopted by UNC system
- State Laws – Identity theft, public records, state personnel act
- Many more - FERPA, HIPAA, HEOA, etc.
The Front Lines

Contingency Planning

• Data Backup *(onsite & MCNC)*

• Virtualization
  o PeopleSoft *(new architecture, faster recovery)*
  o VM Systems *(Automatic recovery to other systems)*

• Split Data Center Structure *(select services)*

• VCL
  o Educational Continuity *(Automated transition to online)*
  o Testing PeopleSoft recovery
The Front Lines

All members of the university must help guard our kingdom

Executive Leadership

OIT Security & Compliance – Director, staff of 11: 6-member operational security team, 1 compliance/policy, 2 organizational resilience, 2 software licensing

Data Stewards – HR, Financial, Student, Advancement, Facilities, Deans, etc.

IT Technical Staff

End Users – Faculty / Researchers, Staff, Students, Partners, etc.
Summary: A university is not a fortress and must balance openness with security

- Data are stored everywhere, including the cloud – *Need to identify & focus on sensitive data*
- Consumerization of IT, e.g. BYOD – *Must adapt protection to account for new threats*
- Protection of sensitive data requires continuous effort – *Continued collaboration and training*
- Ever evolving legislation – *New requirements are imposed regularly*
- Cybercriminals & the Internet – *New threats are more sophisticated – need to stay current*
- Human Behavior is often the weakest link - *Shared responsibility for protection, leadership*
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Buildings and Property Committee
Time: 2:45 – 4:15 p.m., April 18, 2013
Primrose Hall Conference Room
Lanier, Chair
Members: Clark, Cozort, Davenport, Ramsey, Sall

AGENDA

CALL TO ORDER
Reading of the State Government Ethics Act

1. CONSENT AGENDA

   Minutes
   Kevin MacNaughton, Associate Vice Chancellor for Facilities
   • Approval of February 21, 2013 meeting minutes 5.1.A

   Property Matters
   Ralph Recchie, Real Estate Director
   ✓ Disposition by Deed: ± 80 acres at Trenton and Trinity Roads, Raleigh, NC 5.1.B.1
      by means of a land exchange of equal or greater value of multiple parcels
      to be identified by NC State as highly strategic properties to be acquired
      by Reedy Creek Investments, LLC for the exchange
   • Acquisition by Lease: ±17,000 square feet (sf) on Centennial Campus 5.1.B.2
      for DELTA for a term of up to 5 years at a rate to be negotiated
      but not to exceed $33.31/sf full service rent or a total initial rent
      of ±$560,000 annually
   • Acquisition by Lease: ±22,500 sf from Capital Associates in the proposed 5.1.B.3
      CBC Flex Laboratory Building on the Centennial Biomedical Campus
      for use by the College of Veterinary Medicine for interdisciplinary
      research including additional colleges. The lease would be for a term
      of 10 years.
   • Disposition by Severance: Four modular office units located at 5.1.B.4
      1509 Varsity Drive measuring ±3,450 sf in total. The units were
      constructed in 1982 and obtained from the USDA. The units are non-code
      compliant and are no longer suitable for their intended use. The
      University will arrange for their demolition.

✓ Requires full board approval
1 Material will be distributed to committee members at the meeting

Buildings and Property Committee
Page 1
Designer Selections  
Kevin MacNaughton, Associate Vice Chancellor for Facilities
- Reynolds Coliseum Renovation (Central Campus) 5.1.C.1
- Main Campus and Trailwood Drives Gateway (Centennial Campus) 5.1.C.2
- Approval of Designer Selections Less Than $500,000 5.1.C.3

Acceptance of Completed Buildings and Projects  
Kevin MacNaughton, Associate Vice Chancellor for Facilities
- The University and Office of State Construction have accepted the attached list of completed buildings and projects with dollar values greater than $2,000,000. The University has accepted the attached list of completed buildings and projects with dollar values less than $2,000,000. All are recommended to the Buildings and Property Committee for formal acceptance. This listing represents buildings and projects received since the February 21, 2013 meeting.

***END OF CONSENT AGENDA ITEMS***

2. PROPERTY MATTERS (Received after Full Board Mailing) TAB 2

3. PARKING AND TRANSPORTATION TAB 3
   Tom Kendig, Director, Transportation
   ✓ Proposed Revision to Policy 7.60.1 Parking and Transportation Ordinances 2013-2014 5.3.A

4. PHYSICAL MASTER PLAN TAB 4
   Lisa Johnson, University Architect
   - Annual Update 1(5.4.A)

5. PLAN APPROVAL TAB 5
   Lisa Johnson, University Architect
   - Approval of Plans and Specifications of Formal Projects less than $2 million 5.5.A

6. INFORMATIONAL REPORTS TAB 6
   - Centennial Campus Update (Michael Harwood, Associate Vice Chancellor for Centennial Campus Development Office) 5.6.A.1
   - Capital Projects Update (Kevin MacNaughton) 5.6.A.2
   - Status of Projects in Planning (Lisa Johnson) 5.6.A.3
   - Sustainability Program Update (Jack Colby, Assistant Vice Chancellor for Facilities Operations) 1(5.6.A.4)

✓ Requires full board approval
1 Material will be distributed to committee members at the meeting
7. CLOSED SESSION

Kevin MacNaughton, Associate Vice Chancellor for Facilities

- Approval of Closed Session Minutes for February 21, 2013 Closed Session 5.7.A

ADJOURN
Agenda Item / Issue: Consent Agenda

Requested / Required Action: Approval of the items contained in the Consent Agenda to include committee meeting minutes for February 21, 2013, property matters, designer selections, and acceptance of completed buildings and projects.

Suggested Motion: Move approval of the Consent Agenda.

Responsible University Unit: Office of Finance & Business
University Presenter/Contact: Kevin MacNaughton, Associate Vice Chancellor for Facilities
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY

Buildings and Property Committee

Open Session

Meeting Date: February 21, 2013

Minutes

Meeting No.: 12-13: 3

Location: Primrose Hall Conference Room

Time: 2:45 – 4:15 p.m.

Committee Members Present:
Ms. Gayle Lanier, Chair
Mr. Jimmy Clark
Mr. Jack Cozort
Mr. Lawrence Davenport
Mr. Randall Ramsey

Present from the University:
Mr. Charles Leffler, Vice Chancellor, Finance and Business
Ms. Mary Peloquin-Dodd, Associate Vice Chancellor and Treasurer
Mr. Michael Harwood, Associate Vice Chancellor, Centennial Campus Development
Mr. Kevin MacNaughton, Associate Vice Chancellor, Facilities
Mr. Marvin Malecha, Dean, College of Design (for part of the meeting)
Mr. Michael Poterala, Deputy General Counsel, Office of General Counsel
Mr. Brent McConkey, Assistant General Counsel, Office Of General Counsel
Ms. Lisa Johnson, University Architect
Mr. Ralph Recchie, Director, Real Estate
Ms. Amy McNeil, Director of Planning and Communication, Finance and Business
Mr. Ron Grote, University Program Specialist, Facilities Division

STATE GOVERNMENT ETHICS ACT
At the beginning of the meeting, Chair Lanier reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest with respect to any matters coming before the Buildings and Property Committee at this meeting. Lanier said she would recuse herself from the property matter addressing the easement to Progress Energy at 2620 Hillsborough Street.
CONSENT AGENDA
Chair Lanier asked whether there were any questions or comments about the November 15, 2012 meeting minutes. Lanier called for a motion to approve the minutes. Mr. Clark made the motion, which was seconded by Mr. Ramsey and carried.

Trustee Ramsey asked Mr. Recchie to address the property matter from which Chair Lanier recused herself. Recchie said the matter concerns Disposition by Easement of approximately 100 square feet of North Carolina State University’s property at 2620 Hillsborough Street (Hillsborough Building) along the western boundary and adjacent to Gardner Street to Progress Energy in connection with the development of the property located at 2604 Hillsborough Street. The new building will be a 16-unit apartment with 1,000 square feet of storefront. The State Property Office will determine if there is any value associated with the disposition.

Ramsey asked whether there were any questions or comments. He called for a motion to approve the property matter. Mr. Clark made the motion, which was seconded by Mr. Cozort and carried with Chair Lanier abstaining.

Chair Lanier asked Mr. Recchie to discuss the other two property matters that require full board approval. Recchie presented Disposition by Lease of approximately 3,500 Usable Square Feet to Mann + Hummel in Partners I Building, 1017 Main Campus Drive, Centennial Campus for up to 5 years. The amount represents an increase over the previous amount requested on November 15, 2012.

The Disposition by Deed of approximately 0.6 acres to the City of Raleigh is near the intersection of Trailwood Drive and I-40 at the southernmost corner of Centennial Campus. The City had intended to construct a water tower at that site, which would have conflicted with the proposed Gateway at that location. The land would be in exchange for approximately equal sized tract at the southeast corner of Trailwood Drive and Main Campus Drive. Recchie said the easement was not exactly the same size, but is equitable based on an earlier easement to the City on Centennial Biomedical Campus.

Chair Lanier called for a motion to approve the two property matters. Mr. Ramsey made the motion, which was seconded by Mr. Clark and carried.

Chair Lanier asked Mr. MacNaughton to address the other Consent Agenda items. MacNaughton discussed the seven Designer selections and the 16 completed projects that have a combined value of $9.1 million. The list includes acceptance of the Hunt Library Gallery Exhibit at a cost of $1.8 million and acceptance of the Hunt Library Plaza between Corporate Research I Building and Partners I Building at a cost of $900,000. Chair Lanier called for a motion to approve the balance of the Consent Agenda items. Mr. Cozort made the motion, which was seconded by Mr. Clark and carried.

PLAN APPROVAL
Ms. Johnson presented the design plan for the Gregg Museum of Art & Design Relocation for approval. She reminded the trustees that they had questions at the November meeting regarding how well the new building fit with the former Chancellor’s residence and the type of exterior
materials that were being considered. As a result, Johnson had recommended at the time that she bring the project back to the Campus Design Review Panel (CDRP) for further study. To which the trustees had agreed.

CDRP studied revised plans at their January 30 meeting and agreed the project was heading in the right direction. The massing of the new building is not overpowering since the building is not much taller than the residence and the lines carry across from old to new. The brick base of the new ties in well with the brick on the residence. Using wood panels that form the middle and top give it more human scale. The shadow lines, vertical joints, and metal detail add to the overall effect. The warmth of wood compliments the warmth of the connected brick building.

While various other materials were considered such as metal panels, precast, terra cotta tiles, stone, brick, and glass, wood was unanimously chosen as the one to use.

Mr. Davenport asked how the design is different from the one shown at the November meeting. Johnson replied that the vertical lines are new as are the shadow lines created by the larger metal coping. Mr. Clark added that the spacing of the joints is different. He opined that the separation of the vertical panels gives the museum more character.

Davenport inquired as to the longevity of the panels. Johnson replied that the stained wood siding would need refinishing every five years, which includes washing and resealing the wood. Mr. Leffler offered that the building will look even better over time. Clark voiced his opinion that he could support the changes.

Dean Malecha offered his support of the materials, saying that there is lots of good experience using wood. It will provide for a nice soft human interaction. He told the trustees that he thought they would be pleased with the result.

Chair Lanier called for a motion to approve. Mr. Ramsey made the motion, which was seconded by Mr. Cozort and carried.

Ms. Johnson presented four Plans and Specifications of Formal Projects less than $2 million for approval. Chair Lanier called for a motion to approve. Mr. Davenport made the motion, which was seconded by Mr. Cozort and carried.

INFORMATIONAL REPORTS
The committee received an update on the status of Capital Projects and the Status of Projects in Planning and a report on three Property Matters Approved under the New Delegation Authority.

Mr. MacNaughton and Ms. Johnson provided a detailed presentation concerning Strategic Space Utilization. The need to repurpose and better optimize space was highlighted.

The drivers to improve space utilization are:
- Constrained Resources
- Enrollment Growth / Graduate Program Growth
• Research Growth (Including Interdisciplinary Activities)
• Increased Accountability
• Sustainability Initiatives

For the space utilization plan to succeed, a change in culture is necessary. Old myths need to be replaced with present realities. Old myths include (Realities follow each myth in parentheses.):
• It’s MY space and I must protect it (Colleges/Units are stewards of university space.)
• Occupancy = Fully Utilized Space (Often Office and Research space is underutilized due to condition or remote location.)
• Space is FREE (Cost includes ongoing operations and maintenance.)
• There are not enough classrooms (Not meeting the UNC standard of 35 hours per week)

Factors to improve space utilization are:
• Actionable Data
• Enforceable Space Standards
• Redeploying Space
• Incentives and investments
• Innovative culture change

Space analysis is underway:
  Completed actions include:
  • Initiate College Space Analysis
  • Re-evaluate Research Space Standard
2013 Initiatives:
  • Identify Locations for Faculty Excellence Hires
  • Identify Users/Productivity of Research Space
  • Re-alignment & Strategic Plans influence Space Allocations
  • Repurpose Space with Reinvestment
  • Identify Space Reserves
  • Decrease Leased Space

There being no additional business, the open session of the meeting adjourned at 4:15 p.m.

Respectfully submitted,

Kevin J. MacNaughton
Secretary to the Committee

cc: Charles Leffler, Vice Chancellor, Finance & Business
     P.J. Teal, Assistant Secretary of the Trustees

Approved: ________________________________________________________________________________

Committee Chair __________________________ Date __________________________

Buildings and Property Committee February 21, 2013 Minutes
Page 4
DISPOSITION OF REAL PROPERTY

DISPOSITION BY DEED / EXCHANGE OF LAND

GRANTEE: Reedy Creek Investments, LLC


LOCATION: Northeast corner of Trenton and Trinity Rds., Raleigh, North Carolina

SIZE: ± 80 acres to be exchanged with equal or greater value acreage and facilities

RATE: The market value will be determined by an appraisal of each property conducted in conjunction with the State Property Office.

TERM: Conveyance

USE: Disposition by deed of ± 80 acres at Trenton and Trinity Roads, Raleigh, NC by means of a land exchange of equal or greater value of multiple parcels to be identified by NC State as highly strategic properties to be acquired by Reedy Creek Investments, LLC for the exchange.
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University                      Date: March 28, 2013

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real
property herein described by (sale), (lease), (rental), or (other specify): Disposition by Deed / Land
Exchange

The disposition is recommended for the following reasons:
Disposition by deed of ± 80 acres at Trenton and Trinity Roads, Raleigh, NC by means of a land
exchange of equal or greater value of multiple parcels to be identified by NC State as highly strategic
properties to be acquired by Reedy Creek Investments, LLC for the exchange.

Description of Property: The ± 80 acre site is on Trinity Rd. and is situated at the northeast corner of
Trinity and Trenton Rds. and is bound on the east by I-40. The site is immediately adjacent to other
property held by Reedy Creek Investments, LLC.

Term: Permanent conveyance

Estimated value: To be determined by appraisal

Where deed is filed, if known: Wake County, NC

If deed is in the name of agency other than applicant, state the name. State of North Carolina

Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. Consideration
would be replacement land and facilities for benefit of North Carolina State University.

Action recommending this transaction was taken by the Board of Trustees at its meeting held on

Signature Original Signature on File
Chancellor

*The term "real property" includes timber rights, mineral rights, etc. (GS 146:64)
## ACQUISITION OF REAL PROPERTY

**Lease**

<table>
<thead>
<tr>
<th><strong>LESSEE</strong></th>
<th>State of North Carolina for North Carolina State University</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>LESSOR</strong></td>
<td>To be determined</td>
</tr>
<tr>
<td><strong>LOCATION</strong></td>
<td>To be determined</td>
</tr>
<tr>
<td><strong>SIZE</strong></td>
<td>±17,000 square feet -- office and training/classroom space</td>
</tr>
<tr>
<td><strong>RATE</strong></td>
<td>Not to exceed $33.31 per square foot or an initial annual rent of $560,000</td>
</tr>
<tr>
<td><strong>TERM</strong></td>
<td>Up to Five (5) years</td>
</tr>
<tr>
<td><strong>USE</strong></td>
<td>To allow the program to consolidate operations at one location</td>
</tr>
</tbody>
</table>
STATE OF NORTH CAROLINA
DEPARTMENT OF ADMINISTRATION
RALEIGH

* ACQUISITION OF REAL PROPERTY

Institution or Agency: North Carolina State University
Date: March 26, 2013

The Department of Administration is requested, as provided by GS 146-22 et seq. to acquire the real property herein described by (purchase), (lease), (rental), or (other specify):

This Property is needed for the following reasons and purposes: (attach additional sheets if necessary). To house Distance Education and Learning Technology Applications (DELTA), a unit under the Office of the Provost. DELTA currently occupies 11,754SF of office space in Venture Center Building II on the Centennial Campus under a lease that will expire October 12, 2013. Approval of a lease of ±17,000SF is requested so that the program can consolidate operations at one location. Location and Lessor to be determined.

Name and Address of Present Owner:

Venture Center, LLC
c/o Heitman Real Estate Securities, LLC
191 North Wacker Drive, Suite 2500
Chicago, IL 60606

Description of Property: (attach additional sheets if necessary). ±17,000 square feet of office and training/classroom space

Term: Up to Five (5) years

Rental price (if applicable): Not to exceed $33.31 per square foot or an initial annual rent of $560,000

Funds for the acquisition of this property are available in our budget under Code:

Item: Other:

In the event the above described real property is not acquired, is there other real property available, owned by the State or otherwise, that you believe would, if acquired, fulfill the requirement of your agency? If so, give details. None

Action, recommending the above request, was taken by the Buildings and Property Committee of the Board of Trustees and is recorded in the minutes thereof on ___________ (Date).

Signature Original Signature on File
Chancellor

* The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
ACQUISITION OF REAL PROPERTY

LEASE

LESSEE State of North Carolina, North Carolina State University

LESSOR Capital Associates, Cary, NC.

LOCATION 1001 William Moore Drive, Raleigh, NC. The building site is located on the Centennial Biomedical Campus on the northeast corner of Blue Ridge Road and Hillsborough Street.

SIZE ±22,500 SF lease of laboratory and office space within the proposed ±45,000 SF CBC Flex building.

RATE To be negotiated, not to exceed an initial rate of $35.00/SF/Yr. Current negotiations indicate an initial annual full service rate of ±$35.00/SF. The rate will also include a $50/SF tenant improvement allowance.

TERM 10 years

USE This space lease provides for the College of Veterinary Medicine (CVM) to expand its interdisciplinary research activities, including collaboration with other NC State colleges, in immediate proximity to the CVM complex. The space will be located within the proposed Centennial Biomedical Campus Flexible Laboratory Building (CBC Flex) and is also designated for the purpose of faculty recruitment and retention within the CVM. This space lease will provide for a total leased area by the University within this building of ±22,500 square feet (SF). The private developer of CBC Flex building will be Capital Associates of Cary, NC.
STATE OF NORTH CAROLINA
DEPARTMENT OF ADMINISTRATION
RALEIGH

* ACQUISITION OF REAL PROPERTY

Institution or Agency: North Carolina State University _____________________________ Date: March 29, 2013

The Department of Administration is requested, as provided by GS 146-22 et seq. to acquire the real property herein described by (purchase), (lease), (rental), or (other specify):

This Property is needed for the following reasons and purposes: (attach additional sheets if necessary).
This space lease provides for the College of Veterinary Medicine (CVM) to expand its interdisciplinary research activities, including collaboration with other NC State colleges, in immediate proximity to the CVM complex. The space will be located within the proposed Centennial Biomedical Campus Flexible Laboratory Building (CBC Flex) and is also designated for the purpose of faculty recruitment and retention within the CVM. This space lease will provide for a total leased area by the University within this building of ±22,500 square feet (SF). The private developer of CBC Flex building will be Capital Associates of Cary, NC.

Name and Address of Present Owner:
The building owner will be Capital Associates, 1255 Crescent Green, Suite 300, Cary, NC 27518

Description of Property: (attach additional sheets if necessary).
±22,500 SF lease of laboratory and office space within the proposed ±45,000 SF CBC Flex building. The building site is located at 1001 William Moore Drive on the Centennial Biomedical Campus on the northeast corner of Blue Ridge Road and Hillsborough Street.

Term: 10 years

Rental price (if applicable):
To be negotiated, not to exceed an initial rate of $35.00/SF/Yr. Current negotiations indicate an initial annual full service rate of ±$35.00/SF. The rate will also include a $50/SF tenant improvement allowance.

Funds for the acquisition of this property are available in our budget under Code

Item: _____________________________ Other: CVM overhead accounts

In the event the above described real property is not acquired, is there other real property available, owned by the State or otherwise, that you believe would, if acquired, fulfill the requirement of your agency? If so, give details.

Action, recommending the above request, was taken by the Building and Properties Committee of the Board of Trustees and is recorded in the minutes thereof on _____________ (Date).

Signature _____________________________
Original Signature on File _____________
Chancellor _____________________________

* The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
DISPOSITION
OF REAL PROPERTY

Severance/Demolition

GRANTOR
State of North Carolina for North Carolina State University

GRANTEE
N/A

LOCATION
NC State University, South Campus, 1509 Varsity Drive, Raleigh, NC

SIZE
±3,450 sq. ft. (gross)

RATE
N/A

TERM
N/A

USE
Severance/demolition of the modular office complex (four units) at 1509 Varsity Drive (Building #246A, FER Graduate Facility (AR1)).

The complex was conveyed (by exchange) to NC State by USDA-Agricultural Research Service in 2008 for use by the College of Natural Resources. The units were manufactured and delivered to the site in 1982. The facility is no longer adequate for the College’s purposes and is non-code compliant. Its replacement with newer code-compliant office units has been approved by the University Space Committee and is scheduled for May 2013. The University will arrange for the removal of the units.
STATE OF NORTH CAROLINA
Department of Administration
*DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University  Date: March 22, 2013

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify): SEVERANCE/DEMOLITION

The disposition is recommended for the following reasons: The modular office complex (four units) at 1509 Varsity Drive was conveyed (by exchange) to NC State by USDA-Agricultural Research Service in 2008 for use by the College of Natural Resources. The units were manufactured and delivered to the site in 1982. The complex is non-code compliant and no longer adequate for the College’s purposes. Its replacement with newer code compliant office units has been approved by the University Space Committee and is scheduled for May 2013. The University will arrange for the removal of the units.

Description of Property:

<table>
<thead>
<tr>
<th>Building</th>
<th>Construction</th>
<th>Size</th>
<th>Condition</th>
<th>Age</th>
<th>Complex #</th>
</tr>
</thead>
<tbody>
<tr>
<td>FER Graduate Facility (ARI)</td>
<td>Modular</td>
<td>±3,450SF</td>
<td>non-code compliant</td>
<td>±31</td>
<td>1-92-18</td>
</tr>
<tr>
<td>Univ. Bldg. #246A</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1509 Varsity Drive</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Term: N/A

Estimated value: N/A

Where deed is filed, if known: N/A

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Buildings and Property Committee of the Board of Trustees at its meeting held on ________________.

Signature Original Signature on File
Chancellor

*The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)
1509 Varsity Drive
FER Graduate Facility (AR1)
(Formerly Air Resources Research Consortium)
5.1.C.1

**Reynolds Coliseum Renovation**

Total Project Scope $40,000,000 (Gifts/R&R)

01/17/13  Advertised in NC Purchase Directory

02/15/13  Closing date for submittals
            (25 proposals received)

02/25/13  Appointment of Selection Committee
            By Kevin MacNaughton, Secretary – Buildings and Property Committee

02/25/13-03/29/13  Selection Committee review:

03/29/13 Gayle Lanier, Trustee
            Bill Davis, Project Manager, Capital Project Management
            Lisa Johnson, University Architect
            Sumayya Jones-Humienny, Associate University Architect
            Cameron Smith, Director, Capital Project Management
            Carolyn Axtman, Associate Director, Capital Project Management
            Charlie Marshall, Associate Director, Capital Project Management
            Barry Joyce, Associate Athletics Director, Facilities and Operations
            Ray Brincefield, Assistant Athletics Director, Facilities
            Michael Lipitz, Senior Associate Athletics Director, Administration

03/01/13  Short list recommendation by Selection Committee:
            Corley Redfoot Architects Inc.
            Davis Kane Architecture PA
            Walter Robbs Callahan & Pierce Associates PA

03/05/13  Short list approved by Gayle Lanier

03/11/13  Pre-interview briefing of design firms

03/29/13  Firms interviewed. Recommendation in priority order:
            Corley Redfoot Architects Inc.
            Davis Kane Architecture PA
            Walter Robbs Callahan & Pierce Associates PA

Those involved:
Gayle Lanier, Trustee
Lisa Johnson, University Architect
Bill Davis, Project Manager, Capital Project Management
Deborah A. Yow, Director of Athletics
Chris Kingston, Executive Senior Associate Athletics Director
Michael Lipitz, Senior Associate Athletics Director, Administration
Barry Joyce, Associate Athletics Director, Facilities and Operations
Ray Brincefield, Assistant Athletics Director, Facilities
Cameron Smith, Director, Capital Project Management
Charlie Marshall, Associate Director, Capital Project Management
Sumayya Jones-Humienny, Associate University Architect
**Centennial Entrance at Trailwood**
Total Project Scope $900,000 (Debt)

12/20/12  Advertised in NC Purchase Directory

01/24/13  Closing date for submittals
           (12 proposals received)

02/04/13  **Appointment of Selection Committee**
           By Kevin MacNaughton, Secretary – Buildings and Property Committee

02/04/13  **Selection Committee review:**
           Jack Cozort, Trustee
           Lynn Swank, Design & Construction Services
           Lisa Johnson, Office of the University Architect
           Tom Skolnicki, Office of the University Architect
           Michael Harwood, Centennial Campus Development Office
           Michael Ousdahl, Transportation
           Others who assisted in review and short listing process
           Brian Jones, Centennial Campus Development Office

02/04/13  **Short list recommendation by Selection Committee:**
           Architekture, PA
           Lappas & Havener, PA
           OBS Landscape Architects

02/05/13  **Short list approved by Gayle Lanier**

02/26/13  **Pre-interview briefing of design firms**

03/05/13  **Firms interviewed. Recommendation in priority order:**
           OBS Landscape Architects
           Lappas & Havener, PA
           Architekture, PA

           **Those involved:**
           Jack Cozort, Trustee
           Lynn Swank, Design & Construction Services
           Lisa Johnson, Office of the University Architect
           Tom Skolnicki, Office of the University Architect
           Michael Harwood, Centennial Campus Development Office
           Brian Jones, Centennial Campus Development Office
           Michael Ousdahl, Transportation
**Approval of Designer Selections Less than $500,000**

**Note:** The projects below are submitted to the Trustees’ Buildings and Property Committee for formal approval of designer selections for projects less than $500,000 that are not on the OESAD list. This listing represents designers selected since January 15, 2013.

<table>
<thead>
<tr>
<th>Project</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dairy Museum Exhibit Design</td>
<td>Design Fee: $22,750</td>
</tr>
<tr>
<td>Designer: Design Dimension, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Funding: Gift</td>
<td></td>
</tr>
<tr>
<td>Jordan Hall 2106, 2112 Renovation</td>
<td>Design Fee: $40,000</td>
</tr>
<tr>
<td>Designer: Swanson &amp; Stewart Architects, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Jordan Hall 6136 Renovation</td>
<td>Design Fee $34,000</td>
</tr>
<tr>
<td>Designer: Wagner Architecture, PLLC, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>NC Farmers Market Park and Ride Study</td>
<td>Design Fee: $28,000</td>
</tr>
<tr>
<td>Designer: Martin, Alexiou, Bryson, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Pack Shop at Wolf Ridge</td>
<td>Design Fee: $20,657</td>
</tr>
<tr>
<td>Designer: New City Design Group, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Poe Hall Classroom 312-320 Renovation</td>
<td>Design Fee: $24,600</td>
</tr>
<tr>
<td>Designer: Swanson &amp; Stewart, PA, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Primrose Hall Waterproofing</td>
<td>Design Fee: $7,900</td>
</tr>
<tr>
<td>Designer: IBI Group of NC, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Ricks Hall Third Floor Bioinformatics Renovation</td>
<td>Design Fee: $28,000</td>
</tr>
<tr>
<td>Designer: Innovative Design, Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Funding: Departmental</td>
<td></td>
</tr>
<tr>
<td>Witherspoon Exterior Improvements</td>
<td>Design Fee: $39,000</td>
</tr>
<tr>
<td>Designer: Corley, Redfoot Architects, Inc., Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
</tbody>
</table>
## Acceptance of Completed Buildings and Projects

<table>
<thead>
<tr>
<th>Code/Item</th>
<th>Project#</th>
<th>Location</th>
<th>Title</th>
<th>Project Cost</th>
<th>University Acceptance</th>
</tr>
</thead>
<tbody>
<tr>
<td>40624 / 314</td>
<td>072099</td>
<td>Milking Parlor</td>
<td>Upfit</td>
<td>$786,228</td>
<td>6/15/2012</td>
</tr>
<tr>
<td>NA / NA</td>
<td>20111127</td>
<td>Weisiger Brown Dail Basketball Center</td>
<td>Entrance Improvement</td>
<td>$461,903</td>
<td>11/15/2012</td>
</tr>
<tr>
<td>NA / NA</td>
<td>20121076</td>
<td>Poe Hall, 2nd Floor</td>
<td>Restroom Upgrades</td>
<td>$112,905</td>
<td>1/31/2013</td>
</tr>
<tr>
<td>NA / NA</td>
<td>20121042</td>
<td>Peele Hall</td>
<td>Renovation of Suite 121</td>
<td>$172,836</td>
<td>2/11/2013</td>
</tr>
<tr>
<td>NA / NA</td>
<td>20121018</td>
<td>Witherspoon</td>
<td>Chill Water and Controls Upgrade</td>
<td>$513,902</td>
<td>2/14/2013</td>
</tr>
<tr>
<td>41024 / 3111</td>
<td>20101115</td>
<td>CVM Surgical Suite</td>
<td>Upgrade</td>
<td>$290,679</td>
<td>2/18/2013</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td></td>
<td></td>
<td><strong>$2,338,453</strong></td>
<td></td>
</tr>
</tbody>
</table>
Resolution amending the Parking and Transportation Policies on the Campus of North Carolina State University

Approval of the Resolution by the Full Board of Trustees approving the Proposed Revision to Policy 7.60.01: Parking and Transportation Ordinances for 2013-2014 as described in the attached Summary of 2013-2014 Proposed Changes. The proposed Changes would be effective August 16, 2013.

WHEREAS, the Board of Trustees approved amendments to the Parking and Transportation Policies on the Campus of North Carolina State University on April 20, 2012 and

WHEREAS, it is necessary to make changes in current policies,

NOW, THEREFORE, BE IT RESOLVED THAT the Board of Trustees of North Carolina State University amends the Parking and Transportation Policies on the Campus of North Carolina State University superseding all previous editions. Effective date of this amendment is August 16, 2013.

Department of Transportation

Thomas Kendig, Director, Transportation
SUMMARY NARRATIVE OF PROPOSED 2013-14 CHANGES TO
PARKING AND TRANSPORTATION ORDINANCES

3.1.2 Deleted; first sentence is redundant, the remainder is no longer applicable

3.3d.3 Added new RC parking designation

3.3.1 Added new RC parking designation

3.3.2 Added new RC parking designation

3.3.3 Deleted; no longer applicable

3.3.4 Deleted; narrative as opposed to policy

3.5 Revised “Permit Costs” chart to reflect new permit pricing structure

3.6 Deleted; no longer applicable

4.2.2 Added new RC parking designation

4.2.3 Added new RC parking designation

4.6.3 Deleted; no longer applicable

5.1.1 Revised parking code verbiage in “Penalties” chart for clarity

5.4.1 Added “…increasing boot removal fees” to clarify chronic offender enforcement measures

5.7.1d. Added verbiage for clarity
1. GENERAL PROVISIONS

1.1 Definitions

1.1.1 Abandoned Bicycle: Any bicycle that has been parked illegally for more than ten days or which is determined to be "derelict" or inoperable under North Carolina General Statute 20-137.7.

1.1.2 Academic Year: The period of time from August 16 of one calendar year until August 15 of the next calendar year.

1.1.3 Access Point for Accessibility Spaces: The road space adjacent to all curb cuts built for wheel chair access from the street to the sidewalk and the space adjacent to each mobility-impaired parking space identified by white diagonal lines.

1.1.4 Administrative Sanctions: Penalties which may be assessed for repeated violations of these ordinances or for failure to pay validly due fines. Administrative sanctions include, but are not limited to, revocation of parking permits. Administrative penalties include the issuance of a campus appearance ticket to students.

1.1.5 Bicycle: A device propelled by human power upon which any person may ride, and supported by either two tandems or three wheels, one of which is 16 inches or more in diameter. For the purpose of this Ordinance, a bicycle will be deemed a vehicle.

1.1.6 Bicycle Path: A paved strip or path that is designated for use by bicycles. May be adjacent to a roadway, or a separate route to a roadway, and may also include strips to delineate bicycle lanes from pedestrian lanes.

1.1.7 Campus: All property located in and around Raleigh, North Carolina, which is owned or leased by the State of North Carolina and under the supervision of the Board of Trustees of North Carolina State University.
1.1.8 Campus Appearance Ticket: A citation issued to a student by the University's Campus Police that subjects the student to discipline under the Code of Student Conduct.

1.1.9 Carpool: An organized group of two or more commuting members of campus who regularly ride together in one car and share the use of a carpool permit issued by Transportation.

1.1.10 Chancellor: The Chancellor of North Carolina State University at Raleigh, NC.

1.1.11 Chronic Offender-An individual who exhibits an observable pattern of reoccurring parking violations without demonstrating a recognizable intent to self correct the violating behavior.

1.1.12 Client: One for whom professional services are rendered.

1.1.13 Crosswalks: Any portion of a roadway distinctly indicated for pedestrian crossing by lines, other markings on the surface, a raised elevation of asphalt or concrete and/or signs.

1.1.14 Director of Transportation: That person designated by the Chancellor who will be responsible for administering, implementing, and enforcing the provisions of the Ordinance, except where another person or party is specified in the Ordinance.

1.1.15 Dismount Zone: Areas where cyclists, skateboarders, and non-motorized scooter drivers must dismount their vehicle. All motorized vehicles are prohibited in this zone.

1.1.16 Employees: The faculty (including a non-salaried visiting faculty), research/teaching/associate/intern (post-doctoral) employees, re-employed retirees (including those re-employed through the University's Phased Retirement Program), administrative officers, extension personnel, clerical personnel and all other non-student employees of the University employed part-time or full-time as permanent EPA, SPA, or temporary employees.

1.1.17 Fire Lane: Any area specifically marked, striped, signed or designated where vehicles are prohibited from parking, and/or any area in which direct and immediate access to a fire hydrant or firefighting apparatus would be blocked by a parked vehicle.

1.1.18 Intersections: A road junction where two or more roads either meet or cross at grade (at the same level).

1.1.19 Loading Zone: Any area designated by signs and proclaimed for use for loading and unloading materials and supplies.

1.1.20 Low Speed Vehicle: A four-wheeled vehicle whose top speed is less than 20 miles per hour. This includes, but is not limited to, golf carts, golf cart-type utility vehicles and gator-type utility vehicles.

1.1.21 Moped/Scooter: Any motorized two or three wheeled vehicle capable of carrying a rider and which is currently exempt from North Carolina motor vehicle registration and licensing regulations.

1.1.22 Motorcycle: Any motorized two or three wheeled vehicle capable of carrying a rider and which is currently subject to North Carolina motor vehicle registration and licensing regulations.
1.1.23 No Parking Area: Any area not specifically marked, striped, or designated for parking. Parallel/angled spaces must be striped on each end of parked vehicle.

1.1.24 Operator: A person in actual physical control of a vehicle, which is in motion, stopped, or standing.

1.1.25 Park: The standing of a vehicle, whether occupied or not, other than while actually engaged in the loading or unloading of passengers.

1.1.26 Parking Area: Any place or area specifically set aside, marked or assigned by Transportation for the parking of vehicles, either permanently or temporarily.

1.1.27 Parking Meter: Any mechanical device activated by coin, credit card or cash key which enables an individual to purchase parking at a specific parking space in incremental time-limited units.

1.1.28 Parking Meter Space: Any space where a parking meter has been installed.

1.1.29 Parking Meter Zone: Any area, including on streets or in a parking lot, where parking meters are installed and in operation.

1.1.30 Parking Services: The office designated by the Director of Transportation, which issues parking permits, keeps registration and permits records, records violations, and collects transportation fees and fines.

1.1.31 Pay Lot: Any parking lot or area where payment for parking is required based on the length of time the vehicle is parked. Pay lots may be operated by automated pay machine or by attendants who collect the parking fees.

1.1.32 Pedestrian Safety Zone: Areas where cyclists, skateboarders, and non-motorized scooter drivers must either ride at the walking pace of the nearby pedestrians or dismount their vehicle. Pedestrians have the right-of-way in a pedestrian safety zone. All sidewalks, pathways, plazas, or walkways are pedestrian safety zones.

1.1.33 Personal Assistive Mobility device: A self-balancing device, designed to transport one person, with a propulsion system that limits the maximum speed of the device to 15 miles per hour or less. This includes, but is not limited to, electric wheelchairs and Segways.

1.1.34 Registered Vehicle: A vehicle, which has been registered with Transportation and is authorized to display a valid University parking permit.

1.1.35 Reserved Lot: A designated lot or part of a lot reserved for users who have purchased permits specifically for that lot.

1.1.36 Reserved Space: Any parking space, which is marked for a specific University parking permit, permit type, user or use.

1.1.37 Restitution: Compensation due to Transportation for the full value of a product/service without benefit of having made previous payment for the same.
1.1.38 Retired Employees: Individuals who have separated from employment with North Carolina State University because of completion of an appropriate term of service, or due to a mental or physical disability, and who are drawing annuities from one of North Carolina State University's retirement programs, and are not receiving compensation for current services. (Employees participating in the Phased Retirement Program are not considered retired employees. PRP employees retain their pre-employment permit and gate card, and continue to pay the regular permit rate for the duration of the three-year PRP assignment.)

1.1.39 Service Provider: One who is providing repair and/or maintenance of equipment or facility. Exceptions to this definition are by Transportation approval only.

1.1.40 Sharrow: An on-the-street marking denoting a “safety zone” where cyclists can ride on the street without being hit by an opened car door. These markings may also denote where cyclists should be riding, such as at intersections with multiple turn lanes. A sharrow also serves to alert both cyclists and drivers that the lane is for both types of vehicles.

1.1.41 Sidewalks: All property along or by any street, highway, or roadway which is intended for pedestrian use and which lies between the curb line and lateral line of any street, highway, or roadway and the line at which the use of property for purposes other than pedestrian traffic ends.

1.1.42 State: When unmodified, means the State of North Carolina.

1.1.43 Stop: When required, means complete cessation of movement. When prohibited, means any stopping of a vehicle except when necessary to avoid conflict with other traffic or in compliance with the direction of a law enforcement officer or traffic control sign or signal.

1.1.44 Traffic Signal: A road signal that flashes a red, green, or amber warning light to direct traffic to stop, proceed, or proceed with caution.

1.1.45 Store: The parking of a bicycle or vehicle for a continual period of more than twenty-four hours, or the parking of a bicycle or vehicle with the intent that it will not be moved for a period of at least 24 hours.

1.1.46 Street, Highway, or Roadway: The entire width of a corridor designed or marked by proper authorities for vehicular traffic.

1.1.47 Student: Any person registered with the University as a full-time, part-time, graduate, or other special student. This does not include employees of the University who are in a full-time permanent position and subject to the SPA or EPA guidelines, and are taking one class per semester.

1.1.48 Temporary Employee: Any non-student part-time or full-time temporary (nonpermanent) employee of the University. This does not include Graduate Research Assistants and Teaching Assistants.

1.1.49 Traffic Way: Any way, area, or region where vehicles or bicycles are permitted to be operated or parked.

1.1.50 Transfer Students: Any student who enrolls at NC State University and receives credit for class hours from another college or university, and has been verified through University Registration and Records as a transfer student.
1.1.51 Transportation: The North Carolina State University department in the Administrative Services Center on Sullivan Drive is responsible for, but not limited to: transportation planning, transit services, enforcement of rules and regulations for parking and traffic, registration of vehicles, distribution of permits, issuance of parking penalties, collections of transportation receipts and the upkeep, renovations, and construction of transportation facilities.

1.1.52 Travel Lane: That portion of the road, street, or way between the centerline and curb on which vehicles or bicycles are permitted to operate, but where parking is prohibited.

1.1.53 University: Unless otherwise provided, North Carolina State University at Raleigh.

1.1.54 University Holidays: Those days named by the Chancellor as Official University Holidays. This does not include Fall and Spring Break, or other student breaks.

1.1.55 University Partner: Any non-University agency or business, or employee of such, leasing space from the University, which is located on one of the University's Campuses or properties.

1.1.56 Unregistered Vehicle: Any vehicle on campus not registered with Transportation.

1.1.57 Unsettled Fines, Fees and Charges: Any fines, fees, and charges (monetary or otherwise) levied by Transportation which have not been resolved by payment of outstanding debts, return of a parking permit or gate card as specified by Transportation, the Director of Transportation, or other University Agencies.

1.1.58 Vehicle: Every device in, upon, or by which any person or property is or may be transported or drawn upon a highway, except devices moved by human power or used exclusively upon fixed rails or tracks; provided, that for the purpose of this Ordinance, bicycles will be deemed vehicles, and every rider of a bicycle on the campus will be subject to the provisions of this Ordinance governing traffic and parking. This term shall not include a device which is designed for and intended to be used as a means of transportation for a person with a mobility impairment, or who uses the device for mobility enhancement, including on sidewalks, and is limited by design to 15 miles per hour.

1.1.59 Visitor: Individuals not identified by this section as an employee, student, University partner or temporary employee. May also include non-salaried visiting faculty and adjunct faculty.

1.1.60 Walk or Walkway: A path designed for or marked for exclusive use by pedestrians whether along a street, roadway or other areas.

1.2 Authority

1.2.1 As provided by North Carolina General Statute Chapter 116-44.4 the Board of Trustees of North Carolina State University adopts these Parking and Transportation Ordinances, and through their designee, the Director of Transportation, will be responsible for the registration, flow, and parking of
vehicles on property owned or leased in whole or in part by the State of North Carolina and which is
under the control of the Board of Trustees of North Carolina State University.

1.2.2 The Director of Transportation, acting pursuant to the authority vested by this Ordinance and
the Board of Trustees, will exercise discretion and authority in a manner as to assure the proper conduct of
the necessary business of the University and the effective utilization and control of the available
parking areas and facilities on the campus of the University for the benefit and maximum convenience
of visitors, students and employees.

The Director of Transportation is authorized to issue exceptions to regulations within this Ordinance,
to issue temporary regulations and suspend enforcement of parking regulations to allow for the benefit
and maximum convenience of visitors, students, and employees. The Director of Transportation retains
the right to authorize or deny the use of or closing of campus streets and parking lots. The Director of
Transportation retains the right to remove vehicles from closed streets and/or parking lots.

The Director of Transportation may suspend enforcement of parking regulations to allow for special
events on campus. Exceptions to regulations, temporary regulations and enforcement suspensions are
valid only for when and how specified, and will not be considered precedent for future situations.

1.2.3 Posting notice of this Ordinance: The Director of Transportation will post notice of this
Ordinance and the General Statutes of North Carolina Chapter 116-44.4 to the public.

1.2.4 Filing of this Ordinance: The Director of Transportation will provide a certified copy of this
Ordinance, to be filed in the following locations:
   a. The Office of the Secretary of State of North Carolina
   b. UNC General Administration Office

All ordinances adopted under this Part shall be recorded in the minutes of the board of trustees. Each
board of trustees shall provide for printing and distributing copies of its traffic and parking ordinances.

1.2.5 Liability: North Carolina State University assumes no liability or responsibility for damage to or
theft of any vehicle parked or in operation on the properties leased or under the control of the Board of
Trustees of North Carolina State University.

1.2.6 The provisions of this Ordinance will apply to all NC State University employees, students,
partners, vendors, contractors and visitors, as well as the operators of all vehicles, whether public or
private, and they will be enforced 24 hours a day, except as herein provided. It will be unlawful for any
operator to violate any of the provisions of the Ordinance, except as otherwise permitted in this
Ordinance or the General Statutes of North Carolina.

1.2.7 The operator of any vehicle will obey the lawful instruction of any law enforcement officer,
parking enforcement officer, traffic officer, and any official traffic signs or control devices
appropriately placed and in accordance with the provisions of these regulations. Whenever a particular
section does not state that signs are required, such section will be effective without signs being
provided.
1.2.8 Nothing in this Ordinance will be deemed to prohibit authorized vehicles of the University, or its agents, or of any public utility company from making any such stops as the establishment and maintenance of streets, grounds, water supply, and utility lines require. It is unlawful to drive or park a motor vehicle on sidewalks, grass, or shrubbery unless such areas are designated for parking.

1.2.9 Monies: Any monies collected pursuant to this Ordinance will be used for staffing of Transportation, enforcement, planning for services, parking operations, consultants, construction and maintenance of parking facilities, and such other purposes as deemed necessary by the Chancellor to carry out the transportation program at North Carolina State University.

1.3 Violation of Ordinance

1.3.1 In addition to the criminal penalties set out by North Carolina General Statute, any person violating this or any regulations issued hereunder is subject to a civil penalty as set forth in this Ordinance. In addition to any civil penalty that may be imposed, Administrative Sanctions may also be imposed if an offender does not pay a validly due penalty or upon repeated offenses. Violations of these Ordinances are not infractions as defined in G.S. 116-44-4.

1.3.2 Rules of Evidence: When a vehicle is found parked or unattended in violation of this Ordinance it will be considered prima facie evidence that the vehicle was parked:

   a. By the person holding a University parking permit for that vehicle
   b. By the person registered with the University for a parking permit displayed on that vehicle or
   c. By the person on file as the vehicle's owner with the North Carolina Division of Motor Vehicles, or corresponding agencies of another state or nation.

2. LOW SPEED AND TWO OR THREE WHEELED VEHICLES

2.1 Motorcycles/Mopeds/Scooters

2.1.1 Motorcycles, mopeds and scooters must have valid parking permits to utilize a campus parking space. North Carolina State University employees, students, employees of corporate partners and employees of other entities with offices on one of the University's campuses are eligible to request a parking permit.

2.1.2 Permits are purchased from Transportation. Anyone who has a valid permit for an automobile may obtain a motorcycle/moped/scooter permit for his or her personal use at a reduced rate. Motorcycle permits may not be used as part of a carpool.

2.1.3 The permit must be affixed to the front fork of the motorcycle or displayed visibly on the frame of the moped.

2.1.4 Mopeds and/or Scooters may be parked at bicycle racks without a parking permit if they do not block pedestrian access in any manner in excess of that of a bicycle.

2.1.5 Scooters/mopeds are permitted to operate on sidewalks, plazas and in pedestrian safety zones provided that 1) pedestrians have the right-of-way, 2) vehicles are operated at speeds that do not endanger pedestrian or operator safety; 3) vehicles are dismounted in areas of pedestrian congestion. Persons failing to safely navigate these pedestrian areas are subject to fines/citations.
2.1.6 Any scooter/moped deemed to not be mechanically safe by Transportation, or with a gas or oil leak may be immediately impounded. Any scooter/moped that appears to be abandoned may be impounded following the same procedure as followed for bicycles as described in 2.2.4. abandoned.

2.2 Bicycles

2.2.1 For the purpose of this Ordinance, bicycles will be deemed vehicles, and every rider of a bicycle on the campus will be subject to the provisions of the Ordinance with the modifications issued in this section.

2.2.2 Bicycles must be parked and/or secured only to bicycle racks. Bicycles found in the following areas are subject to immediate impoundment:

1. next to a yellow curb
2. on the sidewalk
3. in a driveway
4. against trees or posts
5. in stairways or on handrails
6. in hallways or classrooms
7. at building entrances
8. in any manner which could impede the flow of pedestrian, or vehicular traffic
9. parking meters or signposts.
10. impound

2.2.3 Registration of Bicycles

a. Registration of Bicycles: All bicycles, which are operated, parked, or stored, on the campus by any employee, student, or corporate partner should be registered with Transportation.

b. Bicycle Permits: Bicycle permits are not transferable (either between persons or assigned bicycles). If a bicycle permit becomes damaged, or if the owner acquires a new bicycle, then the owner should obtain a replacement permit from Transportation.

c. Effective Period: Initial registration will be effective from the day registration is completed, and will not expire except when the registration permit is removed from the bicycle to which it was assigned; or there is a change of ownership of the bicycle.

2.2.4 Bicycle Impounding

a. Any bicycle may be impounded if such bicycle appears to have been abandoned.

b. In case of any registered bicycle so impounded, notice will be sent within 15 working days after such impounding to the registered owner at the place of residence designated on the last registration form on file with Transportation.

c. In the case of any unregistered bicycle so impounded, Transportation will make reasonable inquiry to identify the owner or the person entitled to possession thereof, and will within 15
working days after such impounding, post in a conspicuous manner, a notice specifying: 1) the location where the bicycle was impounded, and 2) the color of the bicycle.

d. Any impounded bicycle, which is not redeemed within ninety days after notice, will be surplused at the NC State University Surplus Property Office for sale in accordance with NC State University procedures.

2.2.5 Operation of a Bicycle

**Required Adherence to Traffic Laws:** Every person operating a bicycle will do so in adherence with traffic control devices and rules of the road applicable to motor vehicles under: North Carolina state laws as prescribed in the General Statutes of North Carolina Chapter 20, Motor Vehicles and these Parking and Transportation Ordinances for North Carolina State University (see section 6.0 Traffic Regulations). Persons who violate this subsection are subject to fines/citations.

2.2.6 **Operation of a bicycle in pedestrian safety zones:** Cyclists are permitted, though not encouraged, to operate their vehicle in pedestrian safety zones provided that 1) pedestrians are given the right-of-way, 2) vehicles are operated at speeds that do not endanger pedestrian or cyclist safety, 3) vehicles are dismounted in areas of pedestrian congestion. Persons failing to safely navigate these pedestrian areas are subject to fines/citations.

2.2.7 **Operation of a bicycle in dismount zones:** Cyclists must dismount their vehicle in a dismount zone. Persons who violate this subsection are subject to fines/citations.

2.3 Skateboards/Roller Skates/In-line Skates

2.3.1 Skateboards, roller skates and inline skates shall be considered a means of transportation on NC State campus. In point to point progression, ollies (the event of the rider jumping the device from the ground) and manuals (where only two wheels remain in contact with the ground in an exhibition of balance) shall be considered legal activities.

2.3.2 The use of skateboards, roller skates or inline skates on all of NC State University shall be tolerated beyond a 50-foot radius surrounding all school buildings, and as a means of point-to-point transportation as defined above. As such, obstacles such as staircases, ledges, benches and flower planters shall be off limits to the riders due to the inherent destruction of property that may result from such activities.

2.3.3 **Grinding** (i.e., frictional contact between any part of the skateboard and a ledge, plant or bench) shall be illegal due to applicable damage to university property. Certain obstacles may be set up by campus recreation for permitted use outside of parking and pedestrian areas.

2.3.4 Skaters must be responsible in consideration of pedestrians and vehicular traffic. Reckless operation, whereby the rider upholds no concern for the safety of others, constitutes a violation of acceptable skateboard use.

2.3.5 Violations of this regulation by students, faculty, or staff will be enforced in accordance with current University guidelines for violations of the University **Student Code of Conduct** or the University disciplinary policy for employees. Individuals who violate this regulation and are not affiliated with the University may be trespassed from the University. In addition, the skateboard, roller skates, or in-line skates may be temporarily confiscated for possible use in a University hearing.
2.3.6 Persons who violate this section 2.3 are subject to fines/citations.

2.3.7 Violation of section 2.3 by visitors may result in the person being asked to leave campus. Future violations or failure to leave the campus may result in an arrest for trespassing. The skateboard, roller skates, or in-line skates may be seized for use as evidence in a criminal proceeding.

2.4 Low Speed Vehicles (Gators, Kubotas, Mules, Golf Carts)

2.4.1 **Authorized Use** - Acquisition, use, and parking is limited to University departments and University contractors with a valid business need. Departments or contractors operating gators, mules or golf carts are expected to comply with all provisions of this document. Personally owned gators, mules or golf carts are prohibited from operating on university property. Any exceptions must be approved by Transportation.

2.4.2 **Valid Business Need** - Defined as: transporting personnel, equipment, and/or supplies for University purposes when other transportation means are unavailable or problematic; or transporting employees, students, and/or guests with temporary or permanent disability-related needs.

2.4.3 **Authorized Operators** - Operation of low-speed vehicles is restricted to University employees and students designated by the Department Head. Each department shall maintain a current list of approved operators.

2.4.4 **Registration** - All Low Speed vehicles are required to be registered with Transportation. Transportation provided decal must be displayed on the vehicle to provide for identification.

2.4.5 **Approved and Prohibited Areas** – Gators, mules and golf carts may travel on University roads, streets and in University parking lots. They may be operated on sidewalks provided that pedestrians have the right-of-way and vehicles are operated at speeds that do not endanger pedestrian safety. Generally, these vehicles should not be operated in areas of pedestrian congestion or on sidewalks during times of class change. Persons failing to safely navigate these pedestrian areas are subject to fines/citations.

Additionally, these vehicles should not be operated on the grass except when necessary for a temporary detour. These vehicles may not be operated on streets or roads where the speed limit exceeds 35 miles per hour, except to cross such street or road.

2.4.6 **Parking** – Gators, mules and golf carts must display a valid University parking permit for the appropriate space or zone when utilizing a parking space. Parking is prohibited on sidewalks and grass in a manner that blocks any pedestrian access or route.

2.4.7 **Specifications** – Gators, mules and golf carts must be equipped with headlamps, horn, reflex reflectors and parking brakes.

2.4.8 **Operators of gators, mules and golf carts must possess a valid operator’s driver’s license.**

2.4.9 **Safety and Protection**
   a. **General** – Gators, mules and golf carts shall not be operated in a manner that may endanger occupants or nearby individuals and property.
5.3.3

2.5 Personal Assistive Mobility Device

2.5.1 Use - An electric personal assistive mobility device may be operated on the public highways, sidewalks and bicycle paths. A person operating an electric personal assistive mobility device on a sidewalk, roadway, or bicycle path shall yield the right-of-way to pedestrians and other human powered devices. A person operating an electric personal assistive mobility device shall have all rights and duties of a pedestrian.

2.6 Impoundment

2.6.1 Bicycles, scooters, mopeds, motorcycles and other alternative modes of transportation are to be stored in an appropriate manner at bicycle racks or other designated spaces. Those deemed to be stored in a manner that creates a safety issue or inhibits pedestrian movement may be impounded by Transportation.

3. PARKING PERMITS

3.1 General Terms and Conditions

3.1.1 All eligible individuals may request permits through Transportation. Visitors to Campus may obtain a daily visitor parking permit or a temporary parking permit from Transportation. Parking permits and access control devices remain the property of Transportation. Parking permits, replacement permits, temporary permits, or access control devices may not be given, sold, or traded to another person or placed on a vehicle other than the one(s) for which the permit is registered.

3.1.2 Any individual who has been issued a parking permit must return it if they leave the University prior to the expiration date of the permit. Refunds may be issued if the permit is returned before May 31. Certain refund conditions may be applied to permits issued to students, temporary and nine-month employees. Gate cards and electronic gate openers must be returned if an individual departs the University, or the individual will be responsible for fines and/or replacement costs.

3.1.3 Failure to display an appropriate permit while parked in a permit-required zone or space will result in a fine.

3.2 Employee Parking Permits
3.2.1 Eligible employees may request a parking permit at any time during the year. New employees must provide Transportation with the required documents verifying their employment with the University. These individuals may request parking permits for unreserved parking spaces or lots closest to their primary work location, or they may request parking in commuter lots. Employees are not eligible to use visitor permits. Employees are not eligible to purchase the following permits: SV, SP, UV, UD, RE and RW.

3.2.2 Employee requests will be assigned based on availability.

3.2.3 Employees who have their permit cost paid through payroll deduction must return their permit upon termination of employment with the University. Individuals are responsible for monthly payment until they return the parking permit. Vehicles displaying unauthorized permits are subject to booting and the owner being fined.

3.2.4 Employees on an unpaid leave of absence or faculty who take off-campus scholarly assignment may elect to maintain and keep their permit if it is paid in full through its expiration date. Employees who are having their permit fees deducted through monthly payroll must return their permit prior to the leave, or they will be responsible for monthly permit fees. The employee will be entitled to a reassignment of their permit when they return to the University's employment and reinstate their payroll deduction for parking fees.

3.2.5 Employees participating in the pretax program for monthly parking permits deductions will have their refunds adjusted for income tax. These refunds are adjusted by, and mailed from, University Payroll. Employees not participating in the pretax program will not have their refund adjusted for income tax. Transportation will prepare and mail these refunds.

3.2.6 Special Faculty

Salaried Visiting, Clinical, Research, Extension and USDA/USDI are considered employees of the University. Employee parking policies and permit eligibility apply to these individuals.

3.2.7 Retired University Employees

Retired employees may request a "Retiree," or "R," permit if they wish to visit the University. "R" permits may be sold at a lesser rate than permits for employees. Verification of retirement is required at the initial application, and annually to renew the permit.

A retired employee who returns to work in either a part-time or full-time capacity must notify Transportation and return the "R" permit. The individual must purchase a regular-priced employee permit to park on campus while working.

Individuals who falsify employment or compensation information are subject to suspended parking privileges. Permits issued to retirees may not be used by other individuals to attend work or classes on campus.

3.2.8 Phased Retirement participants are considered employees

3.2.9 Permanent Part-time and Full-time Employees-Payroll Deduction
Employees receiving paychecks monthly or biweekly for twelve months a year are eligible to have their monthly parking permit fee deducted from their paycheck. They may choose to have permit fees deducted before or after taxes; i.e., on a pre-taxed gross if before taxes, or taken from their net if after taxes. (Employees should consult their tax advisers before deciding on pretax or after tax permit deductions.) If the individual leaves the University employment, they are responsible for returning the permit to Transportation in order to stop payroll deduction. If permit fees cannot be collected through payroll deduction, and the individual has not returned the permit, they will continue to be responsible for payment of the permit until it is returned to Transportation.

3.2.10 Temporary Employees

Individuals employed in a nonpermanent position or capacity, or through University Temporary Service (UTS) may request a parking permit. Verification of employment, including duration of employment is required. Payment for the permit will be made by cash, check or credit card. Payment must be for the full amount due on the parking permit. No Partial Payments are accepted. Payroll deduction is not permitted. Permits may be purchased on a monthly basis at a rate equal to one-twelfth the annual cost of the permit. Shorter-term employment will allow for the purchase of permits at a daily or weekly rate. Temporary employees will not be issued gate cards. Assignment of a parking permit and parking location will be decided by Transportation based upon space availability. These individuals are not eligible to be placed on a permit wait list.

3.2.11 Nine Month Recurring Permanent Employees

Permanent employees in recurring positions may request annual parking. If the employee leaves the University prior to the expiration of the permit, they are required to return the permit to Transportation. A refund may be issued if the permit is returned before May 31. If permit fees cannot be collected through payroll deduction, and the individual has not returned the permit, they will continue to be responsible for payment of the permit until it is returned to Transportation.

3.2.12 Individuals on Campus Employed by other Agencies or Government or Military Agencies

These individuals are eligible for parking under the guidelines for University employees. They are not eligible for payroll deduction of parking fees.

3.2.13 Employees of University Partners

These employees are eligible for parking under the covenant of their leases with the University. They are not eligible for payroll deduction of parking fees.

3.2.14 Adjunct Faculty

Adjunct faculty members are expected to purchase a permit if they require parking on campus.

3.2.15 Eligible employees and departments can make requests for the parking permits listed below. Assignment of permits is based on availability, with consideration to the employee's primary work/office location.

3.2.16 Carpool and Vanpool Eligibility

Employees may join a carpool or vanpool to reduce parking and driving costs. Carpool and vanpools must be registered with Transportation. Carpools require a carpool permit in order to park in designated spaces. Triangle Transit (TT) vans are not required to display a parking permit.
3.2.17 Employee Parking Permits: The following provides the general locations and proximity of areas that may be designated for employee parking.

<table>
<thead>
<tr>
<th>PERMIT</th>
<th>GENERAL CAMPUS AREA FOR PERMIT ASSIGNMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;B&quot;</td>
<td>North Campus Gated Area, North Hall Staff Portion, Hillsborough Square, Pullen Road, Nelson West, Brooks Lot, Carter Williams Lot, Hillsborough East and West Lots.</td>
</tr>
<tr>
<td>&quot;C&quot;</td>
<td>Coliseum Bays (Jeter Dr.), Case Center Lot, Steam Plant Lot, Carmichael, Centennial Campus, South West Campus areas, Dan Allen Deck, Method Road Greenhouse, Centennial Biomedical Campus, Central Campus area and South Central Campus, Avent Ferry Complex, Greek Village, E.S. King Village, Wolf Village.</td>
</tr>
<tr>
<td>&quot;CD&quot;</td>
<td>Coliseum Parking Deck,</td>
</tr>
<tr>
<td>&quot;L&quot;</td>
<td>Designated areas and times</td>
</tr>
<tr>
<td>&quot;BB&quot;</td>
<td>Designated Bicycle Parking throughout campus</td>
</tr>
<tr>
<td>&quot;M&quot;</td>
<td>Designated Motorcycle Parking throughout campus</td>
</tr>
<tr>
<td>&quot;U&quot;</td>
<td>Allows access to North Campus Areas by employees or departments who do not reside on North Campus.</td>
</tr>
<tr>
<td>&quot;R&quot;</td>
<td>&quot;B&quot; and lower zone areas for retired employees</td>
</tr>
<tr>
<td>&quot;V&quot;</td>
<td>Varsity Drive Lots (portion)</td>
</tr>
</tbody>
</table>

3.3 Student Parking Permits

Eligibility:

a. Students are not eligible for the following permits: “AS”, “A-lot”, “SP”, “SV”, “U”, “SV”, “UD”, “UV”, “B” or “C.” Students are not eligible to use visitor permits.

b. All students including freshmen are eligible to purchase parking permits, based on space availability. All students are eligible for bicycle and motorcycle parking permits.

c. Students living at University Towers and the College Inn are only eligible for storage parking permits.

d. Resident students:
   1. Greek Village, Avent Ferry Complex, E.S. King Village and Wolf Village are only eligible for "P" parking permits.
   2. Students who live in University housing on Main Campus are eligible for “RE”, “RP”, “RW”, “RS” permits.
   3. Students who live in University Housing on Centennial Campus are eligible for “RC” and “RS” permits.

e. Eligible commuting students may purchase parking permits for:
   2. permit "L" if parking is needed 7 a.m. to 9 a.m. or after 3 p.m.

f. Other Parking Options for Students
   1. Commuting students of any class may park in the Wolfline Park and Ride Lots to ride transit to and from campus. There is no parking fee required in these lots. Overnight parking is prohibited.
   2. Commuting students may park in hourly pay lots on campus at the rates posted. Overnight parking is prohibited.

3.3.1 The following provides general locations and proximity of areas that may be designated for student parking.
PERMIT GENERAL CAMPUS AREA FOR PERMIT ASSIGNMENT

“CC” Designated Centennial Campus Decks and Centennial Biomedical Campus Deck / CVM

“CD” Coliseum Parking Deck Area

“DD” Dan Allen Deck

“RC” Residence Hall Areas on Centennial Campus proximate to Wolf Ridge.

“RE” Residence Hall areas located East of Dan Allen Drive

“RP” Resident Perimeter Lot adjacent to West Deck

“RW” Residence Hall areas located West of Dan Allen Drive

“W” Main Campus West Deck

“P” Avent Ferry Complex, Greek Village, E. S. King Village, Wolf Village and Centennial Campus Perimeter Lots

“L” CC, CD, DD, P and W 7 a.m. to 9 a.m. and after 3 p.m.

“BB” Designated Bicycle Parking Throughout Campus

“M” Designated Motorcycle Parking throughout Campus at specific locations

“RS” Centennial Campus Perimeter Lots

“V” Varsity Drive Lots
3.3.2 Student Parking Permits:

Eligible students may request parking permits that are applicable to their residence location or commuter status.

<table>
<thead>
<tr>
<th>Student Residency Status</th>
<th>Applicable Parking Permits</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Resident Students</strong></td>
<td></td>
</tr>
<tr>
<td>Wolf Ridge</td>
<td>RC, RS</td>
</tr>
<tr>
<td>North Hall</td>
<td>RE, RP, RS</td>
</tr>
<tr>
<td>Watauga, Syme, Gold and Welch</td>
<td>RE, RP, RS</td>
</tr>
<tr>
<td>Berry, Becton and Bagwell</td>
<td>RE, RP, RS</td>
</tr>
<tr>
<td>Wood, Alexander, Owen, Turlington and Tucker</td>
<td>RE, RP, RS</td>
</tr>
<tr>
<td>Metcalf, Bowen, Carroll</td>
<td>RW, RS</td>
</tr>
<tr>
<td>Lee, Sullivan and Bragaw</td>
<td>RW, RS</td>
</tr>
<tr>
<td>Greek Village</td>
<td>P</td>
</tr>
<tr>
<td>E S King Village</td>
<td>P</td>
</tr>
<tr>
<td>Avent Ferry</td>
<td>P</td>
</tr>
<tr>
<td>Wolf Village</td>
<td>P</td>
</tr>
<tr>
<td><strong>Commuting Students</strong></td>
<td></td>
</tr>
<tr>
<td>Commuting Students (Including Graduate Students)</td>
<td>CC, CD, DD, W, V, P</td>
</tr>
</tbody>
</table>

3.3.3 Changes in Students' Residence Status

Students who change their status (i.e., from resident to commuter, or commuter to resident) as a result of changing residence, are responsible for contacting Transportation about changing their parking permit to one that may be more appropriate for their residency status. Commuting and residence students who were issued permits based on a particular residency status and change that status without notifying Transportation, may lose their privileges if their new residency does not qualify them for the permit that they currently hold.

3.3.4 Permit Costs and Refunds for Students

Student permits issued at the beginning of fall semester will be sold at the full annual rate. Permits issued in subsequent months through August 15 of the next calendar year, will be sold at a prorated rate. Students must pay the total cost of a permit (full rate or prorated amount) before the permit will be issued. Permits are refunded on a prorated basis. Refunds will be adjusted for any outstanding fines or fees due to Transportation.
3.4 Permits For Others

3.4.1 Permits for Departments

a. Departments can obtain Short-Term Temporary permits for unloading/loading materials and supplies at designated loading areas or zones.
b. Service, Academic and Administrative departments may purchase UD (Universal Departmental) permits for employees to use while conducting University-related business.
c. Service departments may purchase SV permits for State-Licensed vehicles used to provide service as defined in Section 1.1.38 of this Ordinance.
d. Departments Heads may request one no-charge Loading/Unloading permit hangtag to facilitate short term departmental loading and/or unloading needs. Additional permits are available for a nominal fee. The permit validates parking up to 30 minutes in designated Loading/Unloading spaces. Displayed on a state-licensed vehicle, the permit validates long term parking on the top level of the Dan Allen deck and in the Hillsborough Square lot.

3.4.2 Visitor Parking and Permit

a. Visitors must purchase a Daily Visitor parking permit.
b. Campus departments, employees or students who sponsor events on campus, or invite visitors, are responsible for arranging parking for their visitors.
c. Students and employees are not eligible to use visitor permits.

3.4.3 Permits for Service Providers, Salespersons and Cartage

a. Companies delivering supplies and materials on campus are not required to purchase a parking permit if they have a visible company logo displayed on the vehicle, and if the vehicles are parked in an unreserved parking space or designated Loading/Unloading space. Vehicles may not pull up on curbs, sidewalks, landscape areas, other no parking areas, or block traffic.
b. Service providers who are performing maintenance or repair to campus infrastructure, buildings or equipment must purchase a parking permit to park on campus. Salespersons must purchase a parking permit to park on campus.
c. Public Service Companies performing repairs or surveys of utility infrastructures are not required to purchase a parking permit if they have a visible logo displaying their company name and service on the vehicle. Vehicles must park in parking spaces, and may not pull up on curbs, sidewalks, landscape areas or other no parking areas, or block traffic while performing their duties. The work performed may not exceed a frequency that requires the vehicle to be on campus for more than one day per week. Work that requires use of parking or may impact parking for longer periods must be reviewed and approved by Transportation. Failure to make appropriate parking arrangements will be considered in violation of this Ordinance.
3.4.4 Special approval is required for the following permits:

<table>
<thead>
<tr>
<th>Parking Permit</th>
<th>Authorization Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>A-lots, AS, T</td>
<td>Vice Chancellor of Finance and Business</td>
</tr>
<tr>
<td>SV, UD, UV, SP</td>
<td>Transportation</td>
</tr>
<tr>
<td>Accessibility</td>
<td>Transportation</td>
</tr>
<tr>
<td>R (Retirees only)</td>
<td>Transportation/Requestor's previous Department Head</td>
</tr>
<tr>
<td>RD</td>
<td>Transportation/University Housing</td>
</tr>
<tr>
<td>SL</td>
<td>Transportation/Student Leader Coordinator</td>
</tr>
</tbody>
</table>

3.4.5 Accessibility Parking

Any employee (including employees of corporate partners or employees of other entities located within the University) or student who, because of a short-term or permanent disability, requires a vehicle on campus, must apply for a parking permit to park in an accessible space. The individual is responsible for providing Transportation with all information required to process and review their request for an exception due to disability. A current state-issued accessibility placard is required for long-term disabilities.

Requests will be reviewed by Transportation (with assistance from Student Health Services and the Disability Compliance Office). All possibilities for accessible travel for the student will be considered, and a decision will be made for the best alternative.

3.4.6 Trustee Permits

Members of the Board of Trustees of North Carolina State University will be issued ("T") permits at the beginning of each academic year at the direction of the Chancellor.

3.4.7 Student Leader Permits

a. Student Leader permits will be issued to specific Student Leader positions upon receipt of a completed application from the individual holding that position. Transportation maintains a list of eligible positions.

b. Permits for new leaders will be issued only after the outgoing leader for that position has returned the previously assigned permit.


3.4.8 Permits for Non-Student Residents of E.S. King Village

Non-Student residents of E.S. King Village who live at E.S. King Village and have a vehicle, must display a valid University parking permit on the vehicle.
3.5 Permit Costs

The Board of Trustees hereby directs the Director of Transportation to collect parking fees for parking permits as follows:

<table>
<thead>
<tr>
<th>Permit</th>
<th>Academic Year 2012-2013, 2013-2014</th>
<th>Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>AS</td>
<td>$1008, 1038</td>
<td>N/A</td>
</tr>
<tr>
<td>SP</td>
<td>$4144, 426</td>
<td>N/A</td>
</tr>
<tr>
<td>SV</td>
<td>$422-$400</td>
<td>N/A</td>
</tr>
<tr>
<td>SV, UV</td>
<td>$372, $360</td>
<td>N/A</td>
</tr>
<tr>
<td>A-Lot</td>
<td>$241765</td>
<td>N/A</td>
</tr>
<tr>
<td>B, U, UD, SP</td>
<td>$4144, 426</td>
<td>N/A</td>
</tr>
<tr>
<td>R</td>
<td>$35, 36</td>
<td>N/A</td>
</tr>
<tr>
<td>C</td>
<td>$318, 327</td>
<td>N/A</td>
</tr>
<tr>
<td>RC, RE, RW</td>
<td>N/A</td>
<td>$304313</td>
</tr>
<tr>
<td>RW</td>
<td>N/A</td>
<td>$304</td>
</tr>
<tr>
<td>CD</td>
<td>$318, 327</td>
<td>$318327</td>
</tr>
<tr>
<td>CC, DD</td>
<td>N/A</td>
<td>$318327</td>
</tr>
<tr>
<td>W, RP, BR</td>
<td>N/A</td>
<td>$252260</td>
</tr>
<tr>
<td>P</td>
<td>N/A</td>
<td>$207213</td>
</tr>
<tr>
<td>M</td>
<td>$6365</td>
<td>$6365</td>
</tr>
<tr>
<td>RD</td>
<td>$248, 358</td>
<td>N/A</td>
</tr>
<tr>
<td>SL</td>
<td>N/A</td>
<td>$318</td>
</tr>
<tr>
<td>L</td>
<td>$259/semester</td>
<td>$259/semester</td>
</tr>
<tr>
<td>T</td>
<td>Trustee Permit $3536</td>
<td>N/A</td>
</tr>
<tr>
<td>V</td>
<td>$99</td>
<td>$99</td>
</tr>
<tr>
<td>RS</td>
<td>N/A</td>
<td>$184189</td>
</tr>
<tr>
<td>LZ</td>
<td>No Cost For First Departmental Permit, $25 Per Additional</td>
<td>N/A</td>
</tr>
</tbody>
</table>

*"Others" represents non-University companies or individuals

3.6 Transferable Permits

3.6.1 A permit holder with multiple vehicles registered to their permit may be assigned one of these types of permits to be transferred between vehicles: (1) hang tag permit for display hanging from rearview mirror, or (2) an adhesive or static cling removable permit for display directly on the lower right hand (passenger) side of the front windshield. The permit must be displayed on the vehicle that is parked on campus. This includes individuals who carpool.
3.7.6 Temporary Replacement Permits

3.7.6.1 Authorized permit holders may receive a temporary replacement permit if a vehicle they are driving to campus does not have the permit displayed.

   a. Permit holders are not entitled to obtain a temporary permit if the vehicle, which is displaying the original permit, is parked on campus.
   b. Transportation reserves the right to limit the number of temporary replacement permits issued to an individual.

3.8.7 Affixing/Displaying the Permit (Automobiles)

3.8.7.1 Permits must be displayed in the intended manner for the type of permit issued. The entire permit must be clearly visible and cannot be obscured in any way. Hang tag permits must be displayed hanging from the rearview mirror. If no rearview mirror exists, the permit must be displayed face up on the dash in the right hand (passenger) side of the front windshield. Adhesive or static cling permits must be affixed to the right hand (passenger) side of the front windshield with the original adhesive material provided on the permit.

3.8.7.2 Daily Visitor, Accessibility, or Access Hang Tag permits must be placed on the rearview mirror so that the permit number faces out. In vehicles without suspended mirrors, place permit number side up on right hand (passenger) side of dashboard.

3.8.7.3 A violation of this section (Improper Display of Permit) may result in a fine.

3.9.8 Permit Replacement

3.9.8.1 Replacement

   If a permit holder can return an identifiable portion, including the number of the permit, and the permit is registered to that individual, then he may receive a replacement free of charge.

3.9.8.2 Charges for Replacement

   a. If a permit holder cannot return an identifiable portion of their permit, they will be charged $10 for a new permit.
   b. If a permit has been lost or stolen, the person to whom the permit was registered may receive a replacement permit for a processing fee of $10.
   c. A lost or stolen permit report must be filed with Transportation prior to replacement.
   d. If a gate card is lost or stolen, a replacement will be issued for a fee of $10. Damaged gate cards must be replaced and there is no charge to replace a defective card unless it is evident the card has been intentionally damaged.
   e. If a lost/stolen permit and/or gate card is found, it must be returned to Transportation and the processing fee will be refunded.
   f. Displaying and/or possession of a lost/stolen permit or gate card will result in a fine, loss of parking privileges for up to one year, and the restitution cost of the permit, and/or gate card.
3.10.9 Unlawful transfer or Use on Unauthorized Vehicle

3.10.9.1 Parking permits and access control devices remain the property of Transportation and, as such, parking permits, replacement permits, and access control devices may not be given, sold or traded to another person.

3.10.9.2 A violation of this section (Unlawful transfer or Use on Unauthorized Vehicle) may result in a fine, loss of parking privileges for up to one year and/or restitution of said permit and/or gate card.

3.11.10 Forging, Counterfeiting or Altering of Permits

3.11.10.1 It is a violation to forge, counterfeit or alter a parking permit in any manner, way, shape or form.

3.11.10.2 A violation of this section (Forging, Counterfeiting or Altering permits) may result in a fine, loss of parking privileges for up to one year and/or restitution of the permit cost from beginning of the academic year up through recovery date of the counterfeit permit.

3.12.11 Presenting False Information

3.12.11.1 It is a violation to present, attempt to present or conspire to present information that an individual would have reason to believe is false, to any employee or agent of Transportation for the purpose of obtaining a permanent or temporary parking permit, maintaining a parking permit, processing a petition/appeal or the purpose of deceiving any employee or agent.

3.12.11.2 A violation of this section (Presenting False Information) may result in a fine and/or loss of parking privileges for up to one year.

3.13.12 Carpools

3.13.12.1 Members of carpools are required to follow all procedures and regulations according to this Ordinance with the following modifications:

   a. Carpoolers must register with Transportation each vehicle that will be driven to campus.
   b. One transferable permit will be issued to the carpool.
   c. The carpool will be charged for only one permit.

4.0 PARKING REGULATIONS

4.1 Permit Enforcement

4.1.1 Non-Reserved Parking Spaces/Areas

Parking enforcement is between the hours of 7 a.m. and 5 p.m. Monday through Friday, in any unreserved parking space. “RE” and “RW” zones are enforced from 7 a.m. to midnight, Monday through Thursday and 5 p.m. on Friday. The Lot behind Peele Hall is enforced 7 a.m. through 7 p.m. Monday through Friday. During official University holidays: New Year’s Day, Martin Luther King
Jr.’s Birthday, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas unreserved parking spaces/areas are not enforced. At all other times, an appropriate permit must be displayed to park in these areas.

4.1.2 Reserved Parking Spaces/Gated "A"-Lots

Parking enforcement is twenty-four hours a day, seven days a week, including University Holidays. At all times, the appropriate permit for the reserved space/area/lot must be displayed on the vehicle.

4.1.3 If a gate to a reserved parking area is raised for any reason, enforcement will be maintained for the permit(s) required for that area.

4.1.4 Specifically designated Client/Visitor Spaces are for use by individuals utilizing business services of the adjacent building and not for general employee parking. Noted time frames apply.

4.1.5 "L" zone permits may park in the “CC”, “DD”, “CD”, “W”, “P” and “V” designated areas between the hours of 7 a.m. and 9 a.m. and after 3 p.m.

4.1.6 The Hillsborough East and West Lots require a "B" zone permit from 7 a.m. to 5 p.m. Monday through Friday, and ANY valid University permit at all other times. This lot is monitored 24 hours a day, 7 days a week.

4.1.7 The appropriate permit is required to be displayed at all times in any space marked "24 HOUR TOWING." A vehicle in violation is subject to a parking citation and immediate towing at the owner's expense.

4.1.8 Pay Lots will be enforced during posted times noted at each lot entrance. Overnight parking in a pay lot is subject to a parking citation for the violator and possible towing at the owner's expense.

4.1.9 Multiple citations may be issued in pay lots or at meters.

4.1.10 A violation of this section 4.1 will result in a fine and/or towing.
4.2 Permit Enforcement Zones

4.2.1 A current University Parking Permit is required to be displayed on the campus during the enforcement times, except while parking in parking meter zones or pay lots. Permit holders must pay the required fee for time parked at meters and in pay lots, even if a current permit is displayed on the vehicle.

4.2.2 Employee parking Permit Types are valid in the parking zone areas as indicated below

<table>
<thead>
<tr>
<th>Permit Type</th>
<th>Valid Permit Zone(s) for Each Permit Type</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SV</td>
</tr>
<tr>
<td>AS Specific assigned space/lot</td>
<td>X</td>
</tr>
<tr>
<td>A1-A4 Specific assigned space/lot</td>
<td>X</td>
</tr>
<tr>
<td>UV</td>
<td>X</td>
</tr>
<tr>
<td>SV/SP</td>
<td>X</td>
</tr>
<tr>
<td>U,R,UD</td>
<td>X</td>
</tr>
<tr>
<td>B</td>
<td>X</td>
</tr>
<tr>
<td>C</td>
<td>X</td>
</tr>
<tr>
<td>CD</td>
<td></td>
</tr>
<tr>
<td>V</td>
<td></td>
</tr>
</tbody>
</table>

**Notes:**

1) A1-5 permits are not interchangeable; i.e., A1 is not valid in A4, A4 is not valid in A1, A5, etc.

2) "L" permits are valid in the CC, CD, DD, W, V and P zones 7 a.m. to 9 a.m. and after 3 p.m.

3) Visitor parking is provided in designated areas in the Coliseum Deck, Dan Allen Deck and West Lot. A visitor permit must be displayed.

23
4.2.3 Student parking permit types are valid in the parking zone area as indicated below.

<table>
<thead>
<tr>
<th>Permit Type</th>
<th>Valid Individual Space</th>
<th>Valid Permit Zone(s) for Each Permit Type</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CC  CD  DD  W  P  V  RC  RE  RP  RW  RS</td>
<td></td>
</tr>
<tr>
<td>CC</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>CD</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>DD</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>W</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>P</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>V</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RC</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RE</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RP</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RW</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RS</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

Notes: 1) “L” permits are valid in CC, CD, DD, W, P, and V areas 7 a.m. to 9 p.m. and after 3 p.m.

2) CC, CD, DD may cross park after 3 p.m. (ie. CC valid in CD & DD, CD valid in CC & DD, etc.)

4.3 Areas receiving 24 Hour Enforcement

4.3.1 No Parking Areas

It shall be unlawful for any person to park a vehicle on the campus in any area or lot except in spaces marked or designated for parking. This includes drive lanes and driveways, intersections, landscape areas, and blocking of any pedestrian route or accessible aisle or route.

This section is not intended to prohibit the stopping of a vehicle, while actually loading or unloading passengers, yielding to an emergency vehicle, yielding to pedestrians or other traffic, following the direction of a traffic sign, signal or other device, the stopping of a public conveyance or by instruction of a law enforcement officer, so long as said vehicle does not obstruct any crosswalk, accessibility parking areas, walkway, intersection or access to any accessibility parking areas.
4.3.2 **Chancellor's residence**: No person will park a vehicle at the Chancellor's residence, 1570 Main Campus Drive except for the Chancellor, his/her family and their visitors or invited guests.

4.3.3 **Fire Lanes**: Certain campus areas are designated as "fire lanes" and carry a more severe penalty, including a fine and 24-hour towing, for blocking these zones. Fire lanes are typically designated with red painted curbs with "fire lanes" in white and/or signage. Yellow hatching or verbiage on the pavement may additionally define the area.

4.3.4 **Fire Hydrants**: Parking in a manner that blocks access to any fire hydrant, regardless of the presence of special "fire lane" designation, is a violation of this section.

4.3.5 **Stand pipes**: Parking in such a manner that blocks access to any standpipe or sprinkler system is a violation of this Ordinance.

4.3.6 **Barrier Posts**: Parking in front of or beyond removable barrier posts is a violation of this Ordinance.

4.3.7 **Street Access**: Certain streets, lanes or curbs may be designated by Transportation as fire lanes for other life safety reasons, such as high rise building access, fire truck turning radius, ambulance access, etc.

4.3.8 **Access areas to Accessibility Parking**: Parking in a manner that blocks a curb cut, path, and/or access point to an accessibility parking space. Parking illegally in an accessible parking space or blocking access to such a space is subject to 24-hour enforcement. This includes a fine and towing.

4.3.9 A violation of this section will result in a fine and the vehicle is subject to 24 hour towing.

Note: A list of the locations of fire hydrants, standpipes, barrier posts and street access is maintained at Transportation.

### 4.4 Parking Restrictions

4.4.1 A vehicle must always be parked in the manner and direction indicated by the parking markings and within the confines of the parking space. A vehicle may not encroach its wheels into another space.

4.4.2 **No vehicle parked on campus may**:

   a. be displayed for sale
   b. have maintenance performed on it, including washing, greasing, or repairing the vehicle except in the case of repairs necessitated by an emergency, and only then if the vehicle is in a marked space and has been reported to Transportation
   c. be exempted from ticketing because a note has been left on the vehicle windshield or flashing lights have been left on
   d. be stored in a manner which is not incident to the bonafide use and operation of the vehicle; or
   e. have a trailer attached to it when parked. Trailers may not be parked on campus without permission by Transportation.
4.4.3 No person will park a vehicle in any space labeled "24 HOUR TOWING" unless the proper permit for that space is displayed on said vehicle.

4.4.4 No person will park a vehicle in any space designated for accessibility parking unless the proper permit for that space is displayed on the vehicle.

4.4.5 No person will park a vehicle in a pay lot without payment for time indicated by ticket receipts received from an automated collection device, receipt of stated fees from an attendant, fee indicator, or posted sign.

4.4.6 A violation of this section 4.4 will result in a fine except for subsections 4.4.3 and 4.4.4, which will result in a fine and the vehicle is subject to towing.

4.5 Parking Meters/Automatic Pay Lot Devices

4.5.1 This section will apply between the hours of 7 a.m. and 5 p.m. Monday through Friday. Meters are enforced between the hours of 7 a.m and 5 p.m. and times of enforcement for pay lots are posted.

4.5.2 When any vehicle will be parked in any parking space alongside or next to where a parking meter is located, the operator will, upon entering the parking meter space, immediately deposit the proper coin (U.S. currency) as is designated by proper directions on the meter. When required by the directions on the meter, the operator of the vehicle, after the deposit of the proper coin or coins, will also set in operation the timing mechanism of the meter in accordance with the directions appearing thereon. Failure to deposit such proper coins and setting the timing mechanism in operation correctly when so required, will constitute a violation of this section.

4.5.3 Any person placing a vehicle in a parking meter space which indicates that unused time has been left in the meter by a previous occupant of the space will not be required to deposit a coin if occupancy does not exceed the indicated unused parking time.

4.5.4 If any vehicle remains parked in any such parking meter space beyond the parking time limit set for such parking space and if the meter indicates such illegal parking, then, in that event, the vehicle will be considered as parking overtime and in violation of this section.

4.5.5 A violation of this section 4.5 will result in a fine.

4.5.6 Transportation will be responsible for posting parking meters of a specified time in locations as needed throughout campus.

4.5.7 No person will deposit or attempt to deposit a slug, button, foreign currency, or any other device or substance as a substitute for coins of United States currency. The parking meters accept nickels, dimes, and quarters for proper operation.

4.5.8 It is unlawful for any person to deface, damage, tamper with, willfully break, destroy or impair the usefulness of, or to open without lawful authority an entry or exit gate or parking meter. A violation of this subsection will result in a fine and the cost of repairs incurred.
4.5.9 For use of automatic pay lot devices see instructions posted on the pay on foot station. All vehicles parked in the Dan Allen Deck pay lot must make proper payment using the automatic pay lot device at the time of parking a vehicle.

Note: A list of parking meter locations is maintained in Transportation.

4.6 Pay Lots

4.6.1 Any vehicle may park in lots designated as pay lots. Pay lots may be controlled by attendants, automated collection devices or drop slots for payment, and will be monitored by Parking Enforcement.

4.6.2 Pay lots are located at the following sites:

- Dan Allen Drive Parking Deck/First Level
- Ground level of Coliseum Deck
- Partners Way Deck

Vehicles entering these lots may pay fees on an hourly basis. Hourly time periods are sixty (60) minutes from the time the vehicle entered.

4.6.3 Vehicles may not park overnight in any timed zone.

4.6.4 A violation of this section 4.6 will result in a fine or fines.

4.7 Timed Zones

4.7.1 It will be unlawful for any vehicle to remain in a space or area with a designated time limit for more than the stated time.

4.7.2 A Violation of this section 4.7 will result in a fine.

4.8 Repeat Offenders

4.8.1 Upon receiving the sixth (6) violation within any six month period, the vehicle will be placed on the tow/immobilization list. A maximum of one (1) meter violation per day will count in total ticket count. Any additional violation of the Parking Ordinance may result in the vehicle being towed or immobilized. A vehicle will remain on the tow/immobilization list for as long as six (6) tickets have been received in the immediate preceding six-month (6) period.

4.9 Prohibited Use of Streets and Transportation Facilities

4.9.1 Any person or group who wishes to use campus streets or transportation facilities for any purpose other than that which they are intended or for mass distribution or posting of information in the form of flyers or anything else put on vehicles parked on campus, or on facilities and structures, must first obtain authorization from Transportation. It shall be unlawful for any person, firm, or corporation to
use the streets, roadways, alleys, driveways, sidewalks, parking lots or parking areas on the campus for the purpose of:

a. Advertising any article, commodity, service or event by sign, poster, drawing or photograph, by crying out the same or by using any loudspeaker, musical instrument or noise making device, without expressed, written permission of the University.
b. Selling or offering for sale any article, commodity or service except by those persons, firms or corporations who are official selling agencies of the University.

4.9.2 Any person or group who wishes to use campus streets or parking areas for any purpose other than normal academic or administrative activities must obtain authorization from the Director of Transportation.

a. There may be a rental fee charged based on size of lot, length of use and location.
b. There will be a fee assessed for any labor and/or materials provided by Transportation.
c. There will be a cleaning and maintenance charge assessed for any work required to return a lot to its condition prior to rental, normal wear excepted.

4.10 Abandoned Vehicles

4.10.1 The Director of Transportation is hereby authorized to remove any vehicle that has been parked illegally for more than ten days, or which is determined to be "derelict" under North Carolina General Statute 20-137.7, and dispose of such vehicles as prescribed by North Carolina General Statute 20-137.6 to 20-137.14.

4.11 Blocking Vehicles/Disabled Vehicles

4.11.1 It shall be unlawful to park a vehicle in such a manner to prevent another vehicle from movement.

a. Inoperable/disabled vehicles must be reported to NC State University Campus Police and/or Transportation.
b. Inoperable/disabled vehicles left standing in driveways, driving lanes, tow lanes, blocking vehicles or other no parking areas are subject to being towed and fined.
c. It is advised that the inoperable/disabled vehicle owner/driver move the car to the nearest unreserved, designated parking space and obtain the proper permit from Transportation immediately thereafter.
d. Operators of disabled vehicles must obtain and display a disabled vehicle permit if no zone permit is displayed on the disabled vehicle.
5.0 ENFORCEMENT

5.1 Penalties

5.1.1 The Director of Transportation is hereby authorized to collect a fine in the following amount for a violation of this Ordinance.

<table>
<thead>
<tr>
<th>Violation Code</th>
<th>Violation Description</th>
<th>Amount of Fine</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>No Parking Permit Displayed</td>
<td>$40</td>
</tr>
<tr>
<td></td>
<td>Expired Permit</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Visitor or Vendor Space</td>
<td></td>
</tr>
<tr>
<td>02</td>
<td>Permit Improperly Displayed</td>
<td>$ 5</td>
</tr>
<tr>
<td>03</td>
<td>Parking Outside Authorized Permit Area</td>
<td>$30</td>
</tr>
<tr>
<td>04</td>
<td>Encroachment of Two Spaces</td>
<td>$20</td>
</tr>
<tr>
<td>05</td>
<td>Unauthorized Parking in any Reserved Space, Lot or Area</td>
<td>$40</td>
</tr>
<tr>
<td>06</td>
<td>Overtime Parking at a Meter or in a Timed Space/Zone</td>
<td>$10</td>
</tr>
<tr>
<td>07</td>
<td>Unauthorized parking in an AV or SV space</td>
<td>$40</td>
</tr>
<tr>
<td>08</td>
<td>Failure To Pay in a Paylot payment required space</td>
<td>$30</td>
</tr>
<tr>
<td>09</td>
<td>Parking in a No Parking Area</td>
<td>$50</td>
</tr>
<tr>
<td></td>
<td>Plus repair costs</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Parking in a fire lane</td>
<td>$50</td>
</tr>
<tr>
<td>11</td>
<td>Parking in an Accessibility Space, Blocking an Accessible Aisle, Path or Curb cut</td>
<td>$250</td>
</tr>
<tr>
<td>12</td>
<td>Displaying an Unauthorized Permit</td>
<td>$100, plus loss of parking privileges**</td>
</tr>
<tr>
<td></td>
<td>Altering a Parking Permit</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Forging a Parking Permit</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>15</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>16</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>17</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>18</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>19</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>14</td>
<td>Warning, with directive to correct*</td>
<td>No Fine</td>
</tr>
<tr>
<td>Other</td>
<td>Boot Removal Fee</td>
<td>$50 / Subsequent Boot $100</td>
</tr>
<tr>
<td>Other</td>
<td>On Campus Relocation</td>
<td>Contract Rate</td>
</tr>
<tr>
<td>Other</td>
<td>Towing Relocation Fee</td>
<td>Contract Rate</td>
</tr>
<tr>
<td>Other</td>
<td>Damages to Transportation Facilities</td>
<td>Amount of Repairs</td>
</tr>
</tbody>
</table>

*Certain first-time parking violations may result in a warning citation being issued with no fine associated.  
**Plus restitution for cost of permit.

5.2 Towing

5.2.1 The Director of Transportation is hereby authorized to have vehicles towed from the campus of the University to a designated place of storage for any vehicle in violation of the following:

a. unauthorized parking in a space marked 24 HOUR TOWING
b. a violation of section 4.4.4 “unauthorized parking in a disabled space”
c. parking in a no parking area
d. unauthorized parking at 1570 Main Campus Drive
e. repeat offenders
5.3.1 Any vehicle parked in violation of this Ordinance or any parking regulation issued hereunder may be immobilized by use of a wheel boot.

5.3.2 Wheel boots may be removed only by Transportation staff, upon payment of the boot removal fee and all outstanding fines.

5.3.3 Vehicles immobilized for longer than thirty-six hours will be towed from the University to a designated storage facility. The owner or custodian of the vehicle will be responsible for both the boot removal and towing fees, applicable storage fees, and accumulated fines. A vehicle will not be released until restitution arrangements are complete.

5.3.4 Vehicles are released from towing and immobilization during normal office hours, 7 a.m. - 5 p.m., Monday through Friday.
5.4 Suspension of Parking Privileges

5.4.1 The Director of Transportation can, in addition to any other penalty, suspend the parking privileges of any individual found to be in violation of the following:

a. unauthorized use of a permit
b. counterfeiting or altering of permits
c. presenting false information
d. repeat offenders
e. failure to settle outstanding fines and fees
f. destruction or damage to University property

Chronic offenders are subject to escalating punitive enforcement measures that include, but are not limited to, booting of the vehicle on each subsequent violation, increasing boot removal fees, towing of vehicle on each subsequent violation, revocation of parking privileges and/or (as applicable) referral the Office on Student Conduct.

5.5 Payment of Fines, Fees and Charges

5.5.1 Payments due to Transportation may be made in the following manners:

a. Cash (not mailed), valid one-party checks, payroll deduction (employees only), debit, credit cards or All Campus Card. Coins will not be accepted as a primary source of payment.
b. Service Unit Billings - State funds may not be used for payment of parking violations or permits for employees' personal vehicles.
c. University Cashier - The University Cashier will accept payments for delinquent student parking violations that have been forwarded to the University Cashier's Office from Transportation.

5.6 Failure to Settle Fines, Fees and Charges

5.6.1 Failure to settle outstanding transportation fines, fees, and/or charges within the required time frame can result in the University's arranging for the collection of fees assessed against faculty, staff, students, and visitors in the following manner:

a. Penalties owed by employees of the University may be deducted from payroll checks.
b. Penalties owed by students will be forwarded to the University Cashier for collection in the same manner that other debts owed to the University by students are collected.
c. Individuals whose fines are not collected through payroll deduction or by the University Cashier's Office will be forwarded to a collection agency, the Attorney General's Office, or the Department of Revenue for collection.

Payments are expected for the following:
5.3. A

5.3. A

5.3.1 Permits

a. Permits
b. Parking violations
c. Replacement of returned check
d. Returned check charges
e. Gate cards and remote-controlled gate openers
f. Repairs to damaged property
g. Boot removal fees and towing
h. Imposed fines

5.7 Appeals

5.7.1 Individuals issued parking violations and other violation fines, restitution and/or suspension of parking privileges may appeal the penalty within fourteen calendar days of the date of the citation or letter imposing punishment.

a. Appeals must be filed online at the Transportation office or through the Transportation website.
b. All information requested on the appeal form must be provided to constitute a valid appeal.
c. Only those appeals received during the fourteen-calendar day deadline will be reviewed.
d. Late or incomplete appeals will not be reviewed and do not require a response.
e. Appellants will be notified by mail and/or e-mail of the decision to grant or deny their request.

5.7.2 Appeal Rulings:

a. If the appeal is granted, no further action is necessary.
b. If the appeal is denied, the appellant may, in some cases, submit a Request for an Appeal Board Hearing.
   
   1. Fine amounts must be paid prior to a Board Hearing request being accepted.
   2. Board Hearing requests must be received within 14 days of the appeal decision.
   3. All information on the appeal form must be provided to constitute a valid Board Hearing Request.

c. Only appeals that meet the requirements of 5.7.2.b. will be reviewed.
d. Board Hearing Requests are not accepted for the following violations based on the defined circumstances:

-Overtime Parking - If meter or timed permit has expired

- On the third and subsequent violation of the same infraction received within a one year period
- Parking in a Fire Lane - Unless documentation of a life threatening emergency is provided

5.7.3 Accepted Board Hearing Requests will be presented before an Appeals Hearing Board. The individual will be notified in writing of the hearing date, time and location. Attendance at the hearing is not required. Each individual is permitted one continuance of the hearing if they are unable to attend. A continuance must be requested at least 48 hours prior to the scheduled date. Individuals must be on time for their hearing; cases will not be rescheduled.

-Appellants are required to notify Appeals Officer on whether or not they will attend the hearing. Failure to do so will result in nullification of the Hearing Request and the fine reverts to the original amount.
The fine reverts to the original amount. The decision reached by the Hearing Board is final. There are no further procedures available with the University to have the appeal reviewed. If the appeal is denied, collection procedures will be initiated and payment must be made within 14 calendar days of the notice of denial.

5.7.4 The Appeals Hearing Board will hear and make decisions for all Board Hearing Requests.

Judgment of a Board is based on:

a. Information provided by the appellant.
b. Any information provided by Transportation or Campus Police to include previous violations records.
c. This Ordinance.
e. Information contained in the parking violation notice.
f. The issuing officer's testimony.

5.7.5 Appeals Hearing Board Membership

Student members are recommended by the Student Government. Faculty members are recommended by the Faculty Senate. Staff members are recommended by the Staff Senate.

5.7.6 Appeals Hearing Board Structure and Process at Hearings

Each appeal will be heard and a decision reached by a group of the appellant's peers.

a. A member of the University Legal Counsel may be used as a consultant to answer legal concerns posed by the Appeals Hearings Board.
b. A representative of Transportation may attend each hearing to clarify any operational questions that may arise.
c. Appeals Hearings Board will meet monthly, as the academic schedule allows. When additional hearings are necessary to process appeals, the Hearing Officer will determine and schedule appropriate date and time.

5.7.7 Outside Legal Counsel

Although active participation by legal counsel is not permitted, a third party observer may be allowed. This observer may not actively participate during the hearing process. The appellant must inform the Hearings Officer at least 48 hours prior to their hearing date if they wish to have an observer present and the name of that individual.

6.0 TRAFFIC REGULATIONS

6.1 The Director of Transportation is responsible for establishing and posting all traffic control signs and devices on campus for the purpose of regulating vehicular operations and speeds for University streets, roads, parking lots, parking structures, or anywhere vehicles may travel. These signs/devices
may regulate vehicle direction, vehicle stopping or yielding, fire lanes, no parking areas, pedestrian crossings or any other regulatory needs to direct or control the flow of vehicles.

6.2 Speed Limits

6.2.1 Pursuant to the provisions of North Carolina General Statute 116-44.4, 25 miles per hour is the maximum allowable speed on the campus of the University, unless otherwise posted.

6.2.2 Speed limits will be enforced campus-wide by NC State University Campus Police for the maximum speed posted along each street, road, alley and driveway, and within each parking lot or parking deck.

6.3 Directional Signs

6.3.1 No vehicle will be driven or operated to go in a direction opposite to that indicated by signs or markings placed, posted or installed to indicate one-way streets or "Do Not Enter" areas. All one-way streets are marked by "ONE WAY" and/or "DO NOT ENTER" signs.

6.4 Instructional Signs

6.4.1 No vehicle will be driven or operated to go in any manner opposite to that indicated by the signs or markings that direct traffic in a specific manner or way.

6.5 Stop Signs

6.5.1 When stop signs are posted, placed or installed or when clearly marked stop bars are painted upon any streets or roadways intersecting any other streets or roadways, the operator of a vehicle will stop in obedience thereto and yield the right of way to vehicles operating on designated main traveled or through streets.

6.6 Yield Signs

6.6.1 When yield signs are posted, placed or installed, upon any streets or roadways, the operator of a vehicle will yield at every such sign, except when directed otherwise by a law enforcement officer or by a traffic control signal or traffic control device.

6.7 Traffic Control Signals

6.7.1 Vehicles facing a red light from a steady or strobe beam traffic signal will not enter the intersection while the steady or strobe beam traffic signal is emitting a red light; except where prohibited by an appropriate sign, vehicular traffic facing a red light, after coming to a complete stop at the intersection, may enter the intersection to make a right turn. Vehicles will yield the right-of-way to pedestrians and to other traffic using the intersection. When the traffic signal is emitting a steady yellow light, vehicles facing the yellow light are warned that a red light will be immediately forthcoming. When the traffic signal is emitting a steady green light, vehicles may proceed with due care through the intersection subject to the rights of pedestrians and other vehicles as may otherwise be provided by law.
6.7.2 When a flashing red light has been erected or installed at an intersection, approaching vehicles facing the red light will stop and yield the right-of-way to vehicles in or approaching the intersection. The right to proceed will be subject to the rules applicable to making a stop at a stop sign.

6.7.3 When a flashing yellow light has been erected or installed at an intersection, approaching vehicles facing the yellow flashing light may proceed through the intersection with caution, yielding the right-of-way to vehicles in or approaching the intersection.

6.7.4 When a stop sign, traffic signal, flashing light, or other traffic-control device requires a vehicle to stop at an intersection, the driver will stop at an appropriately marked stop line or, if none, before entering a marked crosswalk or, if none, before entering the intersection at the point nearest the intersecting street where the driver has a view of approaching traffic on the intersecting street.

6.8 Penalty for Violation of this Article

6.8.1 The penalty for violation of any regulation prescribed in 6.0 will be a criminal penalty payable to District Court consistent with General Statute 20.
Approval of Plans and Specifications of Formal Projects
Less than $2,000,000

Note: The projects below are submitted to the Board of Trustees’ Buildings and Property Committee for formal acceptance of plans and specifications. This listing represents projects received since the February 21, 2013 meeting.

<table>
<thead>
<tr>
<th>Project</th>
<th>Construction Estimate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Western Manor Apartments</td>
<td>$780,000</td>
</tr>
<tr>
<td>Exterior Upgrades</td>
<td></td>
</tr>
<tr>
<td>Designer: Ross/Deckard Architects, PA</td>
<td></td>
</tr>
<tr>
<td>Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Burlington Nuclear Labs</td>
<td>$300,000</td>
</tr>
<tr>
<td>Reactor Secondary Loop/Cooling Tower Upgrade</td>
<td></td>
</tr>
<tr>
<td>Designer: Edmondson Engineers, PA</td>
<td></td>
</tr>
<tr>
<td>Durham, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Repair &amp; Renovation</td>
<td></td>
</tr>
<tr>
<td>Poe Hall</td>
<td>$260,000</td>
</tr>
<tr>
<td>Renovation Classrooms 312/320</td>
<td></td>
</tr>
<tr>
<td>Designer: Swanson + Stewart Architects</td>
<td></td>
</tr>
<tr>
<td>Raleigh, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Repair &amp; Renovation</td>
<td></td>
</tr>
<tr>
<td>Doak Field</td>
<td>$200,000</td>
</tr>
<tr>
<td>Batter’s Eye</td>
<td></td>
</tr>
<tr>
<td>Designer: Corley Redfoot Architects</td>
<td></td>
</tr>
<tr>
<td>Chapel Hill, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Departmental</td>
<td></td>
</tr>
<tr>
<td>Carmichael Gym</td>
<td>$172,000</td>
</tr>
<tr>
<td>Water Line/Hot Water Heating System Replacement</td>
<td></td>
</tr>
<tr>
<td>Designer: Edmondson Engineers, PA</td>
<td></td>
</tr>
<tr>
<td>Durham, NC</td>
<td></td>
</tr>
<tr>
<td>Fund Source: Facilities Funded</td>
<td></td>
</tr>
</tbody>
</table>
### Approval of Plans and Specifications of Formal Projects
**Less than $2,000,000**

**Hodges Wood Products**
- Process Boiler
- Designer: O’Brien/Atkins Associates
  - Research Triangle Park, NC
- Fund Source: Gift/Grant
- Cost: $160,000

**Corporate Research I**
- Lobby Renovations
- Designer: Moseley Architects
  - Morrisville, NC
- Fund Source: Departmental
- Cost: $150,000

**Partners I**
- Rooms 2350 and 2450 Improvements
- Designer: The Wooten Company
  - Raleigh, NC
- Fund Source: Departmental
- Cost: $146,208

**Carmichael Gym**
- Turnstiles
- Designer: Corley Redfoot Architects
  - Chapel Hill, NC
- Fund Source: Departmental
- Cost: $100,000
Centennial Campus and Biomedical Campus Project Status Report
Board of Trustees – Building and Property Committee April 18, 2013
(Actions since November 15, 2012 Report)

WOLF RIDGE APARTMENTS

Scope: Student apartments, 1195 beds
Developer: SM Alliance, LLC
Designer: LS3P Associates
Budget: $129,000,000
Funding: Housing Receipts
Completion: Summer 2013 for Phase I
Summer 2014 for Phase II

THE GREENS AT CENTENNIAL

Scope: Market-Based Multi-Family Residential Rental Housing Development
Developer: Capital Associates
Designer: Humphries & Partners
Budget: $29,000,000
Funding: Private Developer
Status: Construction is underway. Clubhouse framing is complete.
Completion: September 2013 (first phase)

ALLIANCE ONE BUILDING

Scope: 145,000 s.f. office building with structured parking.
Developer: SM Alliance, LLC
Designer: Jenkins-Peer Architects
Budget: Approximately $37,000,000
Funding: Private Developer
Status: Grading and foundations completed. Pre-leasing activities underway.
Construction Start: January 2012 (grading & foundations)
Completion: Winter 2014
NORTH SHORE RESIDENTIAL

Scope: For Sale Private Residential Condominiums
Developer: White Oak Properties
Designer: J. Davis Architects
Budget: TBD
Funding: Private Developer
Status: Negotiating ground lease, development agreement and master declaration
Construction Start: Summer 2013
Completion: Multi-phase with the first phase of construction being townhouses.

CONFERENCE CENTER and HOTEL

Scope: Conference Center with Hotel on south shore of Lake Raleigh.
Developer: Concord Eastridge, Inc.
Designer: Cooper Carry Architects
Budget: Approximately $28,000,000
Funding: Private Developer
Status: Developer is pursuing franchise approval.
Construction Start: Fall 2013
Completion: Spring 2015

TOWN CENTER

Scope: 500,000 s.f. on 17 acres with ground floor Retail and offices with residential space above
Developer: Selection process to begin in late 2013
Designer: TBD
Budget: Expected to be more than $75 million
Funding: Private Developer
Construction Start: Early 2016
Completion: Depends upon phasing
FLEX BUILDING
CENTENNIAL
BIOMEDICAL CAMPUS

Scope: 44,500 s.f. Flexible Laboratory Building for CVM & Partner Occupancy
Developer: Capital Associates
Designer: Jenkins Peer
Budget: $10,300,000
Funding: Private Developer
Status: Ground lease and space lease negotiations paused in 2008 and being re-started. Zoning issues with the City of Raleigh resolved this month.
Construction Start: Targeting late 2013.
Completion: TBD
<table>
<thead>
<tr>
<th>Code/Item</th>
<th>Project Name</th>
<th>Status</th>
<th>Bid</th>
<th>Expected Acceptance</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>41224 311</td>
<td>Reynolds Coliseum Renovation</td>
<td>In Design</td>
<td></td>
<td></td>
<td>Reviewing proposals and preparing for Designer interviews</td>
</tr>
<tr>
<td>41224 312</td>
<td>Energy Performance Contracting #4</td>
<td>In Design</td>
<td></td>
<td></td>
<td>Designer Selection Underway</td>
</tr>
<tr>
<td>41124 304</td>
<td>Robertson, Biltmore, Pulp &amp; Paper and Jordan Hall - HVAC Renovation</td>
<td>In Design</td>
<td>3/5/13</td>
<td>12/16/13</td>
<td>Advertising for Bids</td>
</tr>
<tr>
<td>41224 308</td>
<td>Golden Leaf Biofuels Pilot Plant</td>
<td>In Design</td>
<td>4/1/13</td>
<td>7/22/13</td>
<td>CD Production Underway</td>
</tr>
<tr>
<td>41224 305</td>
<td>Western Manor Exterior Improvements</td>
<td>In Design</td>
<td>5/1/13</td>
<td>3/13/14</td>
<td>CD Production Underway</td>
</tr>
<tr>
<td>41124 320</td>
<td>Steam Phase VIII</td>
<td>In Design</td>
<td>5/9/13</td>
<td>10/29/13</td>
<td>CD Production Underway</td>
</tr>
<tr>
<td>40824 304</td>
<td>CR1 - Lab for Analytical Sciences (LAS)</td>
<td>In Design</td>
<td>6/27/13</td>
<td>1/23/14</td>
<td>CD Production Underway</td>
</tr>
<tr>
<td>41224 303</td>
<td>Witherspoon West Improvements</td>
<td>In Design</td>
<td>6/26/13</td>
<td>9/30/13</td>
<td>SD/DD Production Underway</td>
</tr>
<tr>
<td>41224 306</td>
<td>College of Textiles - Classroom &amp; Graduate School</td>
<td>In Design</td>
<td>9/16/13</td>
<td>7/7/14</td>
<td>SD/DD Production Underway</td>
</tr>
<tr>
<td>41224 303</td>
<td>CCUP Capacity Expansion</td>
<td>In Design</td>
<td>9/23/13</td>
<td>7/24/14</td>
<td>SD/DD Production Underway</td>
</tr>
<tr>
<td>41224 310</td>
<td>Centennial Campus - Gateway at Trailwood</td>
<td>In Design</td>
<td>9/25/13</td>
<td>3/11/14</td>
<td>Designer Selection Underway</td>
</tr>
<tr>
<td>41224 301</td>
<td>Phytotron Performance Contract</td>
<td>In Design</td>
<td>10/17/13</td>
<td>5/29/14</td>
<td>CD Production Underway</td>
</tr>
<tr>
<td>41224 304</td>
<td>Data Center II</td>
<td>In Design</td>
<td>10/31/13</td>
<td>6/18/14</td>
<td>SD/DD Production Underway</td>
</tr>
<tr>
<td>41224 302</td>
<td>CVM 3rd Floor Lab Module C Renov.</td>
<td>In Design</td>
<td>1/21/14</td>
<td>9/30/14</td>
<td>SD/DD Production Underway</td>
</tr>
<tr>
<td>41024 314</td>
<td>Facilities Operations Support - CBC</td>
<td>In Design</td>
<td>1/31/14</td>
<td>9/10/14</td>
<td>Requesting Design Contract</td>
</tr>
<tr>
<td>41024 305</td>
<td>Sullivan Substation Redundancy</td>
<td>In Construction</td>
<td>4/1/13</td>
<td>70% Construction complete</td>
<td></td>
</tr>
<tr>
<td>40624 315</td>
<td>Yarbrough Chiller Plant Capacity Expansion</td>
<td>In Construction</td>
<td>5/23/13</td>
<td>60% Construction complete</td>
<td></td>
</tr>
<tr>
<td>41224 308</td>
<td>Phytotron Renovation - Grant Funded Project</td>
<td>In Construction</td>
<td>5/31/13</td>
<td>35% Construction complete</td>
<td></td>
</tr>
<tr>
<td>41124 320</td>
<td>Scott Hall - Connect to Chilled Water</td>
<td>In Construction</td>
<td>6/4/13</td>
<td>Issued Letter of Intent</td>
<td></td>
</tr>
<tr>
<td>41024 309</td>
<td>Early College High School</td>
<td>In Construction</td>
<td>6/11/13</td>
<td>50% Construction complete</td>
<td></td>
</tr>
<tr>
<td>41024 310</td>
<td>Burlington Labs Upgrades</td>
<td>In Construction</td>
<td>7/1/13</td>
<td>Notice to Proceed 3/4/13</td>
<td></td>
</tr>
<tr>
<td>40824 304</td>
<td>(Cogen) Cates &amp; Yarbrough Plants - Boiler Replacement</td>
<td>In Construction</td>
<td>7/1/13</td>
<td>84% Construction complete</td>
<td></td>
</tr>
<tr>
<td>41224 309</td>
<td>McKimmon Café &amp; Kitchen Renovation</td>
<td>In Award</td>
<td>7/15/13</td>
<td>Evaluating Bids</td>
<td></td>
</tr>
<tr>
<td>41024 312</td>
<td>Lee &amp; Sullivan Residence Halls Room Renovations</td>
<td>In Award</td>
<td>8/2/13</td>
<td>Lee - Requesting Award</td>
<td></td>
</tr>
<tr>
<td>41124 305</td>
<td>Cates Ave. - Chilled Water Trunk Main</td>
<td>In Award</td>
<td>8/15/13</td>
<td>Requesting Award</td>
<td></td>
</tr>
<tr>
<td>41224 313</td>
<td>Coliseum Parking Deck - LED Lighting Upgrade</td>
<td>In Construction</td>
<td>8/16/13</td>
<td>Notice to Proceed 3/15/13</td>
<td></td>
</tr>
<tr>
<td>41224 307</td>
<td>CMAST MMRI Facility Addition</td>
<td>In Construction</td>
<td>9/2/2013</td>
<td>Obtaining Environmental Permits Notice to Proceed 3/6/13</td>
<td></td>
</tr>
<tr>
<td>40824 314</td>
<td>Centennial Campus Student Housing - Wolf Ridge</td>
<td>In Construction</td>
<td>8/1/14</td>
<td>55% Construction complete</td>
<td></td>
</tr>
<tr>
<td>40824 313</td>
<td>Talley Student Center Addition and Renovation</td>
<td>In Construction</td>
<td>2/3/15</td>
<td>27% Construction complete</td>
<td></td>
</tr>
<tr>
<td>41024 306</td>
<td>Broughton Hall</td>
<td>On Hold</td>
<td></td>
<td>SD approved, project on hold</td>
<td></td>
</tr>
<tr>
<td>40924 307</td>
<td>Greek Village Townhomes</td>
<td>On Hold</td>
<td></td>
<td>Advanced Planning approved by State Construction on 1/7/11. Project on hold.</td>
<td></td>
</tr>
<tr>
<td>40924 307</td>
<td>Greek Infrastructure Phase II</td>
<td>On Hold</td>
<td></td>
<td>Designer Selected</td>
<td></td>
</tr>
<tr>
<td>40824 313</td>
<td>Gregg Museum</td>
<td>On Hold</td>
<td></td>
<td>D&amp;D approved - Project on hold</td>
<td></td>
</tr>
<tr>
<td>40624 320</td>
<td>NCSU Bell Tower Masonry Repairs, Waterproof, &amp; Tuckpoint</td>
<td>On Hold</td>
<td></td>
<td>Fundraising underway in Jan. 2010; business plan not yet approved</td>
<td></td>
</tr>
<tr>
<td>SUBMITTAL (NUMBER)</td>
<td>PROJECT NAME</td>
<td>SCOPE</td>
<td>DESIGNER</td>
<td>PHYSICAL ENVIRONMENT COMMITTEE</td>
<td>TRUSTEES' BPC REVIEW</td>
</tr>
<tr>
<td>------------------</td>
<td>--------------</td>
<td>-------</td>
<td>----------</td>
<td>--------------------------------</td>
<td>---------------------</td>
</tr>
<tr>
<td>017</td>
<td>Conference Center/Hotel</td>
<td>$50,000,000</td>
<td>Concord Eastridge</td>
<td>Cooper Cary Architects</td>
<td>08/15/08</td>
</tr>
<tr>
<td>126</td>
<td>Broughton Hall Addition &amp; Renovation</td>
<td>$80,000,000</td>
<td>O'Brien Atkins</td>
<td>O'Brien Atkins</td>
<td>11/17/08</td>
</tr>
</tbody>
</table>

**Upcoming Projects**

- Centennial Entrance at Trailhead | $900,000 | OBS Landscape Architects | 3/5/13 |
- Non-Professors Pilot Facility | $30,500,000 | Clark Nexsen | 10/23/09 |

<table>
<thead>
<tr>
<th>PROJECT NAME</th>
<th>SCOPE</th>
<th>DESIGNER</th>
<th>PHYSICAL ENVIRONMENT COMMITTEE</th>
<th>TRUSTEES' BPC REVIEW</th>
<th>CAMPAUS DESIGN REVIEW PANEL</th>
<th>TRUSTEES' BPC RECOMMENDATION</th>
<th>REVIEW APPROVAL</th>
<th>CONSTRUCTION DURATION</th>
<th>ESTIMATED COMPLETION</th>
</tr>
</thead>
<tbody>
<tr>
<td>007</td>
<td>North Shore Residential Project - Phase I</td>
<td>$25,000,000</td>
<td>Greg Davis Properties</td>
<td>Chene Design Group</td>
<td>2/11/09</td>
<td>2/18/09</td>
<td>4/20/00, 3/22/00</td>
<td>4/15/00</td>
<td>4/27/00</td>
</tr>
<tr>
<td>074</td>
<td>Center for Marine Sciences &amp; Technology (CMAST) Guest House</td>
<td>$1,500,000</td>
<td>Maurice Bellingham Facility Architects</td>
<td>3/31/05</td>
<td>N/A</td>
<td>N/A</td>
<td>4/26/05</td>
<td>4/27/06</td>
<td>On Hold</td>
</tr>
<tr>
<td>081</td>
<td>Centennial Biomedical Campus Flex Building</td>
<td>$10,000,000</td>
<td>Capital Associates</td>
<td>Jenkins-Peel Architects</td>
<td>4/19/07</td>
<td>5/30/07</td>
<td>8/23/07</td>
<td>5/20/07</td>
<td>7/25/07</td>
</tr>
<tr>
<td>089</td>
<td>Greek Village - Sigma Nu House</td>
<td>$3,000,000</td>
<td>Cooper Cary Architects</td>
<td>8/27/08</td>
<td>9/4/08</td>
<td>9/18/08</td>
<td>4/30/08</td>
<td>9/18/08</td>
<td>On Hold</td>
</tr>
<tr>
<td>095</td>
<td>Alliance Center</td>
<td>$7,500,000</td>
<td>Cooper Cary Properties</td>
<td>Jenkins-Peel Architecture</td>
<td>3/18/04</td>
<td>2/26/09</td>
<td>5/8/09</td>
<td>9/16/10</td>
<td>11/32/08</td>
</tr>
<tr>
<td>100</td>
<td>Shuck Dairy Retail Facility</td>
<td>$2,400,000</td>
<td>Sherry Huffman Architects</td>
<td>8/27/08</td>
<td>5/27/09</td>
<td>On Hold</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>106</td>
<td>Yates Mill Multipurpose Building</td>
<td>$250,000</td>
<td>J.G. Craig Architects</td>
<td>11/18/09</td>
<td>11/18/09</td>
<td>2/18/10</td>
<td>2/10/10</td>
<td>On Hold</td>
<td></td>
</tr>
<tr>
<td>112</td>
<td>Talley Student Center Addition and Renovation</td>
<td>$120,000,000</td>
<td>Davis Partners</td>
<td>8/28/09</td>
<td>11/18/10</td>
<td>12/22/10</td>
<td>4/21/11</td>
<td>4/21/11</td>
<td>9/25/11 (Tower/Bridge)</td>
</tr>
<tr>
<td>113</td>
<td>Dan Allen Gateway</td>
<td>$171,000</td>
<td>OBS Landscape Architects and Planners</td>
<td>12/16/10</td>
<td>N/A</td>
<td>N/A</td>
<td>3/30/11</td>
<td>4/23/11</td>
<td>3/30/11</td>
</tr>
<tr>
<td>114</td>
<td>Centennial Campus Student Housing</td>
<td>$129,000,000</td>
<td>LS3P Associates, Ltd.</td>
<td>11/18/09</td>
<td>11/18/10</td>
<td>12/22/10</td>
<td>4/21/11</td>
<td>4/21/11</td>
<td>10/26/11 (Tower)</td>
</tr>
<tr>
<td>115</td>
<td>Jernigan Tennis Center Weightroom Addition</td>
<td>$500,000</td>
<td>Whiting architects</td>
<td>1/2/11</td>
<td>3/30/11</td>
<td>On Hold</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>122</td>
<td>Varsity Drive Gateway</td>
<td>$150,000</td>
<td>OBS Landscape Architects</td>
<td>9/15/11</td>
<td>NA</td>
<td>NA</td>
<td>10/26/11</td>
<td>On Hold</td>
<td></td>
</tr>
</tbody>
</table>

**Updated March 19, 2013**
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY
Chancellor’s Conference Room- Holladay Hall
University Advancement Committee
April 18, 2013

Ben Jenkins, Chair
Members: Clark, Cozort, Mattocks, Ramsey, Ward

AGENDA

CALL TO ORDER - Chair Jenkins reads State Government Ethics Act

1. CONSENT AGENDA Tab 6.1
   A. Approval of February 21, 2013 Minutes*

2. INFORMATIONAL REPORTS Tab 6.2
   A. Capital Projects
   B. Fund Raising Reports February 28, 2013 and March 31, 2013

3. TOPICS FOR DISCUSSION Tab 6.3
   A. Communications Update and Discussion
      Brad Bohlander, Associate Vice Chancellor for University Communications
      Bob Brock, President, Educational Marketing Group
   B. Campaign Planning Update
      Nevin Kessler, Vice Chancellor

4. CLOSED SESSION Tab 6.4
   A. Approval of February 21, 2013 Minutes*
   B. Request approval for Naming Specific University Facilities √

RECONVENE OPEN SESSION

ADJOURN
   ∗ Committee Approval
   √ Full Board Approval
The University Advancement Committee of the Board of Trustees of North Carolina State University met in Open Session at 1:00 p.m. in the Chancellor’s Conference Room in Holladay Hall. All members were in attendance.

Members Present: Benjamin P. Jenkins III, Chair  
                  Jimmy Clark  
                  Jack L. Cozort  
                  Bob Mattocks  
                  Randy Ramsey  
                  Susan Ward  

                     W. Randolph Woodson, Chancellor  
                     Barbara Mulkey, Board of Trustees, Chair

Others Present: Brad Bohlander, Associate Vice Chancellor, University Communications  
                  Nevin E. Kessler, Vice Chancellor, University Advancement  
                  Mike Mullen, Vice Chancellor and Dean of Academic and Student Affairs  
                  Laurie Reinhardt-Plotnik, Associate Vice Chancellor, University Development (appointment effective March 4, 2013)  
                  Hilda Renfrow, Executive Assistant, University Advancement  
                  John Taylor, Associate Vice Chancellor, Advancement Services  
                  Shawn Troxler, General Counsel  
                  Steve Watt, Interim Associate Vice Chancellor, University Development

Chair Ben Jenkins called the session to order and read the State of North Carolina’s Government Ethics Act.

Chair Jenkins asked Vice Chancellor Nevin Kessler to introduce the new Associate Vice Chancellor for Development, Laurie Reinhardt-Plotnik.

Mr. Kessler noted that Ms. Reinhardt-Plotnik will officially join the University on March 4, but accepted an invitation to visit today. Laurie has 20 years of experience in fund raising, communications and marketing, alumni affairs, and government relations work in higher education, health care and the arts.

Randy Ramsey made a motion to approve the November 15, 2012 minutes, seconded by Susan Ward, and the motion carried.
Steve Watt, Interim Associate Vice Chancellor for University Development, provided an update on capital projects and a progress report on fundraising through January 31, 2013. New gifts and commitments are up 77% year to date, totaling $100.7 million, compared to $56.8 million last year. Our Annual Giving program continues to grow, and is on target to exceed its $2 million goal for the fiscal year. At the end of January, Annual Giving is up 16.5% year to date.

John Taylor, Associate Vice Chancellor for Advancement Services and Interim Campaign Manager reviewed the CASE Campaign Counting Guidelines and led a discussion on campaign counting. Discussion included guidelines on counting government funds, irrevocable deferred gifts and bequest provisions. The proposed guidelines have been reviewed and endorsed with the college-based foundations and other fund raising entities on campus. With only a couple of exceptions, the guidelines meet the industry’s CASE Reporting Standard and Management Guidelines.

Questions were raised by members about the University’s recommendation to count all documented revocable bequest provisions regardless of the age of the donor. Learning that NC State would track and report the total of bequest provisions separately, and that most of NC State’s peers have adopted similar policies, led committee members to voice their support for the exception to the CASE Reporting Standard and Management Guidelines.

Jack Cozort made a motion to approve the counting guidelines proposed for the next campaign which will be implemented July 1, 2013 through June 30, 2021, seconded by Jimmy Clark, and the motion was unanimously approved.

Nevin Kessler, provided an update on the university’s process for developing the campaign’s fund raising priorities. A task force led by Vice Chancellor and Dean of Academic and Student Affairs, Mike Mullen, has been created and asked to make recommendations to the Provost by April 1, 2013.

Nevin also led a discussion on the proposed volunteer structure for the pre-public phase of the campaign. A proposed job description for the volunteer leadership was distributed to the committee members. Couples are being considered for volunteer leadership roles.

Jack Cozort made a motion to go into closed session to discuss naming of specific university facilities under North Carolina General Statutes §143-318.11(a) (2). Randy Ramsey seconded the motion and it was approved by general consent.

The meeting returned from closed session. With no further items, the meeting adjourned.

Respectfully submitted,
## Approved Capital Projects

<table>
<thead>
<tr>
<th>Project</th>
<th>Private Fundraising Goal</th>
<th>Cash Gifts &amp; Pledges Received</th>
<th>Gifts-in-Kind Received</th>
<th>Total Gifts Received</th>
<th>Percent to Private Fundraising Goal</th>
<th># Proposals Submitted Since Last Report</th>
<th>Total # Proposals Pending</th>
<th>Total Amount of Proposals Pending</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lonnie Poole Golf Course (Note 1)</td>
<td>$18,100,000</td>
<td>$13,181,593</td>
<td>$3,654,254</td>
<td>$16,835,847</td>
<td>93%</td>
<td>6</td>
<td>8</td>
<td>$1,211,380</td>
</tr>
<tr>
<td>Campaign for the Hunt Library</td>
<td>$6,000,000</td>
<td>$2,797,200</td>
<td>$1,294,239</td>
<td>$4,091,439</td>
<td>68%</td>
<td>1</td>
<td>14</td>
<td>$2,155,000</td>
</tr>
<tr>
<td>Campaign for Gregg Museum of Art &amp; Design (Note 2)</td>
<td>$3,870,000</td>
<td>$1,891,027</td>
<td>$0</td>
<td>$1,891,027</td>
<td>49%</td>
<td>6</td>
<td>3</td>
<td>$225,000</td>
</tr>
<tr>
<td>NC State University Club: A Bright Future Ahead (Note 3)</td>
<td>$1,700,000</td>
<td>$390,109</td>
<td>$0</td>
<td>$390,109</td>
<td>23%</td>
<td>25</td>
<td>10</td>
<td>$225,000</td>
</tr>
<tr>
<td>The Institute for Emerging Issues: Legacy in Action Campaign</td>
<td>$20,000,000</td>
<td>$11,183,320</td>
<td>$0</td>
<td>$11,183,320</td>
<td>56%</td>
<td>4</td>
<td>4</td>
<td>$950,000</td>
</tr>
<tr>
<td>NC 4-H: Campaign for the Clover</td>
<td>$11,500,000</td>
<td>$9,733,212</td>
<td>$239,608</td>
<td>$9,972,820</td>
<td>87%</td>
<td>4</td>
<td>17</td>
<td>$2,689,000</td>
</tr>
<tr>
<td>Dairy Campaign for Excellence</td>
<td>$2,500,000</td>
<td>$1,329,236</td>
<td>$0</td>
<td>$1,329,236</td>
<td>53%</td>
<td>1</td>
<td>3</td>
<td>$1,550,000</td>
</tr>
</tbody>
</table>

### Notes
(1) Cash goals: Course - $9.6MM and Clubhouse - $6MM, In-kind goal: $3.5MM
(2) Includes a $750,000 Challenge Grant that is contingent of achieving the $3.9MM Gregg Campaign Goal
(3) Phase II of campaign includes revised goal for Pack House concept.
<table>
<thead>
<tr>
<th>College/Program</th>
<th>Alumni</th>
<th>Parents</th>
<th>Faculty</th>
<th>Other Individuals</th>
<th>Corporations</th>
<th>Foundations</th>
<th>Other Organizations</th>
<th>YTD Period % Change FY12/FY13</th>
<th>YTD FY ‘13 Totals</th>
<th>YTD FY ‘12 Totals</th>
<th>YTD FY ‘12 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$860,169</td>
<td>$54,196</td>
<td>$97,480</td>
<td>$8,818,497</td>
<td>$6,833,073</td>
<td>$814,775</td>
<td>$3,532,352</td>
<td></td>
<td>$21,010,541</td>
<td>$15,383,914</td>
<td>$25,302,649</td>
</tr>
<tr>
<td>College of Design</td>
<td>$575,596</td>
<td>$660</td>
<td>$5,000</td>
<td>$17,700</td>
<td>$37,318</td>
<td>$150,504</td>
<td>$248,501</td>
<td></td>
<td>$1,035,278</td>
<td>$191,831</td>
<td>$698,606</td>
</tr>
<tr>
<td>College of Education</td>
<td>$69,491</td>
<td>$500</td>
<td>$5,900</td>
<td>$58,920</td>
<td>$26,310</td>
<td>$55,250</td>
<td>$180,025</td>
<td></td>
<td>$396,396</td>
<td>$305,875</td>
<td>$1,052,862</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$6,608,375</td>
<td>$30,819</td>
<td>$8,553</td>
<td>$319,954</td>
<td>$2,368,875</td>
<td>$580,871</td>
<td>$196,052</td>
<td></td>
<td>$10,113,498</td>
<td>$8,365,801</td>
<td>$11,584,896</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$236,496</td>
<td>$345</td>
<td>$10,385</td>
<td>$45,343</td>
<td>$7,577</td>
<td>$202,365</td>
<td>$0</td>
<td></td>
<td>$502,511</td>
<td>$471,845</td>
<td>$904,249</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$176,710</td>
<td>$2,850</td>
<td>$456,177</td>
<td>$18,366</td>
<td>$503,852</td>
<td>$30,532</td>
<td>$13,600</td>
<td></td>
<td>$1,202,087</td>
<td>$1,405,964</td>
<td>$2,106,767</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$355,037</td>
<td>$150</td>
<td>$1,420</td>
<td>$427,062</td>
<td>$230,172</td>
<td>$78,791</td>
<td>$231,018</td>
<td></td>
<td>$1,323,650</td>
<td>$1,083,226</td>
<td>$2,488,007</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$488,290</td>
<td>$25,525</td>
<td>$46,258</td>
<td>$79,381</td>
<td>$396,340</td>
<td>$143,625</td>
<td>$283,700</td>
<td></td>
<td>$1,465,118</td>
<td>$1,746,895</td>
<td>$2,397,597</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$3,221,894</td>
<td>$850</td>
<td>$11,110</td>
<td>$2,146</td>
<td>$434,171</td>
<td>$238,005</td>
<td>$2,500</td>
<td></td>
<td>$3,910,675</td>
<td>$325,952</td>
<td>$893,636</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$158,759</td>
<td>$1,380</td>
<td>$7,205</td>
<td>$1,917,604</td>
<td>$399,298</td>
<td>$2,898,874</td>
<td>$60,509</td>
<td></td>
<td>$5,443,628</td>
<td>$7,378,798</td>
<td>$8,906,393</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$136,272</td>
<td>$300</td>
<td>$290</td>
<td>$112,581</td>
<td>$41,854</td>
<td>$55,230</td>
<td>$5,450</td>
<td></td>
<td>$351,978</td>
<td>$623,866</td>
<td>$730,435</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$220,537</td>
<td>$1,560</td>
<td>$9,850</td>
<td>$304,786</td>
<td>$57,180</td>
<td>$28,125</td>
<td>$4,509</td>
<td></td>
<td>$626,547</td>
<td>$717,675</td>
<td>$1,000,420</td>
</tr>
<tr>
<td>Libraries</td>
<td>$92,689</td>
<td>$6,041</td>
<td>$29,360</td>
<td>$1,452,927</td>
<td>$1,247,854</td>
<td>$563,750</td>
<td>$9,427</td>
<td></td>
<td>$3,402,048</td>
<td>$558,506</td>
<td>$2,064,903</td>
</tr>
<tr>
<td>University-wide</td>
<td>$36,245,668</td>
<td>$139,471</td>
<td>$10,358</td>
<td>$765,240</td>
<td>$1,694,103</td>
<td>$6,418,879</td>
<td>$224,384</td>
<td></td>
<td>$45,498,103</td>
<td>$27,421,444</td>
<td>$30,326,899</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$13,226,504</td>
<td>$500</td>
<td>$0</td>
<td>$75,216</td>
<td>$157,884</td>
<td>$0</td>
<td>$800</td>
<td></td>
<td>$13,460,904</td>
<td>$15,588,618</td>
<td>$20,906,571</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$62,672,487</strong></td>
<td><strong>$265,148</strong></td>
<td><strong>$699,345</strong></td>
<td><strong>$14,415,721</strong></td>
<td><strong>$14,437,860</strong></td>
<td><strong>$12,259,576</strong></td>
<td><strong>$4,992,827</strong></td>
<td></td>
<td><strong>$109,742,963</strong></td>
<td><strong>$81,570,209</strong></td>
<td><strong>$111,364,891</strong></td>
</tr>
</tbody>
</table>
## Gifts and New Commitments by Gift Type

**(Outright Gifts and New Commitments - No Pledge Payments)**

**July 1, 2012 to February 28, 2013**

<table>
<thead>
<tr>
<th>College/Department</th>
<th>Cash Gifts</th>
<th>Gifts-in-Kind</th>
<th>Matching Gifts</th>
<th>PG Life Income</th>
<th>Realized Bequests</th>
<th>Bequest Expectancies</th>
<th>Pledges</th>
<th>Year-to-date FY '13 Totals</th>
<th>Year-to-date FY '12 Totals</th>
<th>YTD Period % Change FY12/FY13</th>
<th>Year End FY '12 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$11,768,593</td>
<td>$313,456</td>
<td>$42,081</td>
<td>$5,505</td>
<td>$5,363</td>
<td>$123,333</td>
<td>$8,752,211</td>
<td>$21,010,541</td>
<td>$15,383,914</td>
<td>37%</td>
<td>$25,302,649</td>
</tr>
<tr>
<td>College of Design</td>
<td>$518,884</td>
<td>$1,500</td>
<td>$288</td>
<td>$0</td>
<td>$0</td>
<td>$500,000</td>
<td>$14,606</td>
<td>$1,035,278</td>
<td>$191,831</td>
<td>440%</td>
<td>$698,606</td>
</tr>
<tr>
<td>College of Education</td>
<td>$359,015</td>
<td>$863</td>
<td>$400</td>
<td>$10,647</td>
<td>$0</td>
<td>$0</td>
<td>$25,471</td>
<td>$396,396</td>
<td>$305,875</td>
<td>30%</td>
<td>$1,052,862</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$3,886,132</td>
<td>$185,446</td>
<td>$59,356</td>
<td>$156,393</td>
<td>$100,772</td>
<td>$5,507,866</td>
<td>$217,532</td>
<td>$10,113,498</td>
<td>$8,365,801</td>
<td>21%</td>
<td>$11,584,896</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$400,664</td>
<td>$443</td>
<td>$5,666</td>
<td>$4,265</td>
<td>$0</td>
<td>$0</td>
<td>$91,474</td>
<td>$502,511</td>
<td>$471,845</td>
<td>6%</td>
<td>$904,249</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$643,271</td>
<td>$0</td>
<td>$21,673</td>
<td>$0</td>
<td>$0</td>
<td>$450,000</td>
<td>$87,142</td>
<td>$1,202,087</td>
<td>$1,405,964</td>
<td>-15%</td>
<td>$2,106,767</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$1,023,655</td>
<td>$0</td>
<td>$3,724</td>
<td>$0</td>
<td>$30,058</td>
<td>$100,000</td>
<td>$166,213</td>
<td>$1,323,650</td>
<td>$1,083,226</td>
<td>22%</td>
<td>$2,488,007</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$806,896</td>
<td>$128,400</td>
<td>$23,227</td>
<td>$0</td>
<td>$0</td>
<td>$51,672</td>
<td>$454,923</td>
<td>$1,465,118</td>
<td>$1,746,895</td>
<td>-16%</td>
<td>$2,397,597</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$592,346</td>
<td>$90,200</td>
<td>$1,083</td>
<td>$0</td>
<td>$0</td>
<td>$3,100,000</td>
<td>$127,047</td>
<td>$3,910,675</td>
<td>$325,952</td>
<td>1100%</td>
<td>$893,636</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$3,258,218</td>
<td>$55,095</td>
<td>$17,272</td>
<td>$0</td>
<td>$170,078</td>
<td>$1,250,000</td>
<td>$692,965</td>
<td>$5,443,628</td>
<td>$7,378,798</td>
<td>-26%</td>
<td>$8,906,393</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$218,608</td>
<td>$0</td>
<td>$3,925</td>
<td>$0</td>
<td>$67,600</td>
<td>$0</td>
<td>$61,845</td>
<td>$351,978</td>
<td>$623,866</td>
<td>-44%</td>
<td>$730,435</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$346,305</td>
<td>$58,822</td>
<td>$2,670</td>
<td>$0</td>
<td>$0</td>
<td>$5,000</td>
<td>$213,750</td>
<td>$626,547</td>
<td>$717,675</td>
<td>-13%</td>
<td>$1,000,420</td>
</tr>
<tr>
<td>Libraries</td>
<td>$803,098</td>
<td>$2,418,583</td>
<td>$6,563</td>
<td>$0</td>
<td>$0</td>
<td>$10,000</td>
<td>$163,805</td>
<td>$3,402,048</td>
<td>$558,506</td>
<td>509%</td>
<td>$2,064,903</td>
</tr>
<tr>
<td>University-wide</td>
<td>$42,671,505</td>
<td>$56,427</td>
<td>$22,416</td>
<td>$42,270</td>
<td>$67,537</td>
<td>$225,000</td>
<td>$2,412,949</td>
<td>$45,498,103</td>
<td>$27,421,444</td>
<td>66%</td>
<td>$30,326,899</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$10,816,472</td>
<td>$347,998</td>
<td>$157,884</td>
<td>$0</td>
<td>$11,910</td>
<td>$275,000</td>
<td>$1,851,640</td>
<td>$13,460,904</td>
<td>$15,588,618</td>
<td>-14%</td>
<td>$20,906,571</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$78,113,660</strong></td>
<td><strong>$3,657,232</strong></td>
<td><strong>$368,228</strong></td>
<td><strong>$219,079</strong></td>
<td><strong>$453,319</strong></td>
<td><strong>$11,597,871</strong></td>
<td><strong>$15,333,574</strong></td>
<td><strong>$109,742,963</strong></td>
<td><strong>$81,570,209</strong></td>
<td><strong>35%</strong></td>
<td><strong>$111,364,891</strong></td>
</tr>
<tr>
<td>College</td>
<td>Current Operations Restricted</td>
<td>Current Operations Unrestricted</td>
<td>Endowment Restricted</td>
<td>Endowment Unrestricted</td>
<td>Facilities</td>
<td>Year-to-date FY '13 Totals</td>
<td>Year-to-date FY '12 Totals</td>
<td>YTD Period % Change FY12/FY13</td>
<td>Year End FY '12 Totals</td>
<td></td>
<td></td>
</tr>
<tr>
<td>----------------------------------</td>
<td>------------------------------</td>
<td>---------------------------------</td>
<td>----------------------</td>
<td>------------------------</td>
<td>------------</td>
<td>--------------------------</td>
<td>--------------------------</td>
<td>----------------------------</td>
<td>--------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$8,427,914</td>
<td>$1,101,752</td>
<td>$11,055,056</td>
<td>$6,163</td>
<td>$419,656</td>
<td>$21,010,541</td>
<td>$15,383,914</td>
<td>37%</td>
<td>$25,302,649</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>$324,130</td>
<td>$97,148</td>
<td>$612,500</td>
<td>$0</td>
<td>$1,500</td>
<td>$1,035,278</td>
<td>$191,831</td>
<td>440%</td>
<td>$698,606</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>$295,205</td>
<td>$46,903</td>
<td>$53,325</td>
<td>$0</td>
<td>$963</td>
<td>$396,396</td>
<td>$305,875</td>
<td>30%</td>
<td>$1,052,862</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$4,990,753</td>
<td>$1,006,727</td>
<td>$3,929,922</td>
<td>$650</td>
<td>$185,446</td>
<td>$10,113,498</td>
<td>$8,365,801</td>
<td>21%</td>
<td>$11,584,896</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$300,152</td>
<td>$136,009</td>
<td>$65,907</td>
<td>$0</td>
<td>$443</td>
<td>$502,511</td>
<td>$471,845</td>
<td>6%</td>
<td>$904,249</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$491,843</td>
<td>$157,317</td>
<td>$552,926</td>
<td>$0</td>
<td>$0</td>
<td>$1,202,087</td>
<td>$1,405,964</td>
<td>-15%</td>
<td>$2,106,767</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$552,583</td>
<td>$145,089</td>
<td>$625,978</td>
<td>$0</td>
<td>$0</td>
<td>$1,323,650</td>
<td>$1,083,226</td>
<td>22%</td>
<td>$2,488,007</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$838,187</td>
<td>$194,065</td>
<td>$289,426</td>
<td>$15,040</td>
<td>$128,400</td>
<td>$1,465,118</td>
<td>$1,746,895</td>
<td>-16%</td>
<td>$2,397,597</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$3,396,556</td>
<td>$50,483</td>
<td>$371,337</td>
<td>$2,100</td>
<td>$90,200</td>
<td>$3,910,675</td>
<td>$325,952</td>
<td>1100%</td>
<td>$893,636</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$3,754,475</td>
<td>$181,436</td>
<td>$1,410,812</td>
<td>$41,810</td>
<td>$55,095</td>
<td>$5,443,628</td>
<td>$7,378,798</td>
<td>-26%</td>
<td>$8,906,393</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$101,420</td>
<td>$57,907</td>
<td>$164,065</td>
<td>$0</td>
<td>$28,586</td>
<td>$351,978</td>
<td>$623,866</td>
<td>-44%</td>
<td>$730,435</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$42,516</td>
<td>$64,027</td>
<td>$6,591</td>
<td>$0</td>
<td>$513,413</td>
<td>$626,547</td>
<td>$717,675</td>
<td>-13%</td>
<td>$1,000,420</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Libraries</td>
<td>$812,018</td>
<td>$2,850</td>
<td>$168,598</td>
<td>$0</td>
<td>$2,418,583</td>
<td>$3,402,048</td>
<td>$558,506</td>
<td>509%</td>
<td>$2,064,903</td>
<td></td>
<td></td>
</tr>
<tr>
<td>University-wide</td>
<td>$9,000,188</td>
<td>$483,542</td>
<td>$35,710,539</td>
<td>$18,000</td>
<td>$285,834</td>
<td>$45,496,103</td>
<td>$27,421,444</td>
<td>66%</td>
<td>$30,326,899</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$7,851,894</td>
<td>0</td>
<td>$818,131</td>
<td>0</td>
<td>$4,790,880</td>
<td>$13,460,904</td>
<td>$15,588,618</td>
<td>-14%</td>
<td>$20,906,571</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>$41,179,834</td>
<td>$3,725,253</td>
<td>$55,835,112</td>
<td>$83,763</td>
<td>$8,919,000</td>
<td>$109,742,963</td>
<td>$81,570,209</td>
<td>35%</td>
<td>$111,364,891</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
# Gift Receipts by Gift Source

**NC State University**

**Gift Receipts by Gift Source**

*Includes Gift Receipts and Pledge Payments*

**July 1, 2012 to February 28, 2013**

<table>
<thead>
<tr>
<th>College</th>
<th>Alumni</th>
<th>Parents</th>
<th>Faculty Staff</th>
<th>Other Individuals</th>
<th>Corporations</th>
<th>Foundations</th>
<th>Other Organizations</th>
<th>Year-to-date FY '13 Totals</th>
<th>Year-to-date FY '12 Totals</th>
<th>YTD Period % Change FY12/FY13</th>
<th>Year End FY '12 Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$644,347</td>
<td>$54,346</td>
<td>$130,110</td>
<td>$895,558</td>
<td>$7,798,450</td>
<td>$879,775</td>
<td>$3,789,498</td>
<td>$14,192,085</td>
<td>$13,527,076</td>
<td>5%</td>
<td>$20,662,403</td>
</tr>
<tr>
<td>College of Design</td>
<td>$71,931</td>
<td>$625</td>
<td>$7,954</td>
<td>$17,675</td>
<td>$64,443</td>
<td>$150,504</td>
<td>$248,501</td>
<td>$561,632</td>
<td>$215,791</td>
<td>160%</td>
<td>$724,259</td>
</tr>
<tr>
<td>College of Education</td>
<td>$60,857</td>
<td>$500</td>
<td>$7,377</td>
<td>$71,325</td>
<td>$26,420</td>
<td>$55,250</td>
<td>$180,025</td>
<td>$401,754</td>
<td>$321,466</td>
<td>25%</td>
<td>$1,073,920</td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$1,351,103</td>
<td>$30,800</td>
<td>$12,387</td>
<td>$332,412</td>
<td>$2,883,929</td>
<td>$686,871</td>
<td>$196,077</td>
<td>$5,493,578</td>
<td>$8,497,030</td>
<td>-35%</td>
<td>$11,146,359</td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$218,893</td>
<td>$345</td>
<td>$13,725</td>
<td>$46,044</td>
<td>$9,127</td>
<td>$202,365</td>
<td>$0</td>
<td>$490,500</td>
<td>$398,421</td>
<td>23%</td>
<td>$750,659</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$431,606</td>
<td>$2,430</td>
<td>$9,273</td>
<td>$68,880</td>
<td>$1,212,477</td>
<td>$30,532</td>
<td>$13,600</td>
<td>$1,768,798</td>
<td>$1,559,292</td>
<td>13%</td>
<td>$2,523,875</td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$164,486</td>
<td>$150</td>
<td>$7,833</td>
<td>$438,075</td>
<td>$243,172</td>
<td>$78,791</td>
<td>$231,018</td>
<td>$1,163,526</td>
<td>$935,842</td>
<td>24%</td>
<td>$1,453,192</td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$518,688</td>
<td>$25,525</td>
<td>$14,345</td>
<td>$38,493</td>
<td>$359,575</td>
<td>$143,625</td>
<td>$283,700</td>
<td>$1,383,950</td>
<td>$1,619,965</td>
<td>-15%</td>
<td>$2,206,949</td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$210,404</td>
<td>$850</td>
<td>$11,110</td>
<td>$2,096</td>
<td>$536,764</td>
<td>$303,717</td>
<td>$2,500</td>
<td>$1,067,440</td>
<td>$1,272,332</td>
<td>-16%</td>
<td>$1,805,901</td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$115,710</td>
<td>$1,380</td>
<td>$8,225</td>
<td>$650,581</td>
<td>$530,357</td>
<td>$4,545,574</td>
<td>$67,009</td>
<td>$5,918,835</td>
<td>$3,808,042</td>
<td>55%</td>
<td>$5,369,738</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$321,189</td>
<td>$325</td>
<td>$623</td>
<td>$112,187</td>
<td>$159,354</td>
<td>$58,807</td>
<td>$5,450</td>
<td>$657,936</td>
<td>$755,954</td>
<td>-13%</td>
<td>$886,308</td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$185,178</td>
<td>$1,560</td>
<td>$13,490</td>
<td>$427,069</td>
<td>$29,014</td>
<td>$121,188</td>
<td>$4,509</td>
<td>$782,067</td>
<td>$538,086</td>
<td>45%</td>
<td>$824,158</td>
</tr>
<tr>
<td>Libraries</td>
<td>$91,568</td>
<td>$6,351</td>
<td>$44,373</td>
<td>$1,355,367</td>
<td>$1,248,854</td>
<td>$568,750</td>
<td>$9,427</td>
<td>$3,324,690</td>
<td>$457,097</td>
<td>627%</td>
<td>$779,462</td>
</tr>
<tr>
<td>University-wide</td>
<td>$37,993,265</td>
<td>$111,430</td>
<td>$14,940</td>
<td>$509,208</td>
<td>$1,711,361</td>
<td>$6,294,122</td>
<td>$140,384</td>
<td>$46,774,710</td>
<td>$27,791,115</td>
<td>68%</td>
<td>$32,696,924</td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$11,208,799</td>
<td>$500</td>
<td>$0</td>
<td>$216</td>
<td>$157,884</td>
<td>$0</td>
<td>$800</td>
<td>$11,368,199</td>
<td>$13,172,442</td>
<td>-14%</td>
<td>$17,419,724</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$53,588,024</strong></td>
<td><strong>$237,117</strong></td>
<td><strong>$295,766</strong></td>
<td><strong>$4,965,186</strong></td>
<td><strong>$16,971,871</strong></td>
<td><strong>$14,119,871</strong></td>
<td><strong>$5,172,497</strong></td>
<td><strong>$95,349,641</strong></td>
<td><strong>$74,869,591</strong></td>
<td><strong>27%</strong></td>
<td><strong>$100,323,833</strong></td>
</tr>
<tr>
<td>College</td>
<td>Cash Gifts</td>
<td>Gifts-In-Kind</td>
<td>Matching Gifts</td>
<td>PG Life Income</td>
<td>Realized Bequests</td>
<td>Year-to-date FY '13 Totals</td>
<td>Year-to-date FY '12 Totals</td>
<td>YTD Period % Change FY12/FY13</td>
<td>Year End FY '12 Totals</td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------------------------</td>
<td>-------------</td>
<td>---------------</td>
<td>----------------</td>
<td>----------------</td>
<td>-------------------</td>
<td>---------------------------</td>
<td>---------------------------</td>
<td>-------------------------------</td>
<td>------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$13,825,680</td>
<td>$313,456</td>
<td>$42,081</td>
<td>$5,505</td>
<td>$5,363</td>
<td>$14,192,085</td>
<td>$13,527,076</td>
<td>5%</td>
<td>$20,662,403</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>$559,844</td>
<td>$1,500</td>
<td>$288</td>
<td>$0</td>
<td>$0</td>
<td>$561,632</td>
<td>$215,791</td>
<td>160%</td>
<td>$724,259</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>$389,844</td>
<td>$863</td>
<td>$400</td>
<td>$10,647</td>
<td>$0</td>
<td>$401,754</td>
<td>$321,466</td>
<td>25%</td>
<td>$1,073,920</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$4,914,355</td>
<td>$185,446</td>
<td>$59,356</td>
<td>$156,393</td>
<td>$178,028</td>
<td>$5,493,578</td>
<td>$8,497,030</td>
<td>-35%</td>
<td>$11,146,359</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$480,126</td>
<td>$443</td>
<td>$5,666</td>
<td>$4,265</td>
<td>$0</td>
<td>$490,500</td>
<td>$398,421</td>
<td>23%</td>
<td>$750,659</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$1,747,125</td>
<td>$0</td>
<td>$21,673</td>
<td>$0</td>
<td>$0</td>
<td>$1,768,798</td>
<td>$1,559,292</td>
<td>13%</td>
<td>$2,523,875</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$1,129,743</td>
<td>$0</td>
<td>$3,724</td>
<td>$0</td>
<td>$30,058</td>
<td>$1,163,526</td>
<td>$935,842</td>
<td>24%</td>
<td>$1,453,192</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$1,232,322</td>
<td>$128,400</td>
<td>$23,227</td>
<td>$0</td>
<td>$0</td>
<td>$1,383,950</td>
<td>$1,619,965</td>
<td>-15%</td>
<td>$2,206,949</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$976,158</td>
<td>$90,200</td>
<td>$1,083</td>
<td>$0</td>
<td>$0</td>
<td>$1,067,440</td>
<td>$1,272,332</td>
<td>-16%</td>
<td>$1,805,901</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$5,676,390</td>
<td>$55,095</td>
<td>$17,272</td>
<td>$0</td>
<td>$170,078</td>
<td>$5,918,835</td>
<td>$3,808,042</td>
<td>55%</td>
<td>$5,369,738</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$586,411</td>
<td>$0</td>
<td>$3,925</td>
<td>$0</td>
<td>$67,600</td>
<td>$657,936</td>
<td>$755,949</td>
<td>-13%</td>
<td>$886,308</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$720,515</td>
<td>$58,822</td>
<td>$2,670</td>
<td>$0</td>
<td>$0</td>
<td>$782,007</td>
<td>$538,086</td>
<td>45%</td>
<td>$824,158</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Libraries</td>
<td>$899,544</td>
<td>$2,418,583</td>
<td>$6,563</td>
<td>$0</td>
<td>$0</td>
<td>$3,324,690</td>
<td>$457,097</td>
<td>627%</td>
<td>$779,462</td>
<td></td>
<td></td>
</tr>
<tr>
<td>University-wide</td>
<td>$46,585,314</td>
<td>$57,173</td>
<td>$22,416</td>
<td>$42,270</td>
<td>$67,537</td>
<td>$46,774,710</td>
<td>$27,791,115</td>
<td>68%</td>
<td>$32,696,924</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$10,816,472</td>
<td>$347,998</td>
<td>$157,884</td>
<td>$0</td>
<td>$45,845</td>
<td>$11,368,199</td>
<td>$13,172,442</td>
<td>-14%</td>
<td>$17,419,724</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>$90,539,845</td>
<td>$3,657,979</td>
<td>$368,228</td>
<td>$219,079</td>
<td>$564,510</td>
<td>$95,349,641</td>
<td>$74,869,591</td>
<td>27%</td>
<td>$100,323,833</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College/Department</td>
<td>Current Operations Restricted</td>
<td>Current Operations Unrestricted</td>
<td>Endowment Restricted</td>
<td>Endowment Unrestricted</td>
<td>Facilities</td>
<td>Year-to-date FY '13 Totals</td>
<td>Year-to-date FY '12 Totals</td>
<td>YTD Period % Change FY12/FY13</td>
<td>Year End FY '12 Totals</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------------------</td>
<td>------------------------------</td>
<td>--------------------------------</td>
<td>----------------------</td>
<td>------------------------</td>
<td>------------</td>
<td>--------------------------</td>
<td>---------------------------</td>
<td>------------------------------</td>
<td>--------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Agriculture and Life Sciences</td>
<td>$9,748,390</td>
<td>$1,071,754</td>
<td>$2,908,471</td>
<td>$6,163</td>
<td>$457,036</td>
<td>$14,192,085</td>
<td>$13,527,076</td>
<td>5%</td>
<td>$20,662,403</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>$325,714</td>
<td>$94,918</td>
<td>$139,500</td>
<td>$0</td>
<td>$1,500</td>
<td>$561,632</td>
<td>$215,791</td>
<td>160%</td>
<td>$724,259</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>$299,112</td>
<td>$38,195</td>
<td>$63,405</td>
<td>$0</td>
<td>$1,043</td>
<td>$401,754</td>
<td>$321,466</td>
<td>25%</td>
<td>$1,073,920</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>$2,963,347</td>
<td>$972,649</td>
<td>$1,371,486</td>
<td>$650</td>
<td>$185,446</td>
<td>$5,493,578</td>
<td>$8,497,030</td>
<td>-35%</td>
<td>$11,146,359</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>$299,250</td>
<td>$125,220</td>
<td>$65,587</td>
<td>$0</td>
<td>$443</td>
<td>$490,500</td>
<td>$398,421</td>
<td>23%</td>
<td>$750,659</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>$992,493</td>
<td>$160,087</td>
<td>$266,219</td>
<td>$250,000</td>
<td>$100,000</td>
<td>$1,768,798</td>
<td>$1,559,292</td>
<td>13%</td>
<td>$2,523,875</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>$571,110</td>
<td>$140,748</td>
<td>$451,668</td>
<td>$0</td>
<td>$0</td>
<td>$1,163,526</td>
<td>$935,842</td>
<td>24%</td>
<td>$1,453,192</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Physical &amp; Mathematical Sciences</td>
<td>$775,878</td>
<td>$172,617</td>
<td>$301,634</td>
<td>$5,420</td>
<td>$128,400</td>
<td>$1,383,950</td>
<td>$1,619,965</td>
<td>-15%</td>
<td>$2,206,949</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>$500,844</td>
<td>$44,598</td>
<td>$429,699</td>
<td>$2,100</td>
<td>$90,200</td>
<td>$1,067,440</td>
<td>$1,272,332</td>
<td>-16%</td>
<td>$1,805,901</td>
<td></td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>$3,469,006</td>
<td>$183,466</td>
<td>$169,558</td>
<td>$2,041,710</td>
<td>$55,095</td>
<td>$5,918,835</td>
<td>$3,808,042</td>
<td>55%</td>
<td>$5,369,738</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alumni Association</td>
<td>$81,582</td>
<td>$56,759</td>
<td>$175,489</td>
<td>$0</td>
<td>$344,106</td>
<td>$657,936</td>
<td>$755,594</td>
<td>-13%</td>
<td>$886,308</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ARTS NC State</td>
<td>$37,191</td>
<td>$65,229</td>
<td>$37,145</td>
<td>$15,000</td>
<td>$627,443</td>
<td>$782,007</td>
<td>$538,086</td>
<td>45%</td>
<td>$824,158</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Libraries</td>
<td>$787,985</td>
<td>$2,850</td>
<td>$115,272</td>
<td>$0</td>
<td>$2,418,583</td>
<td>$3,324,690</td>
<td>$457,097</td>
<td>627%</td>
<td>$779,462</td>
<td></td>
<td></td>
</tr>
<tr>
<td>University-wide</td>
<td>$9,483,574</td>
<td>$475,319</td>
<td>$35,487,488</td>
<td>$18,000</td>
<td>$1,310,330</td>
<td>$46,774,710</td>
<td>$27,791,115</td>
<td>68%</td>
<td>$32,696,924</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wolfpack Club/Athletics</td>
<td>$7,851,894</td>
<td>$0</td>
<td>$318,170</td>
<td>$0</td>
<td>$3,198,136</td>
<td>$11,368,199</td>
<td>$13,172,442</td>
<td>-14%</td>
<td>$17,419,724</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$38,187,370</strong></td>
<td><strong>$3,604,407</strong></td>
<td><strong>$42,300,790</strong></td>
<td><strong>$2,339,043</strong></td>
<td><strong>$8,918,031</strong></td>
<td><strong>$95,349,641</strong></td>
<td><strong>$74,869,591</strong></td>
<td><strong>27%</strong></td>
<td><strong>$100,323,833</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Overall Performance**

<table>
<thead>
<tr>
<th></th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>YTD Total</strong></td>
<td>$1,211,887</td>
<td>$1,062,885</td>
<td>$149,002</td>
<td>14.02%</td>
<td>$1,501,031</td>
<td>$1,312,669</td>
<td>$188,362</td>
<td>14.35%</td>
<td>9,385</td>
<td>8,839</td>
<td>546</td>
<td>6.18%</td>
</tr>
</tbody>
</table>

**Overall Performance**

<table>
<thead>
<tr>
<th></th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$1,000+ household donors (Primary donors only); no matching</strong></td>
<td>$313,163.70</td>
<td>$239,609.36</td>
<td>$73,554</td>
<td>30.70%</td>
<td>$426,763.70</td>
<td>$312,464.36</td>
<td>$114,299</td>
<td>36.58%</td>
<td>211</td>
<td>188</td>
<td>23</td>
<td>12.23%</td>
</tr>
</tbody>
</table>

**Progress to Goals**

<table>
<thead>
<tr>
<th></th>
<th>FY13 Goals</th>
<th>Needed to Meet Goals</th>
<th>FY13 % To Goal</th>
<th>FY12 Rpt Date to 6/30/12</th>
<th>Projection</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total Dollars</strong></td>
<td>$2,000,000</td>
<td>$498,969</td>
<td>75.05%</td>
<td>$551,322</td>
<td>$2,052,353</td>
</tr>
<tr>
<td><em>(FY12 = $1,863,991)</em></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Donors</strong></td>
<td>16,000</td>
<td>4,125</td>
<td>74.22%</td>
<td>5,363</td>
<td>17,238</td>
</tr>
<tr>
<td><em>(FY12 = 16,397)</em></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Average Gift**

<table>
<thead>
<tr>
<th></th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>By Gift</strong></td>
<td>$102</td>
<td>$95</td>
<td>$7</td>
<td>7.57%</td>
</tr>
<tr>
<td><strong>By Donor</strong></td>
<td>$124</td>
<td>$116</td>
<td>$8</td>
<td>6.90%</td>
</tr>
</tbody>
</table>

**Corporate Matching Gifts**

<table>
<thead>
<tr>
<th></th>
<th>FY13</th>
<th>FY12</th>
<th>Chng</th>
<th>% Chng</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Corporate Match Dollars</strong></td>
<td>$62,673</td>
<td>$46,669</td>
<td>$16,004</td>
<td>34.29%</td>
</tr>
<tr>
<td><strong>Number Corporate Match Gifts</strong></td>
<td>326</td>
<td>258</td>
<td>68</td>
<td>26.36%</td>
</tr>
</tbody>
</table>

*From FY12 Final Reports*
**Annual Giving** for NC State University  
**FY13 YTD Monthly Progress and College Comparison Report**  
*As of February 28, 2013*

<table>
<thead>
<tr>
<th>Academic Unit Detail - Mailable Alumni</th>
<th>Gift Dollars No Match</th>
<th>Donors No Match*</th>
<th>Average Gift</th>
<th>Average Gift</th>
<th>Corporate Matching</th>
<th>Total Gifts and Matching</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FY13</td>
<td>FY12</td>
<td>Chng</td>
<td>% Chng</td>
<td>FY13</td>
<td>FY12</td>
</tr>
<tr>
<td>CALS - 35,230</td>
<td>$167,900</td>
<td>$158,988</td>
<td>$8,911</td>
<td>5.6%</td>
<td>1570</td>
<td>1540</td>
</tr>
<tr>
<td>CHASS - 31,334</td>
<td>$74,844</td>
<td>$81,831</td>
<td>($6,986)</td>
<td>(8.5%)</td>
<td>919</td>
<td>938</td>
</tr>
<tr>
<td>Design - 6,052</td>
<td>$47,569</td>
<td>$45,820</td>
<td>$1,749</td>
<td>3.8%</td>
<td>340</td>
<td>334</td>
</tr>
<tr>
<td>Education - 13,118</td>
<td>$46,680</td>
<td>$41,495</td>
<td>$5,184</td>
<td>12.5%</td>
<td>483</td>
<td>533</td>
</tr>
<tr>
<td>Engineering - 51,506</td>
<td>$414,830</td>
<td>$368,667</td>
<td>$46,163</td>
<td>12.5%</td>
<td>3083</td>
<td>3039</td>
</tr>
<tr>
<td>Management - 21,773</td>
<td>$78,907</td>
<td>$64,470</td>
<td>$14,436</td>
<td>22.4%</td>
<td>777</td>
<td>810</td>
</tr>
<tr>
<td>Natural Resources - 8,827</td>
<td>$43,155</td>
<td>$45,338</td>
<td>($2,183)</td>
<td>(4.9%)</td>
<td>401</td>
<td>407</td>
</tr>
<tr>
<td>PAMS - 12,018</td>
<td>$63,988</td>
<td>$55,788</td>
<td>$8,200</td>
<td>14.7%</td>
<td>540</td>
<td>540</td>
</tr>
<tr>
<td>Textiles - 8,080</td>
<td>$41,966</td>
<td>$34,175</td>
<td>$7,791</td>
<td>22.8%</td>
<td>406</td>
<td>401</td>
</tr>
<tr>
<td>Vet Med - 2,242</td>
<td>$26,205</td>
<td>$21,045</td>
<td>$5,160</td>
<td>24.5%</td>
<td>163</td>
<td>141</td>
</tr>
<tr>
<td>Arts NC State</td>
<td>$10,134</td>
<td>$12,984</td>
<td>($2,850)</td>
<td>(21.9%)</td>
<td>88</td>
<td>90</td>
</tr>
<tr>
<td>First Year College</td>
<td>$2,999</td>
<td>$8,183</td>
<td>($5,183)</td>
<td>(63.3%)</td>
<td>37</td>
<td>36</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$6,630</td>
<td>$5,620</td>
<td>$1,010</td>
<td>18.0%</td>
<td>25</td>
<td>23</td>
</tr>
<tr>
<td>Library</td>
<td>$25,112</td>
<td>$19,514</td>
<td>$5,597</td>
<td>28.7%</td>
<td>153</td>
<td>132</td>
</tr>
<tr>
<td>Parents’ Fund</td>
<td>$87,057</td>
<td>$85,369</td>
<td>$1,688</td>
<td>2.0%</td>
<td>1769</td>
<td>1507</td>
</tr>
<tr>
<td>Student Financial Aid Fund</td>
<td>$53,096</td>
<td>$37,315</td>
<td>$15,781</td>
<td>42.3%</td>
<td>492</td>
<td>349</td>
</tr>
<tr>
<td>University’s Greatest Needs Fund</td>
<td>$153,386</td>
<td>$110,227</td>
<td>$43,159</td>
<td>39.2%</td>
<td>1238</td>
<td>913</td>
</tr>
<tr>
<td>Other/University-wide</td>
<td>$93,903</td>
<td>$68,972</td>
<td>$24,931</td>
<td>36.1%</td>
<td>385</td>
<td>330</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$1,438,359</td>
<td>$1,266,001</td>
<td>$172,358</td>
<td>13.6%</td>
<td>11075</td>
<td>11034</td>
</tr>
</tbody>
</table>

* FY13 and FY12 Donor No Match TOTAL adjusted for donors who gave to multiple designations.

Average Gift calculated by gifts, including payroll deduction and EFT, not donors.
Communications Update and Discussion

Campaign Planning Update
UNIVERSITY AFFAIRS COMMITTEE
NORTH CAROLINA STATE UNIVERSITY
April 18, 2013

BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY
AGENDA

University Affairs Committee
2:45 p.m. – 4:15 p.m. April 18, 2013
Winslow Hall Conference Room
Norris Tolson, Chair
Members: Ben Jenkins, Bob Mattocks, Jim Owens, Andy Walsh and Susan Ward

CALL TO ORDER
Norris Tolson
Chair of Committee

ROLL CALL

READING OF STATE GOVERNMENT ETHICS ACT CONFLICT OF INTEREST STATEMENT

1. AGENDA
   Approval of February 21, 2013 Minutes

2. DISCUSSION ITEMS (No action necessary)

   A. Residency for Full Scholarship Undergraduate Students
      Presenter: Krista Domnick, Director, Scholarships and Financial Aid
      The Chancellor is required to provide an annual report to the Board of Trustees on
      the list of recognized entities awarding full scholarships to undergraduates and the
      number of students receiving full scholarships from each entity. An overview of the
      12-13 Annual Report will be provided.

   B. Students Requiring Special Consideration
      Presenter: Thomas H. Griffin, Director of Undergraduate Admissions
      Per Regulation 02.10.04 (Identification and Performance Monitoring of Selected
      Students Requiring Special Consideration for Admissions), the Chancellor is required to
      provide to the Board of Trustees and to UNC General Administration an annual update
      on the special consideration process of Undergraduate Admissions. This report will
      also include a review of the performance of students previously admitted through that
      process.

3. REQUESTED ACTION

   A. Consideration of Approval of the Proposed Salary Ranges for Senior
      Academic and Administrative Officers (SAAO)
      Presenter: Barbara Carroll, Associate Vice Chancellor for Human Resources
      As a condition of management flexibility delegated by the UNC Board of Governors, the
      BOT (delegated to the University Affairs Committee) establishes pay ranges for senior
      academic and administrative officer (SAAO) positions not otherwise established by UNC-
      General Administration. The discussion describes this year’s salary market analysis and
      presents for committee approval the recommended SAAO pay ranges for FY13-14.
      Faculty salary ranges are approved by the Chancellor and will be shared with the
      University Affairs Committee at a future meeting.
B. Revisions to Policy 09.00.02 – Drug Education, Screening and Counseling Program

Presenter: Deborah Yow, Director of Athletics

Reason for Revisions: The Department of Athletics seeks to revise POL 09.00.02, Drug Education, Screening, and Counseling Program, (“Policy”). The Policy has been split into two documents, The Policy and The Program. Revisions to the Policy include:

Board of Trustees retains oversight of The Policy which provides updated definitions, purposes, goals, mandates and prohibitions on the use of NCAA banned substances. The Chancellor will acquire oversight of The Drug Education, Screening, Counseling, and Deterrence Program which pertains to the procedural components of the current policy. Removing The Program from a PRR will permit the university to be more flexible in amending these components should NCAA policies or drug testing procedures change.

The proposed revised Policy adds a statement of prohibition (in Policy Sections 1&3), as the current Policy does not state that student athletes are prohibited from using illegal or performance enhancing drugs. The Policy is to be effective July 1, 2013.

4. INFORMATIONAL REPORTS

A. Faculty Senate Report

Presenter: Hans Kellner, Chair

B. Staff Senate Report

Presenter: Susan Colby, Chair

C. Provost Update

Activities in Academic Affairs since the February 21, 2013 Board Meeting

Presenter: Warwick A. Arden, Provost and Executive Vice Chancellor

a. Strategic Initiatives Update
   - Strategic Plan Metric Goals
   - College of Sciences (COS) Implementation/COS, CALS new departments and department name changes
   - Chancellor’s Faculty Excellence Program
   - New Leadership Positions

b. Nepotism Report

5. PERSONNEL MATTERS AND HONORARY AWARDS*

Executive Summary of All Personnel Actions Requiring Approval

A. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

B. To establish or to instruct the staff or agent concerning the amount of compensation and other material terms of an employment contract or proposed employment contract.

C. To prevent the premature disclosure of an honorary award or scholarship.

6. ADJOURN

* Discussed in Closed Session

✓ Requires Full Board Approval
The University Affairs Committee of the Board of Trustees of North Carolina State University met February 21, 2013 at 2:45 p.m. in the Winslow Hall Conference Room.

Members Present: Norris Tolson, Committee Chair
Ben Jenkins
Bob Mattocks
Andy Walsh
Susan Ward
Barbara Mulkey, Board Chair

Others Present: Randy Woodson  Amy Jinnette
Warwick Arden        Sarah Lannom
Barbara Carroll      Carrie Leger
Susan Colby          Michael Lipitz
Eileen Goldgeier     Michael Mullen
Johanna Hakala       Becky Rufty
Ryan Hancock         Christy Scheid
Marc Hoit            PJ Teal
Kevin Howell         Shawn Troxler
Louis Hunt           Debbie Yow

Chair Tolson called the meeting to order. A quorum was present.

He reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the University Affairs Committee at this meeting. Hearing none, Chair Tolson proceeded with the Committee agenda.

A motion was made by Mr. Walsh and seconded by Mr. Mattocks to approve minutes from the open and closed sessions of the November 15, 2012 meeting as distributed. The motion carried.

A motion was made by Mr. Jenkins and seconded by Ms. Ward to approve minutes from the open and closed sessions of the November 28, 2012 meeting as distributed. The motion carried.

Dr. Louis Hunt, Vice Provost and University Registrar, provided an update on fall graduation statistics and graduation rate trends. He also showed examples of technological enhancements, including the Advising Dashboard and Enrollment Wizard, which are being used to assist with student success initiatives. Provost Arden thanked Dr. Hunt, Dr. Mullen and their colleagues for their efforts in enhancing the success of our students, which is the number one goal in our strategic plan.

Ms. Carrie Leger, Associate Athletics Director for Academics and Director of the Academic Support Program for Student-Athletes (ASPSA), reviewed the data submitted for the annual Board of Governors Intercollegiate Athletics Report on Minimum Course Requirement exceptions, distribution of student-athletes among majors, and the incoming academic profiles of football, men's basketball and
women's basketball student-athletes. She provided an overview of the information submitted to the BOG in the two new sections of the annual report primarily addressing the recommendations of the UNC System Task Force on Academics and Athletics. In addition, Ms. Leger distributed a document entailing the NC State practices and processes to monitor the academic status of student-athletes. Further, she reviewed with the committee the NCAA Division I Academic Progress Rate data to be shared publicly early in summer 2013.

Provost Arden presented proposed revisions to the Appointment, Reappointment, Promotion and Permanent Tenure Policy (POL 05.20.1). The revisions reflect the formation of the Division of Academic and Student Affairs (DASA) as the academic home for the departments of Music and Health and Exercise Studies; specifies that faculty in these departments can function as a Departmental Voting Faculty for appointment and promotion decisions; and specifies that DASA will have a Division Promotion Committee for promotion decisions comparable to a College Reappointment, Promotion and Tenure Committee. The revisions also introduce more specific procedures for initial appointment, reappointment, promotion and tenure for faculty hired in joint or interdisciplinary appointments, which is important as the university makes more interdisciplinary hires associated with the Chancellor’s Faculty Excellence Program. Mr. Jenkins moved to recommend the policy revisions to the full board for approval. Mr. Walsh seconded the motion. The motion carried.

Provost Arden explained that the Department of Agricultural and Extension Education in the College of Agriculture and Life Sciences is requesting that the Master’s program in Agricultural Education be renamed to Agricultural and Extension Education. In a separate action, the Department will request that the Master’s program in Extension Education be dropped. The new name of Agricultural and Extension Education would be less confusing to our students in deciding which program to apply to and would more realistically reflect what graduates of the program do upon graduation – provide Agricultural and Extension Education Services in a variety of settings. The Department proposes the name change take effect in Fall of 2013. When the change is approved, students will have the option of finishing the existing program or moving to the renamed Agricultural and Extension Education Program. However, admission into the Extension Education Master’s program will be stopped. Response from students about the change has been positive thus far. Mr. Jenkins made a motion, seconded by Ms. Ward, to recommend the degree program title change to the full board for approval. The motion carried.

Provost Arden presented two requests for degree program discontinuations. The first, as just mentioned, is the request to discontinue the Master and Master of Science in Extension Education. The nine students currently in this program will be contacted and given the option of finishing the existing program or moving to the renamed Agricultural and Extension Education Program. Second, is the request to discontinue the Associate of Applied Sciences in Pest Management Technology. The program is being discontinued due to consistently low enrollment. The courses within the program address a high priority need in pest management technology for North Carolina stakeholder groups and this need will continue to be addressed by converting the program to an Integrated Pest Management Concentration. The program will not be terminated until May 2015 in order to allow remaining majors to complete their programs. Faculty associated with the program will continue to teach and advise students in the concentrations as needed. Mr. Mattocks moved to recommend the degree program discontinuations to the full board for approval. Ms. Ward seconded the motion. The motion carried.

Director of Athletics Debbie Yow presented the Department of Athletics Football Bonus Structure Guidelines that require the committee’s approval per Non-Salary and Deferred Compensation Policy 05.15.03. The new bonus structure is for football staff and is aligned with Coach Doeren’s contract bonus categories. The bonus categories are more closely aligned with Athletics goals for the football program, both competitively and academically. The new structure includes increased opportunities for academic achievement – both for Federal Graduation Rates and for APR. The maximum potential bonus amounts are less than for previous coaching staff, because current staff received greater annual compensation.
Chancellor Woodson shared that world-renowned architect and NC State alumnus Phil Freelon will deliver the May 2013 commencement address. Mr. Freelon is president of The Freelon Group, an architectural firm. The team of Freelon Adjaye Bond is leading the design for the Smithsonian’s new National Museum of African American History and Culture currently under construction on the National Mall in Washington, DC.

Student Body President Andy Walsh provided an update on Student Government initiatives and projects. The 2013 Krispy Kreme Challenge raised over $170,000 for the North Carolina Children's Hospital. The NC State Dance Marathon will occur on February 22 and 23rd, involving hundreds of NC State students fundraising for the patients of Duke Children’s Hospital. Mr. Walsh noted that fundraising is moving along for the Coaches’ Corner Memorial which is slated for completion in January 2014. He further noted that Student Government has launched a committee to develop a strategic plan for the organization. Student elections will be held March 26; the filing period has begun. Finally, Mr. Walsh noted there is much excitement from students regarding the new Hunt Library and the Talley Student Center renovation.

In the Provost’s Update, the committee was informed about the new Undergraduate Certificate in Microbiology. The committee also received notification of the title change of the Graduate Certificate in Agricultural Education to Agricultural and Extension Education. Provost Arden shared that 133 faculty members have submitted dossiers for consideration in the annual Reappointment, Promotion and Tenure (RPT) process. The dossiers are now at the university level for review. Faculty will be notified about the outcome of the review at the end of April. Finally, the committee was updated on on-going strategic initiatives including the College of Sciences implementation and the Chancellor’s Faculty Excellence program. The College of Sciences is on track for a July 1, 2013 implementation. Deans Dan Solomon and Rich Linton are working on strategic plans for the College of Sciences and the College of Agriculture and Life Sciences based on significant faculty and stakeholder input. The Chancellor’s Faculty Excellence Program (CFEP) will add 38 new faculty members in 12 select fields. Two CFEP hires have begun work at NC State and one more has accepted an offer this spring. There are 12 CFEP offers in the works. This is an exciting program for the university which is attracting superstars and emerging leaders in their fields. Applications are being received from all over the world.

A motion was made by Mr. Tolson to go into closed session to consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee according to North Carolina General Statute, Section 143-318.11(a)(6), to establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract according to North Carolina General Statute, Section 143-318.11(a)(5), and to prevent the premature disclosure of an honorary award or scholarship according to North Carolina General Statute, Section 143-318.11(a)(2).” Mr. Walsh seconded the motion. The motion carried.

After coming out of closed session, Mr. Tolson announced the meeting in open session.

Mr. Jenkins made a motion, seconded by Ms. Ward, to approve the personnel actions discussed in Closed Session related to conferral of tenure to a new faculty member, new employment agreements for four head coaches and a non-salary compensation request. The motion carried.

With no further business, Chair Tolson announced the meeting adjourned at 4:43 p.m.

Norris Tolson, Chair
Residency for Full Scholarship Undergraduate Students
REG 02.70.3

1. INTRODUCTION

1.1 Pursuant to North Carolina General Statute 116-143.6, the North Carolina State University Board of Trustees authorized the Chancellor to recognize entities as he/she may deem appropriate as providers of full scholarships for undergraduate students. Pursuant to that authority, the Chancellor will post annually a list of such providers in the Standard Operating Practice on Residency for Full Scholarship Undergraduates, at least six months prior to the semester in which it is to be effective.

1.2 Effective with the Summer II 2008 semester, NC State University will consider students who accepted admission on or after July 1, 2005 and who receive full scholarships, as defined by North Carolina General Statute 116-143.6, from the entities designated pursuant to Section 1.1, to be residents of North Carolina for all purposes intended by N.C.G.S. 116-143.6.

2. REPORT TO THE BOARD OF TRUSTEES

The Chancellor will provide the Board of Trustees with an annual report showing a list of the recognized entities and the number of students receiving full scholarships from each entity.

2012-13 Annual Report

Approved Recognized Entities for 2012-13:
- Park Foundation
- NCSU Foundation, Inc.
- Endowment Fund of NCSU
- NC Agricultural Foundation, Inc.
- NC Dairy Foundation, Inc.
- NC Tobacco Foundation, Inc.
- NCSU Engineering Foundation
- NC Forestry Foundation
- Pulp and Paper Foundation, Inc.
- NCSU Physical and Mathematical Sciences
- NC Textile Foundation
- NC Veterinary Medical Foundation, Inc.
- NCSU Alumni Association, Inc.
- Provost's Academic Award, in conjunction with University Endowed Scholarship Founding

The NCSU Student Aid Association/Wolfpack Club was an approved entity by NC State until July 1, 2010 when the NC General Assembly rescinded the resident tuition benefit for student athletes.

<table>
<thead>
<tr>
<th>Recognized Entity</th>
<th># New FR/TR Full Scholarship Non-Resident Students Receiving Resident Tuition Benefit</th>
<th>Total # (New + Continuing) Full Scholarship Non-Resident Students Receiving Resident Tuition Benefit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Park Foundation</td>
<td>12</td>
<td>60</td>
</tr>
<tr>
<td>Endowment Fund of NCSU</td>
<td>0</td>
<td>6</td>
</tr>
<tr>
<td>NCSU Physical &amp; Mathematical Sciences</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>NCSU Pulp and Paper Foundation</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>TOTALS</td>
<td>14</td>
<td>70</td>
</tr>
</tbody>
</table>
Undergraduate Admissions: Special Consideration Process Update

Tommy Griffin
Director of Admissions
Enrollment Management and Services
Purpose:

- Provide a mechanism to admit students with exceptional talents in areas important to the university community.
- The overriding criterion in all admissions decisions is the potential for academic success.
- Process is governed by REG 02.10.4
  - “Identification and Performance Monitoring of Selected Students Requiring Special Consideration for Admissions” – Issued in 2003 for the 2004/05 academic year.
- Complies with UNC-GA Regulation 700.1.1[R]
Criteria:

- The special consideration process includes students that do not meet **UNC Minimum Admissions Requirements**

- Or, meet **two** of the following criteria:
  - Rank in bottom half of high school class
  - High School GPA less than 2.5
  - Total SAT less than 900 (verbal and math)
  - SAT Verbal less than 420
  - SAT Math less than 420
Process:

- Students are selected for consideration on the basis of their application or advocacy by one or more campus units:
  - Undergraduate Admissions
  - Academic College or Department
  - Athletics
    - Academic Support for Student Athletes
    - Coaching Staff
  - Other campus units
- Students are presented to the committee by the Director of Admissions.
Committee Process:

- The committee includes the following representation:
  - Admissions Committee, Chair
  - Admissions Committee, Former Chair
  - Vice Provost, Enrollment Management and Services
  - Dean, Undergraduate Academic Programs
  - Director, Academic Support for Student Athletes
  - Director, Undergraduate Admissions

- Each case is individually reviewed in detail.

- Additional materials may be required from internal or external sources.
Selection Results

(2008 – 2012 Cohorts)
## Admissions Data

<table>
<thead>
<tr>
<th></th>
<th>All Freshmen</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2008</td>
</tr>
<tr>
<td><strong>Enrolled</strong></td>
<td>4669</td>
</tr>
<tr>
<td><strong>High School GPA</strong></td>
<td>4.17</td>
</tr>
<tr>
<td><strong>SAT Total</strong></td>
<td>1176</td>
</tr>
<tr>
<td><strong>SAT Verbal</strong></td>
<td>569</td>
</tr>
<tr>
<td><strong>SAT Math</strong></td>
<td>607</td>
</tr>
</tbody>
</table>
# Admissions Data

<table>
<thead>
<tr>
<th>Special Consideration Cases</th>
<th>Athletes</th>
<th>Non-Athletes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enrolled</td>
<td>15</td>
<td>19</td>
</tr>
<tr>
<td>High School GPA</td>
<td>2.72</td>
<td>2.60</td>
</tr>
<tr>
<td>SAT Total</td>
<td>876</td>
<td>915</td>
</tr>
<tr>
<td>SAT Verbal</td>
<td>427</td>
<td>453</td>
</tr>
<tr>
<td>SAT Math</td>
<td>442</td>
<td>462</td>
</tr>
</tbody>
</table>
Academic Performance Of Special Consideration Cohorts
# NC State Academic Data

## Special Consideration Cases

<table>
<thead>
<tr>
<th></th>
<th>Athletes</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th>Non-Athletes</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cohort Size</strong></td>
<td>15</td>
<td>19</td>
<td>20</td>
<td>10</td>
<td>14</td>
<td></td>
<td>35</td>
<td>21</td>
<td>17</td>
<td>7</td>
<td>1</td>
</tr>
<tr>
<td><strong>Avg. GPA</strong></td>
<td>2.23</td>
<td>2.13</td>
<td>2.41</td>
<td>2.11</td>
<td>2.61</td>
<td></td>
<td>2.35</td>
<td>2.89</td>
<td>2.61</td>
<td>2.55</td>
<td>.90</td>
</tr>
<tr>
<td><strong>Avg. Hours Passed</strong></td>
<td>121.2</td>
<td>97.3</td>
<td>77.3</td>
<td>46.3</td>
<td>18.3</td>
<td></td>
<td>121.1</td>
<td>101.8</td>
<td>69.9</td>
<td>50.7</td>
<td>9.0</td>
</tr>
<tr>
<td><strong>Percent Enrolled</strong></td>
<td>40%</td>
<td>63%</td>
<td>80%</td>
<td>80%</td>
<td>100%</td>
<td></td>
<td>46%</td>
<td>75%</td>
<td>71%</td>
<td>86%</td>
<td>100%</td>
</tr>
<tr>
<td><strong>Total Enrolled</strong></td>
<td>6</td>
<td>12</td>
<td>16</td>
<td>8</td>
<td>14</td>
<td></td>
<td>16</td>
<td>15</td>
<td>12</td>
<td>6</td>
<td>1</td>
</tr>
</tbody>
</table>

*Avg. GPA and Avg. Hours Passed only include currently enrolled students.*

*Avg. Hours Passed includes all hours passed for academic progress.*

*Data reflects status as of Fall 2012 End of Term.*
# NC State Academic Data

## Special Consideration Athletes by Academic Program

<table>
<thead>
<tr>
<th>Academic Program</th>
<th>Fall 2008</th>
<th>Fall 2009</th>
<th>Fall 2010</th>
<th>Fall 2011</th>
<th>Fall 2012</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>CALS</td>
<td></td>
<td></td>
<td>1</td>
<td></td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>CED</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>CHASS</td>
<td>4</td>
<td>3</td>
<td>5</td>
<td>1</td>
<td></td>
<td>13</td>
</tr>
<tr>
<td>CNR</td>
<td>1</td>
<td>7</td>
<td>8</td>
<td></td>
<td></td>
<td>16</td>
</tr>
<tr>
<td>DUAP</td>
<td>2</td>
<td>1</td>
<td>8</td>
<td></td>
<td>12</td>
<td>23</td>
</tr>
<tr>
<td>MGMT</td>
<td></td>
<td></td>
<td>1</td>
<td>1</td>
<td></td>
<td>2</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>6</strong></td>
<td><strong>12</strong></td>
<td><strong>16</strong></td>
<td><strong>8</strong></td>
<td><strong>14</strong></td>
<td><strong>56</strong></td>
</tr>
</tbody>
</table>

Data reflects status as of Fall 2012 End of Term.
### NC State Academic Data

#### Special Consideration Non Athletes by Academic Program

<table>
<thead>
<tr>
<th>Academic Program</th>
<th>Fall 2008</th>
<th>Fall 2009</th>
<th>Fall 2010</th>
<th>Fall 2011</th>
<th>Fall 2012</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>CALS</td>
<td>4</td>
<td>3</td>
<td></td>
<td>1</td>
<td></td>
<td>8</td>
</tr>
<tr>
<td>CED</td>
<td></td>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>CHASS</td>
<td>6</td>
<td>4</td>
<td>3</td>
<td>1</td>
<td></td>
<td>14</td>
</tr>
<tr>
<td>CNR</td>
<td>2</td>
<td>4</td>
<td></td>
<td>1</td>
<td>1</td>
<td>8</td>
</tr>
<tr>
<td>COE</td>
<td></td>
<td></td>
<td>1</td>
<td></td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>DUAP</td>
<td></td>
<td>1</td>
<td>7</td>
<td>2</td>
<td></td>
<td>10</td>
</tr>
<tr>
<td>DN</td>
<td>1</td>
<td></td>
<td></td>
<td>1</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>TEX</td>
<td>3</td>
<td></td>
<td>1</td>
<td></td>
<td></td>
<td>4</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td>16</td>
<td>15</td>
<td>12</td>
<td>6</td>
<td>1</td>
<td>50</td>
</tr>
</tbody>
</table>

Data reflects status as of Fall 2012 End of Term.
Fall 2012 Total GPA Comparison

Data reflects status as of Fall 2012 End of Term.
## NC State Academic Data

### Special Consideration Athletes Summary

<table>
<thead>
<tr>
<th>Cohort Year</th>
<th>Total Enrolled</th>
<th>Graduated</th>
<th>Went Pro</th>
<th>withdrew</th>
<th>Withdrew - Not Eligible</th>
<th>Suspended</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>9</td>
<td>2</td>
<td>10</td>
<td>2</td>
<td></td>
<td></td>
<td>23</td>
</tr>
<tr>
<td>2006</td>
<td>15</td>
<td>2</td>
<td>1</td>
<td>3</td>
<td></td>
<td></td>
<td>21</td>
</tr>
<tr>
<td>2007</td>
<td>1</td>
<td>10</td>
<td>4</td>
<td>3</td>
<td>1</td>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>2008</td>
<td>6</td>
<td>4</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>15</td>
</tr>
<tr>
<td>2009</td>
<td>12</td>
<td></td>
<td>4</td>
<td>3</td>
<td></td>
<td></td>
<td>19</td>
</tr>
<tr>
<td>2010</td>
<td>17</td>
<td></td>
<td>1</td>
<td>2</td>
<td></td>
<td></td>
<td>20</td>
</tr>
<tr>
<td>2011</td>
<td>8</td>
<td></td>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td>10</td>
</tr>
<tr>
<td>2012</td>
<td>14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>14</td>
</tr>
<tr>
<td>Grand Total</td>
<td>58</td>
<td>38</td>
<td>8</td>
<td>21</td>
<td>7</td>
<td>10</td>
<td>142</td>
</tr>
</tbody>
</table>

*Data reflects status as of Oct. 29th, 2012*
## NC State Academic Data

### Special Consideration Non Athletes Summary

<table>
<thead>
<tr>
<th>Cohort Year</th>
<th>Total Enrolled</th>
<th>Graduated</th>
<th>Withdrew</th>
<th>Suspended</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>1</td>
<td>12</td>
<td>4</td>
<td>1</td>
<td>18</td>
</tr>
<tr>
<td>2006</td>
<td>2</td>
<td>10</td>
<td>2</td>
<td>4</td>
<td>18</td>
</tr>
<tr>
<td>2007</td>
<td>3</td>
<td>16</td>
<td>5</td>
<td></td>
<td>24</td>
</tr>
<tr>
<td>2008</td>
<td>10</td>
<td>12</td>
<td>10</td>
<td>3</td>
<td>35</td>
</tr>
<tr>
<td>2009</td>
<td>15</td>
<td></td>
<td>5</td>
<td>1</td>
<td>21</td>
</tr>
<tr>
<td>2010</td>
<td>12</td>
<td></td>
<td>2</td>
<td>3</td>
<td>17</td>
</tr>
<tr>
<td>2011</td>
<td>6</td>
<td></td>
<td>1</td>
<td></td>
<td>7</td>
</tr>
<tr>
<td>2012</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td>1</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>50</strong></td>
<td><strong>50</strong></td>
<td><strong>29</strong></td>
<td><strong>12</strong></td>
<td><strong>141</strong></td>
</tr>
</tbody>
</table>

Data reflects status as of Spring Census Date 2013
Assessment:

- Students admitted through the special consideration process are closely monitored and success rates are reported to the following:
  - Admissions Committee
  - Faculty Senate
  - Special Consideration Committee
  - Provost’s Athletics Roundtable
  - Board of Trustees
Conclusion / Questions
### Proposed Salary Ranges, Senior Academic & Administrative Officer (SAAO) Positions, FY 2013-14

<table>
<thead>
<tr>
<th>College/Division</th>
<th>Range Title</th>
<th>2013-14 NCSU Range Minimum</th>
<th>2013-14 NCSU Market Reference</th>
<th>2013-14 NCSU Range Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>OFFICE OF THE CHANCELLOR</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chancellor</td>
<td>Chancellor*</td>
<td>77,739</td>
<td>142,400</td>
<td>213,600</td>
</tr>
<tr>
<td>Chancellor</td>
<td>Asst to the Chancellor &amp; Secretary of the University</td>
<td>127,040</td>
<td>158,800</td>
<td>238,200</td>
</tr>
<tr>
<td>Chancellor</td>
<td>Director - Internal Audit</td>
<td>140,364</td>
<td>175,455</td>
<td>263,183</td>
</tr>
<tr>
<td>Chancellor</td>
<td>Asst to the Chancellor for Communication</td>
<td>51,200</td>
<td>64,000</td>
<td>96,000</td>
</tr>
<tr>
<td>Athletics</td>
<td>Director of Athletics</td>
<td>324,719</td>
<td>405,899</td>
<td>507,373</td>
</tr>
<tr>
<td>Athletics</td>
<td>Executive Senior Associate Athletics Director</td>
<td>118,680</td>
<td>148,350</td>
<td>185,438</td>
</tr>
<tr>
<td>Athletics</td>
<td>Associate Athletics Director</td>
<td>92,000</td>
<td>115,000</td>
<td>172,500</td>
</tr>
<tr>
<td>Athletics</td>
<td>Assistant Athletic Director for Corporate Relations</td>
<td>68,800</td>
<td>86,000</td>
<td>129,000</td>
</tr>
<tr>
<td><strong>General Counsel</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General Counsel</td>
<td>Vice Chancellor &amp; General Counsel*</td>
<td>141,334</td>
<td>176,667</td>
<td>265,001</td>
</tr>
<tr>
<td>General Counsel</td>
<td>Deputy General Counsel</td>
<td>113,066</td>
<td>141,333</td>
<td>212,000</td>
</tr>
<tr>
<td>General Counsel</td>
<td>Assoc General Counsel</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
<tr>
<td><strong>OFFICE OF THE VICE CHANCELLOR FOR RESEARCH, INNOVATION &amp; ECONOMIC DEVELOPMENT</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Vice Chancellor*</td>
<td>134,822</td>
<td>168,528</td>
<td>252,792</td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Assoc Vice Chancellor - Research Administration</td>
<td>109,884</td>
<td>137,355</td>
<td>206,033</td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Assoc Vice Chancellor - Research Development</td>
<td>111,733</td>
<td>139,666</td>
<td>209,499</td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Assoc Vice Chancellor - Partnerships &amp; Economic Development</td>
<td>120,000</td>
<td>150,000</td>
<td>225,000</td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Asst Vice Chancellor &amp; Executive Director SBTDC</td>
<td>112,000</td>
<td>140,000</td>
<td>210,000</td>
</tr>
<tr>
<td>Research, Innovation &amp; Economic Development</td>
<td>Asst Vice Chancellor - Research Development</td>
<td>112,000</td>
<td>140,000</td>
<td>210,000</td>
</tr>
<tr>
<td><strong>OFFICE OF THE VICE CHANCELLOR FOR INFORMATION TECHNOLOGY</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Information Technology</td>
<td>Vice Chancellor*</td>
<td>135,548</td>
<td>169,435</td>
<td>254,153</td>
</tr>
<tr>
<td>Information Technology</td>
<td>Asst Vice Chancellor - Enterprise Application Services</td>
<td>130,134</td>
<td>162,667</td>
<td>244,001</td>
</tr>
<tr>
<td>Information Technology</td>
<td>Director - Shared Services</td>
<td>109,884</td>
<td>137,355</td>
<td>206,033</td>
</tr>
<tr>
<td>Information Technology</td>
<td>Director - Communication Technologies</td>
<td>111,733</td>
<td>139,666</td>
<td>209,499</td>
</tr>
<tr>
<td>Information Technology</td>
<td>Director - Technology Support Services</td>
<td>94,885</td>
<td>133,200</td>
<td>199,800</td>
</tr>
<tr>
<td>Information Technology</td>
<td>Director - Infrastructure, Systems &amp; Operations</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
<tr>
<td><strong>OFFICE OF THE VICE CHANCELLOR FOR FINANCE &amp; BUSINESS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Finance &amp; Business</td>
<td>Vice Chancellor*</td>
<td>136,534</td>
<td>170,667</td>
<td>256,001</td>
</tr>
<tr>
<td>Finance &amp; Business</td>
<td>Executive Director - University Business Operations</td>
<td>120,000</td>
<td>150,000</td>
<td>225,170</td>
</tr>
<tr>
<td>Finance &amp; Business</td>
<td>Director - Finance, University Business Operations</td>
<td>84,000</td>
<td>108,000</td>
<td>162,000</td>
</tr>
<tr>
<td>Finance &amp; Business</td>
<td>Director - Planning &amp; Communication, F&amp;B</td>
<td>60,000</td>
<td>75,000</td>
<td>112,500</td>
</tr>
<tr>
<td>Campus Enterprises</td>
<td>Associate Vice Chancellor</td>
<td>124,478</td>
<td>155,598</td>
<td>233,397</td>
</tr>
<tr>
<td>Campus Enterprises</td>
<td>Sr Director - Hospitality Services</td>
<td>89,149</td>
<td>111,436</td>
<td>167,154</td>
</tr>
<tr>
<td>Campus Enterprises</td>
<td>Sr Director - Financial Operations</td>
<td>89,149</td>
<td>111,436</td>
<td>167,154</td>
</tr>
<tr>
<td>Campus Enterprises</td>
<td>Director - Trademark Licensing</td>
<td>60,000</td>
<td>75,000</td>
<td>112,500</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Associate Vice Chancellor</td>
<td>149,035</td>
<td>186,294</td>
<td>279,441</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Director - Police</td>
<td>125,743</td>
<td>157,179</td>
<td>235,769</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Deputy Director - Police</td>
<td>86,400</td>
<td>108,000</td>
<td>162,000</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Director - EHS</td>
<td>120,090</td>
<td>150,113</td>
<td>225,170</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Director - Transportation</td>
<td>95,715</td>
<td>119,644</td>
<td>179,466</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Director - Risk Management</td>
<td>87,466</td>
<td>109,333</td>
<td>164,000</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Manager, EHS</td>
<td>87,466</td>
<td>109,333</td>
<td>164,000</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Radiation Safety Officer</td>
<td>87,466</td>
<td>109,333</td>
<td>164,000</td>
</tr>
<tr>
<td>Environmental Health &amp; Public Safety</td>
<td>Director - Technology &amp; Security</td>
<td>95,715</td>
<td>119,644</td>
<td>179,466</td>
</tr>
<tr>
<td>Centennial Campus Development</td>
<td>Associate Vice Chancellor</td>
<td>124,000</td>
<td>155,000</td>
<td>232,500</td>
</tr>
<tr>
<td>Facilities</td>
<td>Associate Vice Chancellor</td>
<td>157,600</td>
<td>197,000</td>
<td>295,500</td>
</tr>
<tr>
<td>Facilities</td>
<td>Asst Vice Chancellor for Facilities Operations</td>
<td>110,400</td>
<td>138,000</td>
<td>207,000</td>
</tr>
<tr>
<td>Facilities</td>
<td>University Architect</td>
<td>120,640</td>
<td>150,800</td>
<td>226,200</td>
</tr>
<tr>
<td>Facilities</td>
<td>Director - Capital Project Design</td>
<td>102,400</td>
<td>128,000</td>
<td>192,000</td>
</tr>
<tr>
<td>Facilities</td>
<td>Director - Design &amp; Construction Services</td>
<td>102,400</td>
<td>128,000</td>
<td>192,000</td>
</tr>
<tr>
<td>Facilities</td>
<td>Director - Building Maintenance &amp; Operations</td>
<td>102,400</td>
<td>128,000</td>
<td>192,000</td>
</tr>
<tr>
<td>Facilities</td>
<td>Director - Utility Infrastructure Planning</td>
<td>82,400</td>
<td>103,000</td>
<td>154,500</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>Associate Vice Chancellor</td>
<td>192,000</td>
<td>240,000</td>
<td>360,000</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>University Budget Officer</td>
<td>114,149</td>
<td>142,686</td>
<td>214,029</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>Associate Director - Budget</td>
<td>81,600</td>
<td>102,000</td>
<td>153,000</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>Director - Cost Analysis</td>
<td>96,000</td>
<td>120,000</td>
<td>180,000</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>Director, Contracts &amp; Grants</td>
<td>118,830</td>
<td>148,538</td>
<td>222,807</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>University Controller</td>
<td>140,080</td>
<td>175,100</td>
<td>262,650</td>
</tr>
<tr>
<td>Finance &amp; Resource Management</td>
<td>Associate Controller</td>
<td>96,128</td>
<td>120,160</td>
<td>180,240</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Associate Vice Chancellor*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Benefits</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Classification &amp; Compensation</td>
<td>80,000</td>
<td>100,000</td>
<td>150,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - EPA Personnel Administration</td>
<td>80,000</td>
<td>100,000</td>
<td>150,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Employee Relations</td>
<td>80,000</td>
<td>100,000</td>
<td>150,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Employment Svs</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - HR Information Management</td>
<td>86,400</td>
<td>108,000</td>
<td>162,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Payroll</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
<tr>
<td>Human Resources</td>
<td>Director - Training &amp; Org Development</td>
<td>80,000</td>
<td>100,000</td>
<td>150,000</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Associate Vice Chancellor &amp; Treasurer</td>
<td>220,000</td>
<td>275,000</td>
<td>412,500</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Sr Director - Foundations Accounting &amp; Investments</td>
<td>140,000</td>
<td>175,000</td>
<td>262,500</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>University Cashier</td>
<td>94,336</td>
<td>117,920</td>
<td>176,880</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Director - Purchasing</td>
<td>107,346</td>
<td>134,182</td>
<td>201,273</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Director - Investments</td>
<td>120,000</td>
<td>150,000</td>
<td>225,000</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Director - Strategic Debt Management</td>
<td>105,600</td>
<td>132,000</td>
<td>198,000</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Director - Real Estate</td>
<td>102,441</td>
<td>128,051</td>
<td>192,077</td>
</tr>
<tr>
<td>Treasurer’s Office</td>
<td>Controller - Foundations Accounting &amp; Investments</td>
<td>84,800</td>
<td>106,000</td>
<td>159,000</td>
</tr>
</tbody>
</table>

**OFFICE OF THE VICE CHancellor FOR UNIVERSITY ADVANCEMENT (including college- & unit-based advancement positions)**

| University Advancement                          | Vice Chancellor*          | 140,000 | 175,000 | 262,500 |
| University Advancement                          | Assoc Vice Chancellor - Advancement Services | 140,000 | 175,000 | 262,500 |
| University Advancement                          | Assoc Vice Chancellor - Alumni Relations | 156,948 | 196,185 | 294,278 |
| University Advancement                          | Assoc Vice Chancellor - Development | 105,066 | 131,333 | 197,000 |
| University Advancement                          | Assoc Vice Chancellor - Communications | 105,066 | 131,333 | 197,000 |
| University Advancement                          | Executive Director - Annual Fund | 97,109  | 121,586 | 182,079 |
| University Advancement                          | Executive Director - Corporate & Foundation Relations | 100,000 | 125,000 | 187,500 |
| University Advancement                          | Executive Director - Planned Giving | 108,364 | 135,455 | 203,183 |
| University Advancement                          | Senior Director - Advancement Services | 80,000  | 100,000 | 150,000 |
| University Advancement                          | Director - Corporate & Foundation Relations | 88,000  | 110,000 | 165,000 |
| University Advancement                          | Director - Regional Major Gifts | 92,000  | 115,000 | 172,000 |
| University Advancement                          | Director - Advancement Finance & Administration | 84,000  | 105,000 | 157,500 |
| University Advancement                          | Director - Development Alumni Relations | 51,801  | 64,751  | 97,127 |
| University Advancement                          | Director - Development Communications & Donor Relations | 66,240  | 82,800  | 124,200 |
| University Advancement                          | Associate Director - Corporate & Foundation Relations | 73,600  | 92,000  | 138,600 |
| College/Unit Advancement                        | Executive Director - College Advancement, CALS | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - CVM | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - ENG | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - FCOM | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - COS | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - TEXT | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - Development, CALS | 94,826  | 118,533 | 177,800 |
| College/Unit Advancement                        | Executive Director - Development, CALS 4H Youth Devel | 74,026  | 92,533  | 138,800 |
| College/Unit Advancement                        | Executive Director - CALS EXT | 74,026  | 92,533  | 138,800 |
| College/Unit Advancement                        | Executive Director - CALS NCARS | 74,026  | 92,533  | 138,800 |
| College/Unit Advancement                        | Executive Director - CHASS | 83,466  | 104,333 | 156,500 |
| College/Unit Advancement | Executive Director - NAT RES | 83,466 | 104,333 | 156,500 |
| College/Unit Advancement | Director - Constituency Development | 74,026 | 92,533 | 138,800 |
| College/Unit Advancement | Director - CVM | 74,026 | 92,533 | 138,800 |
| College/Unit Advancement | Assoc Executive Director - ENG Major Gifts Officer | 65,600 | 82,000 | 123,000 |
| College/Unit Advancement | Director - DESIGN | 65,600 | 82,000 | 123,000 |
| College/Unit Advancement | Director - EDU | 65,600 | 82,000 | 123,000 |
| College/Unit Advancement | Associate Director - CHASS | 65,600 | 82,000 | 123,000 |
| College/Unit Advancement | Associate Director - CVM | 65,600 | 82,000 | 123,000 |
| College/Unit Advancement | Associate Director - Textiles | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Executive Director - CALS Ag Found | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Development Officer - Natural Resources | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Executive Director - CALS NC Landscapes of Opportunity Fund | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Executive Director - CALS Dairy & Tobacco | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Associate Director - Constituency Development | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - CALS Departmental | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - CALS Extension | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - CALS Gift Planning | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - CALS PFA Foundation | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - Engineering | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - ENG Development | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - College Relations | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Director - Donor & Alumni Relations, CHASS | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Assistant Director - CALS 4H | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Assistant Director - Development, Engineering | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Assistant Director - Development, COS | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Assistant Director - Development, CHASS | 52,800 | 66,000 | 99,000 |
| College/Unit Advancement | Assistant Director - Development, Kenan Institute | 52,800 | 66,000 | 99,000 |

**OFFICE OF THE PROVOST & EXECUTIVE VICE CHANCELLOR**

| Office of the Provost | Provost & Executive Vice Chancellor* | 175,427 | 219,284 | 328,926 |
| Office of the Provost | Sr Vice Provost - Academic Outreach & Entrepreneurship | 175,427 | 219,284 | 328,926 |
| Office of the Provost | Sr Vice Provost - Academic Strategy & Resource Management** | 175,427 | 219,284 | 328,926 |
| Office of the Provost | Vice Provost - Faculty Affairs | 132,000 | 165,000 | 247,500 |
| Office of the Provost | Asst Vice Provost - Finance & Planning | 99,088 | 123,860 | 185,790 |
| Office of the Provost | Asst Vice Provost - Hugh Shelton Leadership Initiative | 88,000 | 110,000 | 165,000 |

**DASA - Division of Academic & Student Affairs**

| DASA - Division of Academic & Student Affairs | Vice Chancellor & Dean* | 122,340 | 152,925 | 229,388 |
| DASA - Division of Academic & Student Affairs | Vice Provost - Academic Programs & Services | 122,340 | 152,925 | 229,388 |
| DASA - Division of Academic & Student Affairs | Vice Provost - Arts NC State | 122,340 | 152,925 | 229,388 |
| DASA - Division of Academic & Student Affairs | Vice Provost - Residential Life | 122,340 | 152,925 | 229,388 |
| DASA - Division of Academic & Student Affairs | Vice Provost - Student Development, Health & Wellness | 122,340 | 152,925 | 229,388 |
| DASA - Division of Academic & Student Affairs | Director - Finance, DASA | 84,000 | 105,000 | 157,500 |
| DASA - Division of Academic & Student Affairs | Director - Housing Finance & Administration | 80,000 | 100,000 | 150,000 |
| DASA - Division of Academic & Student Affairs | Director - Arts Development | 52,800 | 66,000 | 99,000 |
| DASA - Division of Academic & Student Affairs | Assoc Vice Provost - Academic Programs & Services | 88,000 | 110,000 | 165,000 |
| DASA - Division of Academic & Student Affairs | Director - Finance, Academic Programs & Services | 66,400 | 83,000 | 124,500 |

**DELTA**

| DELTA | Assoc Vice Provost - Instructional Technology Support & Development | 84,000 | 105,000 | 157,500 |
| DELTA | Assoc Vice Provost - Distance & Distributed Education | 84,000 | 105,000 | 157,500 |
| DELTA | Assoc Vice Provost - Marketing & Partnership Development | 80,000 | 100,000 | 150,000 |

**Enrollment Management & Services**

| Enrollment Management & Services | Vice Provost | 152,071 | 190,089 | 285,134 |
| Enrollment Management & Services | Assoc Vice Provost - EMAS | 90,026 | 112,533 | 168,800 |
| Enrollment Management & Services | Asst Vice Provost - Finance, EMAS | 77,654 | 97,067 | 145,601 |
| Enrollment Management & Services | Director - Admissions | 127,000 | 158,750 | 238,125 |
| Enrollment Management & Services | Director - Scholarships & Financial Aid | 109,757 | 137,196 | 205,794 |

**Institutional Equity & Diversity**

| Institutional Equity & Diversity | Vice Provost | 120,000 | 150,000 | 225,000 |
| Institutional Equity & Diversity | Assoc Vice Provost - Equal Opportunity | 88,000 | 110,000 | 165,000 |
| Institutional Equity & Diversity | Asst Vice Provost - Faculty Diversity | 80,000 | 100,000 | 150,000 |
| Institutional Equity & Diversity | Asst Vice Provost - Student Diversity | 68,000 | 85,000 | 127,500 |
| Institutional Equity & Diversity | Director - Staff Diversity | 68,000 | 85,000 | 127,500 |

**Institutional Research & Planning**

<p>| Institutional Research &amp; Planning** | Sr Vice Provost | 160,494 | 200,617 | 300,926 |
| Institutional Research &amp; Planning** | Director | 104,960 | 131,200 | 196,800 |
| Institutional Research &amp; Planning** | Asst Director - Accreditation | 56,000 | 70,000 | 105,000 |</p>
<table>
<thead>
<tr>
<th>College</th>
<th>Title</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Dean</td>
<td>199,977</td>
<td>249,971</td>
<td>374,957</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Assoc Dean &amp; Director - Academic Affairs</td>
<td>128,617</td>
<td>160,771</td>
<td>241,157</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Assoc Dean - Administration</td>
<td>128,617</td>
<td>160,771</td>
<td>241,157</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Assoc Dean - NC Agricultural Research Service</td>
<td>128,617</td>
<td>160,771</td>
<td>241,157</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Assoc Dean &amp; Director - Extension</td>
<td>128,617</td>
<td>160,771</td>
<td>241,157</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Asst Dean - Diversity, Outreach &amp; Engagement</td>
<td>107,200</td>
<td>134,000</td>
<td>201,000</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Asst Dean - Finance &amp; Business</td>
<td>99,088</td>
<td>123,860</td>
<td>185,790</td>
<td></td>
</tr>
<tr>
<td>College of Agriculture &amp; Life Sciences**</td>
<td>Asst Dean - Human Resources</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Dean</td>
<td>190,480</td>
<td>238,100</td>
<td>357,150</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Assoc Dean - Academic Affairs</td>
<td>107,400</td>
<td>134,250</td>
<td>201,375</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Assoc Dean - Graduate Studies, Research &amp; Extension</td>
<td>107,400</td>
<td>134,250</td>
<td>201,375</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Asst Dean - Student Affairs</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Asst Dean - Research &amp; Extension</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Design</td>
<td>Asst Dean - Budget &amp; Administration</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>Dean</td>
<td>195,064</td>
<td>243,830</td>
<td>365,745</td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>Assoc Dean - Academic Affairs</td>
<td>117,120</td>
<td>146,400</td>
<td>219,600</td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>Assoc Dean - Research &amp; Administration</td>
<td>117,120</td>
<td>146,400</td>
<td>219,600</td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>Asst Dean - Student Services</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Education</td>
<td>Asst Dean - Finance</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Dean</td>
<td>245,400</td>
<td>306,750</td>
<td>460,125</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Assoc Dean - Academic Affairs</td>
<td>155,096</td>
<td>168,870</td>
<td>255,305</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Assoc Dean - Research &amp; Graduate Programs</td>
<td>155,096</td>
<td>168,870</td>
<td>255,305</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Assoc Dean - Faculty Development</td>
<td>155,096</td>
<td>168,870</td>
<td>255,305</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Asst Dean - Academic Affairs</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Asst Dean - Student Services &amp; Dir - Minority Programs</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Asst Dean - Finance &amp; Business Management</td>
<td>99,088</td>
<td>123,860</td>
<td>185,790</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Asst Dean - Personnel &amp; Administration</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Exce Director - Industrial Extension Service</td>
<td>112,000</td>
<td>140,000</td>
<td>210,000</td>
<td></td>
</tr>
<tr>
<td>College of Engineering</td>
<td>Director - Finance &amp; Administration, ECE</td>
<td>73,600</td>
<td>92,000</td>
<td>138,000</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Dean</td>
<td>176,000</td>
<td>220,000</td>
<td>330,000</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Assoc Dean - Academic Affairs</td>
<td>117,120</td>
<td>146,400</td>
<td>219,600</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Assoc Dean - Research</td>
<td>117,120</td>
<td>146,400</td>
<td>219,600</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Asst Dean - Interdisciplinary Studies</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Asst Dean - Undergraduate Programs</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Humanities &amp; Social Sciences</td>
<td>Asst Dean - Finance &amp; Business</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>Dean</td>
<td>181,692</td>
<td>227,115</td>
<td>340,673</td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>Assoc Dean - Academic Affairs</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>Assoc Dean - Research</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>Asst Dean - Extension</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Natural Resources</td>
<td>Asst Dean - Finance &amp; Business</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Sciences**</td>
<td>Dean</td>
<td>224,795</td>
<td>280,994</td>
<td>421,491</td>
<td></td>
</tr>
<tr>
<td>College of Sciences**</td>
<td>Assoc Dean - Administration</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Sciences**</td>
<td>Assoc Dean - Academic Affairs</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Sciences**</td>
<td>Assoc Dean - Research</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Dean</td>
<td>224,795</td>
<td>280,994</td>
<td>421,491</td>
<td></td>
</tr>
<tr>
<td>--------------------------</td>
<td>-------------------------------</td>
<td>---------</td>
<td>---------</td>
<td>---------</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Assoc Dean - Academic Programs</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Assoc Dean - Industry Research &amp; Extension</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Assoc Dean - Research</td>
<td>120,960</td>
<td>151,200</td>
<td>226,800</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Asst Dean - Finance &amp; Administration</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
<tr>
<td>College of Textiles</td>
<td>Asst Dean - Information Technology</td>
<td>81,600</td>
<td>102,000</td>
<td>153,000</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Dean</td>
<td>198,000</td>
<td>247,500</td>
<td>371,250</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Assoc Dean - Enterprise Development</td>
<td>130,820</td>
<td>163,352</td>
<td>245,028</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Assoc Dean - Academic Affairs</td>
<td>130,820</td>
<td>163,352</td>
<td>245,028</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Assoc Dean - Vet Med Services</td>
<td>130,820</td>
<td>163,352</td>
<td>245,028</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Assoc Dean - Research &amp; Graduate Studies</td>
<td>130,820</td>
<td>163,352</td>
<td>245,028</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Asst Dean - Finance &amp; Business</td>
<td>99,088</td>
<td>123,860</td>
<td>185,790</td>
<td></td>
</tr>
<tr>
<td>College of Veterinary Medicine</td>
<td>Asst Dean - Personnel</td>
<td>76,000</td>
<td>95,000</td>
<td>142,500</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Dean</td>
<td>175,427</td>
<td>219,284</td>
<td>328,926</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Assoc Dean - Student Affairs &amp; Professional Development</td>
<td>134,720</td>
<td>168,400</td>
<td>252,600</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Assoc Dean - Program Evaluation</td>
<td>107,520</td>
<td>134,400</td>
<td>201,600</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Asst Dean - Academic Affairs</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Asst Dean - Business Operations</td>
<td>99,088</td>
<td>123,860</td>
<td>185,790</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Asst Dean - Professional Education</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Asst Dean - Student Affairs</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>Graduate School</td>
<td>Asst Dean - Program Development</td>
<td>100,000</td>
<td>125,000</td>
<td>187,500</td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>Dean</td>
<td>318,898</td>
<td>398,623</td>
<td>597,935</td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>Assoc Dean - Undergraduate Programs</td>
<td>162,307</td>
<td>204,134</td>
<td>306,201</td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>Assoc Dean &amp; Director - Grad Programs &amp; Research</td>
<td>162,307</td>
<td>204,134</td>
<td>306,201</td>
<td></td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>Asst Dean - Finance &amp; Business</td>
<td>84,000</td>
<td>105,000</td>
<td>157,500</td>
<td></td>
</tr>
</tbody>
</table>

* Ranges established by UNC General Administration

** Units undergoing organizational changes effective July 1, 2013.
PROPOSED REVISION TO: POL 09.00.02, Drug Education, Screening, and Counseling Program

**Rationale:** The Department of Athletics seeks to revise POL 09.00.02, Drug Education, Screening, and Counseling Program, (“Policy”). The Policy has been split into two documents, The Policy and The Program. Revisions to the Policy include:

- Board of Trustees retains oversight of The Policy which provides updated definitions, purposes, goals, mandates and prohibitions on the use of NCAA banned substances.
- The Chancellor will acquire oversight of The Drug Education, Screening, Counseling, and Deterrence Program which pertains to the procedural components of the current policy. Removing The Program from a PRR will permit the university to be more flexibility in amending these components should NCAA policies or drug testing procedures change.
- Adding a statement of prohibition (in Policy Sections 1 & 3), as former policy does not state that student-athletes are prohibited from using illegal or performance enhancing drugs.

**Consultation Process:**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>4/19/12</td>
<td>Transmittal of Policy for review</td>
</tr>
<tr>
<td>5/01/12</td>
<td>General Counsel preliminary review</td>
</tr>
<tr>
<td>5/30/12-2/28/13</td>
<td>Athletics review</td>
</tr>
<tr>
<td>3/13/13</td>
<td>Director of Athletics review</td>
</tr>
<tr>
<td>3/13/13</td>
<td>General Counsel final review</td>
</tr>
<tr>
<td>3/19/13</td>
<td>Chancellor Review</td>
</tr>
<tr>
<td>3/19/13</td>
<td>EOM Review</td>
</tr>
<tr>
<td>______</td>
<td>Board of Trustees approval</td>
</tr>
</tbody>
</table>

**Authority**

**Board of Trustees**

**Title**

**Drug Education, Screening, Counseling, and Deterrence Policy**

**Classification**

**POL09.00.2**

**PRR Subject**

**Intercollegiate Athletics**

**Contact Info**

**Assistant Athletics Director for Sports Medicine (919-513-4442)**

Related Policies:
UNC Policy 1100.1 - Intercollegiate Athletics
UNC Code: Appendix XIII - Intercollegiate Athletics
REG XX.XX.XX—Drug Education, Screening, Counseling, and Deterrence Program

Additional References:
Drug Education, Screening, Counseling, and Deterrence Program Form

1. INTRODUCTION

The illegal and improper use of drugs is a matter of deep concern and importance at North Carolina State University (NC State). Of particular concern is drug abuse by student-athletes. Student-athletes are often subject to performance, social and personal pressures that might make them vulnerable to this dangerous activity. Student-athletes should not be engaged in impermissible drug use. NC State is committed to help its student-athletes avoid these hazards and consequences.

2. DEFINITIONS

For purposes of this policy:

2.1 The term Chancellor includes his or her designees or appointees.

2.2 The terms “illegal drugs”, “prohibited substances”, and “prohibited drugs” should be considered synonymous unless otherwise noted within this policy. These terms mean those drugs or substances categorized in the NCAA-Banned-Drug Classes list, which is updated and revised periodically. A copy of this list can be found online at www.ncaa.org. NC State reserves the right to modify the NCAA banned list at any time.

3. POLICY MANDATES AND PROHIBITIONS

3.1 Illegal, non-medical drug use is detrimental to the student-athlete, against the law, and a violation of team and NCAA rules. To that end, the use of any NCAA banned substance/drug, which includes but is not limited to recreational drugs, anabolic agents, masking agents, and non-prescribed/undocumented stimulants and opiates, is prohibited.

3.2 Student-athletes are prohibited from using, possessing, purchasing, selling and/or participating in the distribution of any prohibited substance.
3.3 The illegal acquisition, distribution, and/or misuse of any legal prescription or over-the-counter drug are strictly prohibited.

3.4 The Chancellor shall ensure that a mandatory drug education, screening, counseling and deterrence program for students athletes is implemented and monitored.

3.5 All student-athletes involved in NC State's intercollegiate athletic teams must comply with this policy in order to participate on an NC State’s intercollegiate athletic team.

4. **PURPOSES OF THE POLICY**

There are four primary purposes for this policy. These purposes are:

4.1 **Preservation and Enhancement of a Student Athlete's Health.** Many drugs, when used in conjunction with athletic activities or physical conditioning programs pose serious risks to the health of the athlete. Periodic or sustained illness, temporary or permanent injury, and even death can be caused by such drug use.

4.2 **Preservation of the Health of Other Athletes and Members of the University Community.** Drug use by an athlete can cause physical and mental damage to the athlete that might endanger other persons in contact with the drug user. These other individuals include, but are not limited to team members, residence hall neighbors/roommates, and faculty and staff in contact with the athlete. The health and safety of these other persons is an important concern of NC State.

4.3 **Avoidance of Unfair Advantage.** The use of certain drugs may temporarily improve athletic performance and thereby create an unfair competitive advantage for the athlete using them. Such uses of drugs violate the basic principles of sportsmanship, the rules of the university of conferences and associations dedicated to athletic competition and the laws of the State of North Carolina.

4.4 **Avoidance of Harmful Influence.** Intercollegiate athletes frequently garner significant publicity and are viewed as role models for numerous young impressionable children and teenagers. The use of illegal drugs by these athletes can negatively influence these young people, as well as damage the reputation of the athletic program, the university and the State of North Carolina. The avoidance of such damaging influence is an important objective of NC State.

5. **POLICY GOALS**

There are four goals of this policy. These goals are (1) to provide an educational program that informs student-athletes about the perils of drug abuse as a means of prevention; (2) to discourage the misuse of drugs with randomized testing; (3) to identify the student-athlete who is a substance abuser through periodic testing and provide medical treatment once identified; and (4) to reinforce and regulate the compliance of any student-athlete found to have violated the requirements of this policy.
<table>
<thead>
<tr>
<th>Sport / Staff</th>
<th>2013-14 Proposed Stance Structure</th>
<th>Academic</th>
<th>ACC Regular Season</th>
<th>ACC Tournament</th>
<th>NCAA Tournament</th>
<th>Ranking</th>
<th>Top 25(^{rd}) Final (\text{#})(^{rd}) National Championship</th>
<th>Maximum Annual Bonus</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>4-year APR&lt;sup&gt;a&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;b&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;c&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;\text{Final #}(^{rd}) National Champion</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Women's Basketball</td>
<td></td>
<td>4-year APR&lt;sup&gt;a&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;b&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;c&lt;/sup&gt;</td>
<td>4-year APR&lt;sup&gt;\text{Final #}(^{rd}) National Champion</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Head Coach</td>
<td>Total Salary: $385,000</td>
<td>$25,000</td>
<td>$25,000</td>
<td>$25,000</td>
<td>$25,000</td>
<td>$25,000</td>
<td>$20,000</td>
<td>$100,000</td>
</tr>
<tr>
<td>Assistant Coach</td>
<td>Total Salary: $89,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$100,000</td>
</tr>
<tr>
<td>Assistant Coach</td>
<td>Total Salary: $89,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$10,000</td>
<td>$100,000</td>
</tr>
<tr>
<td>Of Operations</td>
<td>Total Salary: $42,800</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$100,000</td>
</tr>
<tr>
<td>Of High School Administrations</td>
<td>Total Salary: $33,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$5,000</td>
<td>$100,000</td>
</tr>
</tbody>
</table>

<sup>a</sup> 4-year APR is Top 5% of ACC 4-year APR is Top 5% of ACC Public
<sup>b</sup> 4-year APR is Top 5% of ACC 4-year APR is Top 5% of ACC Public
<sup>c</sup> 4-year APR is Top 5% of ACC 4-year APR is Top 5% of ACC Public

FACULTY SENATE UPDATE
Report from the Chair of the NC State Faculty

to the North Carolina State University Board of Trustees,

submitted March 27, 2013

This semester a number of campus initiatives have begun or re-begun. The Salary Equity Team has been meeting in order to review the kinds of data that will used to produce a new report along the lines of the various reports of the last decade. This process has been dormant while there was no money for salary increments, but it is now time to see where the university stands in terms of salary equity.

The QEP -- Critical and Creative thinking -- has an initial proposal, tentatively called "I Think" -- which is being presented to campus groups, following a retreat several weeks ago. The proposal focuses on first-year students and proposes to train a group of core faculty. A short definition: Critical thinking is the active, persistent, and careful consideration of beliefs or knowledge in light of evidence, and creative thinking is the generation of new ideas. Critical and creative thinking are fundamental to human intellectual progress and artifacts thereof. Depending on context and purpose, critical and creative thinking skills can be interdependent or separately applied.

Centennial Campus Vision 2034 is gathering a group of stakeholders to discuss the future of that part of the University. Administrators, businessmen, faculty, and students getting together to produce "big ideas." Among the ideas discussed was the need to ensure that NC State becomes "One Campus", rather than a divided institution. This would be a big undertaking, both physically and academically, and resources would need to be found. The importance of such an undertaking, however, is clear. Universities educate entire human beings; a campus divided by disciplines benefits no one.

Recent Faculty Senate meetings have featured a discussion of the "Brand Refresh" with Brad Bohlander, Director of University Communications. Senators expressed hopes that any work on the University brand will take note of the overall quality of the University and its duty to educate rather than train. The Campaign Priorities initiative was presented by Vice-Chancellor Mike Mullen; this group is also looking for "big ideas"
to help in focusing discussions regarding the forthcoming fundraising campaign. Willa Casstevens, from the Department of Social Work, described her work on suicide prevention among college students, for whom suicide is a leading cause of death. And Bill Winner, Professor, Environmental Science and Natural Resources cohead of the Campus Sustainability Task Force, presented the work of that group, which has submitted a report for campus discussion. The draft sustainability policy may be found at:

http://go.ncsu.edu/sustainabilitypolicy

One issue continues to be of concern to the NC State faculty because it concerns a matter of consequence to the University and is not widely understood by the campus community. The sale of the Hoffman Forest continues to be discussed. The issues are several. First, if the forest is a University asset amounting to 15% of the endowment, is it appropriate that so few people understand the history of the forest, who has made the decision to sell it, or why it has been made? Given the academic purposes of the forest, is it appropriate that there have not been broad public discussions of the proper use of the forest and the merits of its sale? Finally, there are financial aspects to a sale that must be open and above reproach -- brokerage, legal representation, and other details. These and other questions are of interest to the faculty because they affect the endowment and need close attention to business ethics. We believe that continued public discussions will be useful, and that a broad University examination of the role of foundations is overdue.

Senate elections come in the spring. Elections of Senators and members of grievance panels engage the General Faculty, and are currently taking place electronically. Elections of Secretary of the Faculty, Athletics Council, and Faculty Assembly are done by the Senate, and were held at the March 19 Senate meeting. The Secretary of the Faculty for 2013 -- 2015 will be Dennis Daley, Public and International Affairs, (a former Chair of the Faculty). Hans Kellner was elected to the Faculty Assembly.

The Chair of the Faculty has been meeting occasionally for lunch with the Staff Senate Chair and the head of Student Government. These lunches have been fruitful exchanges of perspectives, and I hope they will continue next year when the leadership changes.
On April 2 the General Faculty will meet in the Talley Center. In addition to Chancellor Woodson, who will speak about the governor's proposed budget, and Provost Arden, who will speak about the financing of the NC state Strategic Plan, we will host Pres. Tom Ross, who will speak about the UNC Strategic Plan.

Respectfully submitted,

Hans Kellner,

Chair of the NC State Faculty
STAFF SENATE UPDATE
March 21, 2013

Honorable Trustees:

**Commitment to Excellence** ... this is the phrase I would use to sum up the attitude and work of this year’s NC State Staff Senate. The opportunities this organization has provided staff and faculty across this campus and throughout the state of NC have been numerous, educational, diverse and have been planned with the intent of helping to make NC State a best place to work and learn, not only for students, but for all others associated with the daily operation of this wonderful institution.

I have shared with you in previous reports the many educational sessions provided by the members of the Human Resources and Resource and Environment committees. Now I share with you the most recent work, and collaborations, put forth by members of the Diversity Committee:

**HOLI (A Celebration of Colors)** - Lunch & Learn, March 12th, Collaboration with Maitri (Indian Graduate Student Organization)

**Multiculturalism, Diversity, and Suicide Prevention Training**, April 3rd, included a live link available for remote participation, Collaboration with Department of Social Work

**Origami** - Lunch & Learn, May 1st, Collaboration with Japan Center at NC State

The commitment this group has to align itself with the NC State Strategic Plan and aid in working to create a culture of constant improvement on this campus is commendable. In a recent meeting with Vice Chancellor Leffler and Associate Vice Chancellor Carroll I discussed the Senate’s desire to assist Human Resources on a larger scale as a resource for future employee appreciation events. The Senate sees this as an opportunity to assist HR in their efforts to provide events and activities designed to build morale and express gratitude to employees across campus.

And in the spirit of building morale and expressing gratitude, the Senate has launched an effort to recognize staff for the work they do on a daily basis. The new “**Give a Shout Out to Staff**” campaign was established as a program mirroring the “Thank a Teacher” program and designed to recognize staff who, daily, make NC State a great place to work and deserve additional praise for going above and beyond the realm of their job. To date, over 30 letters have been sent to staff, faculty and campus administrators in appreciation for their extra efforts as noted by students, faculty and fellow staff members. The success of this program has already created a buzz around campus and has brought forth responses from employees like this:
“I just got my "shout out!" Thank you so much, that totally made my day! Heck, it made my month!! I didn't even know those existed! So incredibly nice of you to do that!!”

And this one from a student expressing gratitude to an employee in University Dining:

“Mr. Lark is an incredible person. He works at Clark Dining Hall, and is one of the most positive people I have ever met. Every chance I get, I eat breakfast at the dining hall to talk to Mr. Lark and get one of his perfect omelets. He is exceptionally kind to everyone who passes by, asking about their lives and wishing them a wonderful day. I have often spoken with him and heard him talk about how much he loves his job. Charles comes to work every day with a smile on his face and a glowing expression that rubs off on everyone. The only way anyone could have a bad day after speaking to Charles would be to explicitly attempt it. Charles Lark deserves a huge shout-out, and enormous recognition for his phenomenal service to the students and to the university.”

Extra effort awards such as these are a positive, and necessary, approach to keeping employees happy. And, it’s important to let people know they are making a difference in the lives of the people they come in contact with daily. I look forward to seeing the number of ‘shout outs’ grow as our campus becomes more aware of this important program.

At this time, I would like to say that it has been my pleasure to serve as the 2012-13 Chair of the NC State Staff Senate. The experiences I’ve had, committees on which I’ve served, and more importantly, the people I’ve met along the way, have enriched my life and my work environment. I am proud to be an NC State alum, and staff member, and I look forward to continuing on at this incredible institution for many years to come.

Respectfully submitted,

Susan Colby, Chair
Staff Senate 2012-13
NC State University
BOARD OF TRUSTEES
NORTH CAROLINA STATE UNIVERSITY
Nominating Committee
8:00 a.m., Thursday, April 18, 2013

Ms. Susan Ward, Chair
(Mattocks, Sall)

AGENDA

CALL TO ORDER AND ETHICS STATEMENT

1. Review of Nominating Committee Guidelines
   Ms. Susan Ward, Chair

2. Recommendations for Board Officers
   Chair
   First Vice Chair
   Second Vice Chair
   Secretary
   Assistant Secretary

ADJOURN
NORTH CAROLINA STATE ETHICS COMMISSION

SAMPLE

ETHICS AWARENESS & CONFLICT OF INTEREST REMINDER

(to be read by the Chair or his or her designee at the beginning of each meeting)

________________________________________________________________

In accordance with the State Government Ethics Act, it is the duty of every [Board] member to avoid both conflicts of interest and appearances of conflict.

Does any [Board] member have any known conflict of interest or appearance of conflict with respect to any matters coming before the [Board] today?

If so, please identify the conflict or appearance of conflict and refrain from any undue participation\(^2\) in the particular matter involved.

Rev. 1-16-07

---

1 N.C.G.S. §138A-15 (e): “At the beginning of any meeting of a board, the chair shall remind all members of their duty to avoid conflicts of interest and appearances of conflict under [Chapter 138A].” There is no set language required by the Act. Specific language can and should be tailored to fit the needs of each covered board as necessary.

2 “A public servant shall take appropriate steps, under the particular circumstances and considering the type of proceeding involved, to remove himself or herself to the extent necessary, to protect the public interest and comply with this Chapter, from any proceeding in which the public servant’s impartiality might reasonably be questioned due to the public servant’s familial, personal, or financial relationship with a participant in the proceeding.” See N.C.G.S. §138A-36 (c). If necessary, the Chairman or individual member involved should consult with his ethics liaison, legal counsel, or the State Ethics Commission to help determine the appropriate response in a given situation.
NC STATE UNIVERSITY BOARD OF TRUSTEES
Nominating Committee Informational Materials and Guidelines

I. Duties of the Nominating Committee for Officers of the Board

Section 1.3.5 of the Bylaws of the Board of Trustees addresses the duties of the Nominating Committee:

1.3.5 “A Nominating Committee shall be appointed each year by the Chair and shall consist of not less than three nor more than five members of the Board. The Chair shall not serve as a member of the Nominating Committee. The Nominating committee shall be responsible for presenting a slate of nominees to the Board at its last meeting before the beginning of each University fiscal year. The slate of nominees shall contain at least one nominee for each of the offices of Chair, First Vice Chair, Second Vice Chair, and Secretary. The guidelines for the Nominating Committee are contained in Appendix I to these Bylaws.”

Section 1.2. addresses the officers of the Board and states:

1.2 Officers

1.2.1 At the first meeting after June 30 of each year, the Board of Trustees shall elect from its membership a Chair, a First Vice Chair, a Second Vice Chair, and a Secretary, each of whom shall serve for a term of one year and until a successor is elected. A Chair who is serving a second consecutive year as Chair is ineligible for reappointment as Chair until one year has elapsed from the end of the second consecutive year of service. If a vacancy occurs in any of these officers, the Board of Trustees shall elect a person to serve for the remainder of the unexpired term. These officers shall perform the duties prescribed by these Bylaws and by the parliamentary authority adopted by the Board of Trustees.

1.2.2 The Board of Trustees may also elect an Assistant Secretary, from among the members of the Chancellor’s staff as recommended by the Chancellor. Copies of all minutes, papers and documents of the Board of Trustees may be certified by its Assistant Secretary with the same force and effect as though such certification were made by the Secretary of the Board.
II. Appendix I, Section VI, of Board Policy 01.05.10 provides the following
Nominating Committee Guidelines concerning the procedures of the Committee:

Nominating Committee Guidelines

a) Select one or more nominees for Chair from Board of Trustee members in
their second consecutive (and last) term of appointment.

b) Where possible, ensure that each nominee for Chair corresponds to the
guideline of limited continuity of leadership: a term as Chair of at least
two years. A Chair who is serving a second consecutive year as Chair is
ineligible for reappointment as Chair until one year has elapsed from the
end of the second consecutive year of service.

Current Slate of Trustees Officers (2012-2013):

Chair: Barbara Mulkey
First Vice Chair: Norris Tolson
Second Vice Chair: Ben Jenkins
Secretary: Jack Cozort
Assistant Secretary: P.J. Teal
March 1, 2013

MEMORANDUM

TO: NC State Board of Trustees Nominating Committee
   Ms. Susan Ward, Chair
   Mr. Bob Mattocks
   Mr. John Sall

FROM: Chancellor W. Randolph Woodson

SUBJECT: Assistant Secretary to the Board of Trustees

NC State Board of Trustees’ bylaws, section 1.2.2, state that the Board may elect an
Assistant Secretary, from among the members of the Chancellor’s staff as recommended
by the Chancellor.

Consistent with the Board’s request for my input, I recommend Ms. PJ Teal continue to
serve the Board as Assistant Secretary.

WRW/kam

cc: Barbara Mulkey, Chair of the Board
NC State University
BOARD OF VISITORS

Friday, April 5, 2013
12:00 p.m. – 5:00 p.m.
Multipurpose Room, James B. Hunt Jr. Library
NC State University Centennial Campus

Lunch – Multipurpose Room

Representative Nelson Dollar, North Carolina General Assembly

Welcome and Remarks– Multipurpose Room

Chuck Flink, Chair of the Board of Visitors

Campus Update

Randy Woodson, Chancellor

Board of Trustees Update

Barbara Mulkey, Chair of the Board of Trustees

Strategic Resource Priorities

Provost Arden will discuss the need for and challenge of aligning NC State resources with its strategic goals. Topics will include university strategic plan priorities and estimated costs; national, state and campus resource trends; legislative and UNC BOG plans and budget considerations; and NC State resource analysis and budget development efforts underway.

Warwick Arden, Provost and Executive Vice Chancellor

Break

Communications Update

Update on university communications and branding strategies.

Brad Bohlander, Chief Communications Officer
The Essential Role of Research Funds at NC State

Vice Chancellor Lomax will address how NC State research contributes to North Carolina by training world class talent and addressing the needs of industry and our citizens.

Terri Lomax, Vice Chancellor for Research, Innovation and Economic Development

Adjournment- Depart for Tour of the Library

The next Board of Visitors Meeting is scheduled for Friday, September 27, 2013 at 8:30 a.m.
Student Government has worked hard through the course of the 2012-2013 academic year and has made some incredible improvements to the NC State student experience through assessment, collaboration, and implementation of the student voice. As the 92nd Session wraps up, I have personally been humbled to look back and reflect upon the accomplishments of this year. It is a great time to be a student at this university and I know students are in good hands as more improvements to both the academic and social worlds are on the horizon.

STUDENT GOVERNMENT INIATIVES AND PROJECTS

STUDENT GOVERNMENT STRATEGIC PLAN
- Student Government is continuing to develop a strategic plan for the organization. With the pending move to Talley Student Center and ongoing opportunities within Student Leadership and Engagement, SG wants to set future leaders up for success. The plan will be completed by the 93rd Session of Student Government and implemented the following session.

SPRING SEMESTER RAVE
- In April 2013, Student Government will be hosting the first ever rave in the brickyard. The rave will consist of a DJ, lights, glow sticks, bright clothing and 30 minutes of stress relieve for students as they gear up for their final exams.
- The event will be a partnership with DASA, Campus Police, Student Government, SORC and the NC State Libraries.

NIGHTWALK
- Student Government held its annual Nightwalk program in which volunteers searched campus for any concerns that potentially posed a safety or security issue for community members. SG, in partnership with University Facilities and University Housing, coordinated about 50 volunteers who conducted their review on February 20. The volunteers searched main, centennial and the veterinary medicine campuses and found around 150 issues on campus most of which involved burnt out light bulbs.
COACHES’ CORNER MEMORIAL UPDATE

- Student Government is focused on finishing the second phase of the Coaches’ Corner with statutes of Coach Everett Case, Coach Norm Sloan, and Coach Jim Valvano. They will join the Kay Yow Memorial outside Reynolds.
- The total estimated cost for the project is $200,000 and to-date over $120,000 has been raised.
- The project timeline has recently changed due to Talley Student Center construction and is due for completion and unveiling in the January of 2014.

WEAR RED ON FRIDAY’S

- Red Friday’s continued throughout the semester in an effort to give out free stuff to students, faculty and staff wearing red on campus and those not wearing red may be given a spirit citation.
- This is a collaborative initiative with University Communications, the Campus Bookstore, LRG and the Athletics Department.
- Wear Red on Friday’s will continue into the next session of Student Government as we have seen its growth and impact since it was created by Student Body President Chandler Thompson.

ACC TOURNAMENT VIEWING PARTY

- During the ACC Tournament, Student Government and the libraries collaborated to host a tournament viewing party in the new Hunt Library.
- Students could stop by many of the screens throughout the library and watch all of the games during the tournament at any time. One viewing location included the auditorium which is home to the largest screen on Centennial campus.

SUSTAINABILITY INITIATIVES

- The Think Outside the Brick competition was successful collecting student proposals for the fourth year in a row with a total of 9 proposals submitted. Think Outside the Brick rewards NC State students with sustainable ideas with funding of $1000 or $500 to implement their project.
- The TOTB committee selected “Students for Solar” project which was the recipient of the $1000 project grant is underway in the planning phases. This project is looking to add Solar Panels around the umbrellas outside the atrium food court and is a partnership with Campus Enterprises.

YOUNG ALUMNI NETWORKING WITH THE PACK EVENT

- On February 6th the Academics Commission hosted a speed dating event in partnership with the Young Alumni Council of the Alumni Association.
- The event “Speed Networking with the Pack” gave 25 students the opportunity to interact with 30 alumni in an informal setting. The goal of the event was to hopefully get these students to meet some younger alumni who can help mentor, provide helpful hints, and some career guidance.
- Each “speed date” lasted for approximately 15 minutes with 5 minutes allotted for travel time. This allowed the students to meet with the alumni that were already in their field of study and also gave the opportunity to meet with some alumni in different fields for some interdisciplinary interests.
STUDENT SENATE UPDATE

- Student Senate appointed 4 student senators representing CALS, CNR and PAMs seats during its meeting on February 13. At this meeting, the body also approved its 2013-2014 budget which can be found at [http://students.ncsu.edu/sgims/documents/PDF/bills/92-60FB-comm.2.pdf](http://students.ncsu.edu/sgims/documents/PDF/bills/92-60FB-comm.2.pdf)
- Additionally, on February 27, the Student Senate also passed the Fall 2013 Appropriations Bill ([http://students.ncsu.edu/sgims/documents/PDF/bills/92-63AB-eng.1.pdf](http://students.ncsu.edu/sgims/documents/PDF/bills/92-63AB-eng.1.pdf)) and the Fee Increase Proposal Act which can be found at [http://students.ncsu.edu/sgims/documents/PDF/bills/92-64R-eng.1.pdf](http://students.ncsu.edu/sgims/documents/PDF/bills/92-64R-eng.1.pdf)

STUDENT LIFE AND CAMPUS EVENTS

NC STATE DANCE MARATHON

- On February 22 and 23rd, over 440 NC State students fundraised for the patients of Duke Children's Hospital.
- Students made a team with their friends and student organizations in a philanthropic challenge to raise at least $150 dollars per dancer for the kids. Students who participated stood for 12.5 hours with the Dance Marathon team.
- The event was held in Carmichael Gymnasium and there were several special guest speakers and performances that took place throughout the night. Many University figures attended the event to speak on behalf of the marathon including, NC State football coach, Dave Doeren, Chancellor Randy Woodson, and Student Body President, Andy Walsh.
- The first NC State Dance Marathon successfully raised $60,013.17! The average first year Dance Marathon at a university raises less than $15,000 and NCSU DM ranks as a top 5 grossing first year Dance Marathon.

SERVICE RALEIGH

- On March 23rd the 16th annual Service Raleigh event took place with over 1,600 student volunteers from NC State. Service Raleigh was an initiative created in 1998 by Student Government and Park Scholars as an annual citywide day of service around the greater Raleigh community all in an effort for students to give back to local organizations.

PACKFEST CONCERT / WINE TO WATER CONCERT

- On April 20th University Recreation, UAB, and the Inter-Residence Council have teamed up to host “Packfest” a Spring Concert that will take place on Miller Fields. The concert will feature artists Outasight and Ready Set as the headlining acts.
- The CSLEPS Service Leadership Team hosted an A Cappella concert to benefit the Wine to Water organization founded by NC State alumnus Doc Hendley. The concert hosted groups from Duke, UNC-CH, and NC State and raised over $1,800 for Wine to Water.

TRANSPORTATION UPDATE

- University Transportation, the University Architects office, and Student Government are still looking into the feasibility of creating “spirit crosswalks” around campus.
COMMUNICATIONS PROJECTS

CURRENT STUDENTS WEBPAGE
- University Communications web development team and Student Government are now working to market this new centralized student resource for more usage this semester.
- The page currently hosts an SBP blog, featured student focused stories and everyday user links for students.
- Student Government will be unveiling a new website which will feature many of the elements currently found on current students and will serve as an additional resource for student needs, feedback and concerns.

SOCIAL MEDIA
- Communication continues through Student Government Facebook and Twitter sites.
- The “Wolfpack Students” Facebook group provides a timely and easy way to communicate with many NC State students at once, also allowing them to ask questions to Student Government, the student body in general, or directly to the Student Body President. As of April 1, 2013 has over 5,800 student users, which is a growth of over 3,000 students since October 2011.
- Communication through Student Body President’s Twitter Account, which as of April 1, 2013 has 1,445 followers.

STUDENT BODY PRESIDENT BLOGS
- Blogging can be found through “Student Voices’ and the current students page. http://www.ncsu.edu/features/tag/andy-walsh/

STUDENT GOVERNMENT GOOGLE TALKBIN ACCOUNT
- Students can contact Student Government representatives by texting 919-883-9039. Student Government’s use of TalkBin is free through Google Apps at NC State.
- A timely response is returned by a Student Government official and students have continued to utilize this service since it was introduced in the fall of 2011.

My fellow Board of Trustee members thank you all for your guidance and support in my time as Student Body President. It has been a pleasure working with each of you and I hope that I can continue to serve this university with the dedication, leadership, and passion that you have shown for NC State in your distinguished careers. Even as a soon to be alumnus please contact me with any questions, thoughts or ideas about how we can better work together for the good of the NC State community.

In the spirit and pride of the Wolfpack,

Robert A. “Andy” Walsh
Student Body President, 2012-2013