

NC State Investment Fund, Inc.
Whistleblower Policy

It is NC State University's Associated Entity policy that its officers, directors, employees, representatives, agents, volunteers and other individuals are encouraged to report concerns or allegations of misconduct, violations of state or federal law, rule or regulation, fraud, theft, misappropriation of state resources, and gross mismanagement, gross waste of monies, or gross abuse of discretion. If you suspect these activities, you may report this to NC State's Internal Audit Division at 919-515-8355 or online at <http://internalaudit.ncsu.edu/hotline/>. You may also use NC State's third-party hotline, EthicsPoint, to make a report by visiting ncsu.ethicspoint.com or by dialing 844-599-8786. It is state law and university policy that individuals who report such concerns or allegations in good faith are protected from retaliation. Reports and investigations shall be kept confidential to the extent allowed by law.

The University's Internal Auditor will review the hotline record and determine the appropriate entity or unit to handle and/or investigate the matter. The Internal Auditor may consult with and involve legal counsel, independent auditors, law enforcement, or other governmental agencies. The Internal Auditor shall inform either the Associated Entity's Executive Committee or Audit Committee and key University officials as appropriate. If a violation of policy or law is determined to have occurred, the Associated Entity's Board shall take appropriate and prompt corrective action.